

Functions in Racket



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Racket Functions

Functions: most important building block in Racket (and 251)

- Functions/procedures/methods/subroutines abstract over computations
- Like Java methods, Python functions have arguments and result
- But no classes, **this**, **return**, etc.

Examples:

```
(define dbl (lambda (x) (* x 2)))  
  
(define quad (lambda (x) (dbl (dbl x))))  
  
(define avg (lambda (a b) (/ (+ a b) 2)))  
  
(define sqr (lambda (n) (* n n)))  
  
(define n 10)  
  
(define small? (lambda (num) (<= num n)))
```

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lambda denotes a anonymous function

Syntax: `(lambda (Id1 ... Idn) Ebody)`

- **lambda**: keyword that introduces an anonymous function (the function itself has no name, but you're welcome to name it using `define`)
- **Id1 ... Idn**: any identifiers, known as the **parameters** of the function.
- **Ebody**: any expression, known as the **body** of the function. It typically (but not always) uses the function parameters.

Evaluation rule:

- A `lambda` expression is just a value (like a number or boolean), so a `lambda` expression evaluates to itself!
- What about the function body expression? That's not evaluated until later, when the function is **called**. (Synonyms for **called** are **applied** and **invoked**.)

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Function calls (applications)

To use a function, you **call** it on arguments (**apply** it to arguments).

E.g. in Racket: `(dbl 3)`, `(avg 8 12)`, `(small? 17)`

Syntax: `(E0 E1 ... En)`

- A function call expression has no keyword. A function call because it's the only parenthesized expression that **doesn't** begin with a keyword.
- **E0**: any expression, known as the **rator** of the function call (i.e., the function position).
- **E1 ... En**: any expressions, known as the **rands** of the function call (i.e., the argument positions).

Evaluation rule:

1. Evaluate **E0 ... En** in the current environment to values **V0 ... Vn**.
2. If **V0** is not a `lambda` expression, raise an error.
3. If **V0** is a `lambda` expression, returned the result of applying it to the argument values **V1 ... Vn** (see following slides).

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Function application

What does it mean to apply a function value (lambda expression) to argument values? E.g.

```
((lambda (x) (* x 2)) 3)
((lambda (a b) (/ (+ a b) 2)) 8 12)
```

We will explain function application using two models:

1. The **substitution model**: substitute the argument values for the parameter names in the function body.
2. The **environment model**: extend the environment of the function with bindings of the parameter names to the argument values.

This lecture

Later

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Function application: substitution model

Example 1:

```
((lambda (x) (* x 2)) 3)
```

Substitute 3 for x in (* x 2) and evaluate the result:

```
(* 3 2) ↓ 6 (environment doesn't matter in this case)
```

Example 2:

```
((lambda (a b) (/ (+ a b) 2)) 8 12)
```

Substitute 3 for x in (* x 2) and evaluate the result:

```
(/ (+ 8 12) 2) ↓ 10 (environment doesn't matter in this case)
```

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Substitution notation

We will use the notation

$$E[V1, \dots, Vn / Id1, \dots, Idn]$$

to indicate the expression that results from substituting the values **V1, ..., Vn** for the identifiers **Id1, ..., Idn** in the expression **E**.

For example:

- $(* x 2)[3/x]$ stands for $(* 3 2)$
- $(/ (+ a b) 2)[8,12/a,b]$ stands for $(/ (+ 8 12) 2)$
- $(if (< x z) (+ (* x x) (* y y)) (/ x y)) [3,4/x,y]$ stands for $(if (< 3 z) (+ (* 3 3) (* 4 4)) (/ 3 4))$

It turns out that there are some very tricky aspects to doing substitution correctly. We'll talk about these when we encounter them.

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Big step function call rule: substitution model

```
E0 # env ↓ (lambda (Id1 ... Idn) Ebody)
E1 # env ↓ V1
  ⋮
En # env ↓ Vn
Ebody[V1 ... Vn / Id1 ... Idn] # env ↓ Vbody (function call)
(E0 E1 ... En) # env ↓ Vbody
```

Note: no need for function application frames like those you've seen in Python, Java, C, ...

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Substitution model derivation

Suppose $env2 = dbl \rightarrow (\lambda (x) (* x 2)),$
 $quad \rightarrow (\lambda (x) (dbl (dbl x)))$

```
quad #env2 ↓ (lambda (x) (dbl (dbl x)))
3 #env2 ↓ 3
  dbl #env2 ↓ (lambda (x) (* x 2))
    dbl #env2 ↓ (lambda (x) (* x 2))
      3 #env2 ↓ 3
        (* 3 2) #env2 ↓ 6 (multiplication rule, subparts omitted)
          (function call)
      (dbl 3) #env2 ↓ 6
        (* 6 2) #env2 ↓ 12 (multiplication rule, subparts omitted)
          (function call)
    (dbl (dbl 3)) #env2 ↓ 12 (function call)
  (quad 3) #env2 ↓ 12
```

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Substitution model derivation: your turn

Suppose $env3 = n \rightarrow 10,$
 $small? \rightarrow (\lambda (num) (<= num n))$
 $sqr \rightarrow (\lambda (n) (* n n))$

Give an evaluation derivation for $(small? (sqr n)) \#env3$

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Stepping back: name issues

Do the particular choices of function parameter names matter?

Is there any confusion caused by the fact that `dbl` and `quad` both use `x` as a parameter?

Are there any parameter names that we can't change `x` to in `quad`?

In $(small? (sqr n))$, is there any confusion between the global parameter name `n` and parameter `n` in `sqr`?

Is there any parameter name we can't use instead of `num` in `small`?

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Small-step function call rule: substitution model

```
( (lambda (Id1 ... Idn) Ebody) V1 ... Vn )
⇒ Ebody[V1 ... Vn/Id1 ... Idn] [function call]
```

Note: could extend this with notion of "current environment"

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Small-step semantics: function example

```
(quad 3)
⇒ ((lambda (x) (dbl (dbl x))) 3)
⇒ (dbl (dbl 3))
⇒ ((lambda (x) (* x 2)) (dbl 3))
⇒ ((lambda (x) (* x 2))
   ((lambda (x) (* x 2)) 3))
⇒ ((lambda (x) (* x 2)) (* 3 2))
⇒ ((lambda (x) (* x 2)) 6)
⇒ (* 6 2)
⇒ 12
```

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Evaluation Contexts

Although we will not do so here, it is possible to formalize exactly how to find the next redex in an expression using so-called **evaluation contexts**.

For example, in Racket, we never try to reduce an expression within the body of a `lambda`.

```
((lambda (x) (+ (* 4 5) x)) (+ 1 2))
      ↑                ↑
      not this         this is the
                      first redex
```

We'll see later in the course that other choices are possible (and sensible).

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Small-step semantics: your turn

Use small-step semantics to evaluate `(small? (sqr n))`

Assume this is evaluated with respect to the same global environment used earlier.

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Recursion

Recursion works as expected in Racket using the substitution model (both in big-step and small-step semantics).

There is no need for any special rules involving recursion! The existing rules for definitions, functions, and conditionals explain everything.

```
(define pow
  (lambda (base exp)
    (if (= exp 0)
        1
        (* base (pow base (- exp 1))))))
```

What is the value of `(pow 5 2)`?

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Recursion: your turn

Define and test the following recursive functions in Racket:

`(fact n)`: Return the factorial of the nonnegative integer `n`

`(fib n)`: Return the `n`th Fibonacci number

`(sum-between lo hi)`: return the sum of the integers between integers `lo` and `hi` (inclusive) [You will do this in PS2 Problem 5]

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Syntactic sugar: function definitions



Syntactic sugar: simpler syntax for common pattern.

- Implemented via textual translation to existing features.
- *i.e.*, **not a new feature**.

Example: Alternative function definition syntax in Racket:

```
(define (Id_funName Id1 ... Idn) E_body)
```

desugars to

```
(define Id_funName (lambda (Id1 ... Idn) E_body))
```

```
(define (dbl x) (* x 2))
```

```
(define (quad x) (dbl (dbl x)))
```

```
(define (pow base exp)
  (if (< exp 1)
      1
      (* base (pow base (- exp 1)))))
```

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Racket Operators are Actually Functions!

Surprise! In Racket, operations like `(+ e1 e2)`, `(< e1 e2)` and `(not e)` are really just function calls!

There is an initial top-level environment that contains bindings for built-in functions like:

- `+` → *addition function*,
- `-` → *subtraction function*,
- `*` → *multiplication function*,
- `<` → *less-than function*,
- `not` → *boolean negation function*,
- ...

(where some built-in functions can do special primitive things that regular users normally can't do --- e.g. add two numbers)

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Summary So Far

Racket declarations:

- definitions: `(define Id E)`

Racket expressions:

- conditionals: `(if Etest Ethen Eelse)`
- function values: `(lambda (Id1 ... Idn) Ebody)`
- Function calls: `(Erator Erاند1 ... Erاندn)`
Note: arithmetic and relation operations are just function calls

What about?

- Assignment? Don't need it!
- Loops? Don't need them! Use **tail recursion**, coming soon.
- Data structures? Glue together two values with `cons` (next time)

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