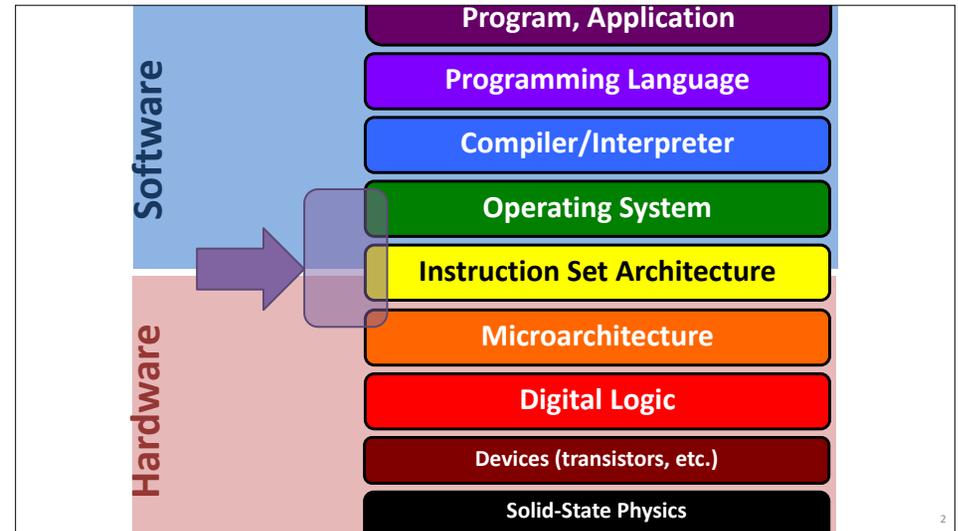




# Operating Systems and the Process Model

Process model  
Process management  
(Unix/Linux/macOS)



## Motivation

Why doesn't this program disable my laptop entirely?

```
int main() {  
    while (true) {  
    }  
}
```

## Operating Systems

### Problems:

- The overall system shouldn't go down for one bad program
- One set of resources, many different software programs!
- The hardware itself varies across computers

### Solution: operating system

Manage, abstract, and virtualize hardware resources

**Share** limited resources among varied software programs

**Protect** (from both accidental and malicious damage)

**Simpler, common interface** to varied hardware

## Operating Systems, a 240 view barely scraping the surface!



### Key abstractions provided by *kernel*

processes  
virtual memory

### Virtualization mechanisms and hardware support:

context-switching  
exceptional control flow  
memory isolation, address translation, paging

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## Processes

*Program* = code (static)

*Process* = a running program instance (dynamic)  
code + state (contents of registers, memory, other resources)

Key illusions:

### Logical control flow

Each process seems to have exclusive use of the CPU

This unit (parts)

### Private address space

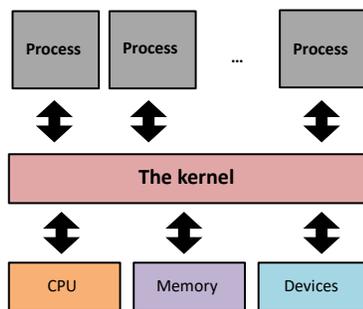
Each process seems to have exclusive use of full memory

Not This Semester  
But read optional slides & CSAPP!

Why? How?

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## The kernel manages processes



### The kernel:

Runs with full machine privilege

On x86: special `%cs` register

Can interrupt processes

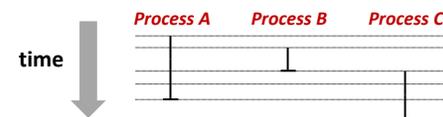
Manages sharing of resources

Is a program (almost\*) like any other!

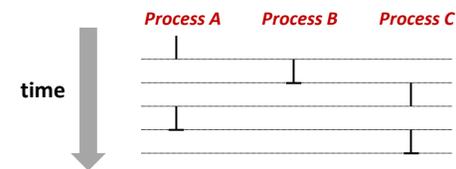
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## Implementing logical control flow

**Abstraction:** every process has full control over the CPU



**Implementation:** time-sharing



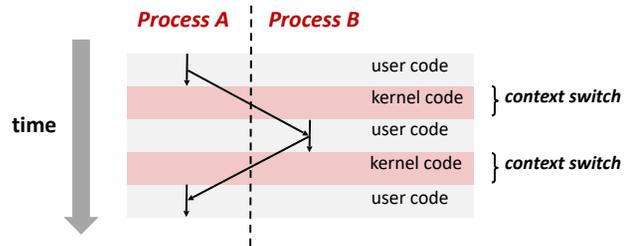
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## Context Switching

*Kernel* (shared OS code) switches between processes

Control flow passes between processes via *context switch*.

Context =



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## fork

`pid_t fork()`

1. Clone current *parent* process to create identical\* *child* process, including all state (memory, registers, **program counter**, ...).
2. Continue executing both copies with *one difference*:
  - returns **0** to the **child process**
  - returns **child's process ID (pid)** to the **parent process**

```
pid_t pid = fork();
if (pid == 0) {
    printf("hello from child\n");
} else {
    printf("hello from parent\n");
}
```

fork is unique: called *in one process*, returns *in two processes!*

(once in parent, once in child)

\*almost. See man 3 fork for exceptions.

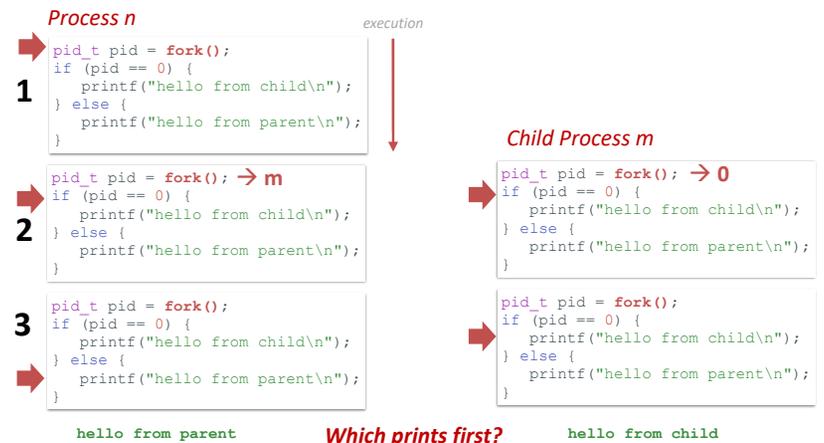
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Which full line of code is executed twice, once in the parent and once in the child?

```
pid_t pid = fork();
if (pid == 0) {
    printf("hello from child\n");
} else {
    printf("hello from parent\n");
}
```

Start the presentation to see live content. For screen share software, share the entire screen. Get help at [pollen.com/app](https://pollen.com/app)

## Creating a new process with fork



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Which line prints first?

"hello from parent"

"hello from child"

it depends

they print at the exact same time

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Which line prints first?

"hello from parent"

0%

"hello from child"

0%

it depends

0%

they print at the exact same time

0%

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Which line prints first?

"hello from parent"

0%

"hello from child"

0%

it depends

0%

they print at the exact same time

0%

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## fork and private copies

Parent and child continue from *private copies* of same state.

Memory contents (**code**, globals, **heap**, **stack**, etc.),

Register contents, **program counter**, file descriptors...

Only difference: return value from `fork()`

Relative execution order of parent/child after `fork()` undefined

```
void fork1() {
    int x = 1;
    pid_t pid = fork();
    if (pid == 0) {
        printf("Child has x = %d\n", ++x);
    } else {
        printf("Parent has x = %d\n", --x);
    }
    printf("Bye from process %d with x = %d\n", getpid(), x);
}
```

## fork-exec

`fork()` clone current process

`execv()` replace process code and context (registers, memory)

with a fresh program.

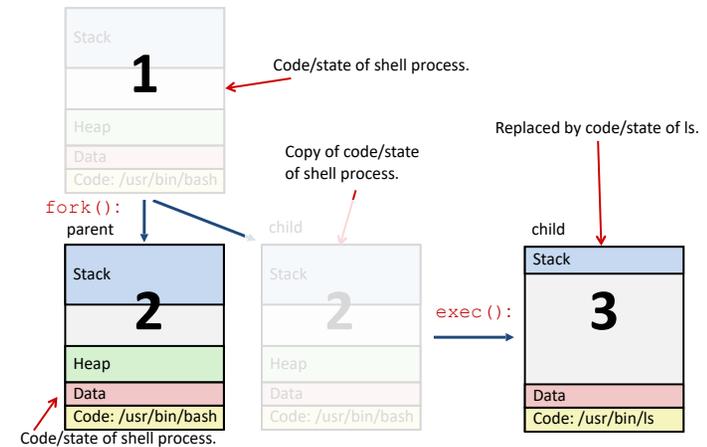
See `man 3 execv`, `man 2 execve`

```
// Example arguments: path="/usr/bin/ls",
// argv[0]="/usr/bin/ls", argv[1]="-ahl", argv[2]=NULL
void fork_exec(char* path, char* argv[]) {
    pid_t pid = fork();
    if (pid != 0) {
        printf("Parent: created a child %d\n", pid);
    } else {
        printf("Child: exec-ing new program now\n");
        execv(path, argv);
    }
    printf("This line printed by parent only!\n");
}
```

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## Executing a new program

Running the command `ls` in a shell:



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## execv: load/start a program

```
int execv(char* filename, char* argv[])
```

Loads/starts program in current process:

Executable `filename`

With argument list `argv`

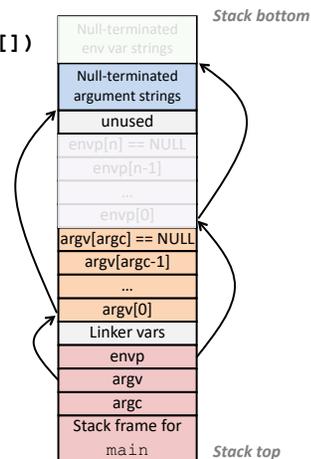
Overwrites code, data, and stack

Keeps pid, open files, a few other items

**Does not return**

unless error

Also sets up *environment*. See also: `execve`.



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## exit: end a process



```
void exit(int status)
```

**End process** with status: 0 = normal, nonzero = error.

`atexit()` registers functions to be executed upon exit

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## wait for child processes to terminate



`pid_t waitpid(pid_t pid, int* stat, int ops)`  
Suspend current process (i.e. parent) until child with `pid` ends.

On success:

Return `pid` when child terminates.

Reap child.

If `stat != NULL`, `waitpid` saves termination reason where it points.

See also: `man 3 waitpid`

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## waitpid example

What is printed, in what order?

ex

```
void fork_wait() {
    int child_status;
    pid_t child_pid = fork();

    if (child_pid == 0) {
        printf("HC: hello from child\n");
    } else {
        if (-1 == waitpid(child_pid, &child_status, 0)) {
            perror("waitpid");
            exit(1);
        }
        printf("CT: child %d has terminated\n", child_pid);
    }
    printf("Bye\n");
    exit(0);
}
```

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## waitpid example



ex

```
void fork_wait() {
    int child_status;
    pid_t child_pid = fork();

    if (child_pid == 0) {
        printf("HC: hello from child\n");
    } else {
        if (-1 == waitpid(child_pid, &child_status, 0)) {
            perror("waitpid");
            exit(1);
        }
        printf("CT: child %d has terminated\n", child_pid);
    }
    printf("Bye\n");
    exit(0);
}
```

Printed:

HC: hello from child  
Bye  
CT: child 1 has terminated  
Bye

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## Zombies!



Terminated process still consumes system resources

Reaping with `wait/waitpid`

What if parent doesn't reap?

If any parent terminates without reaping a child, then child will be reaped by `systemd/init` process (`pid == 1`)

What if parent runs a long time? e.g., shells and servers

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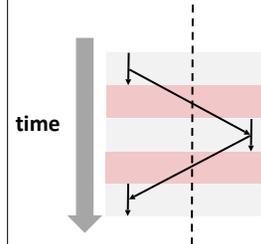
## Error-checking

Check return results of system calls for errors! (No exceptions.)  
Read documentation for return values.  
Use perror to report error, then exit.

```
void perror(char* message)
    Print "<message>: <reason that last system call failed.>"
```

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## Summary



### Processes

System has multiple active processes

Each process:

- Appears to have total control of the processor

- Has isolated access to its own data (usually)

OS periodically "context switches" between active processes

### Process management

fork, execv, waitpid

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## Exercise: fork + waitpid

ex

1. Implement the following function using fork and wait:

```
pid_t fork()
pid_t waitpid(pid_t pid, int* stat, int ops)
/*
    Write a C function that creates a child fork that creates a
    grandchild fork. Make the program print "Hello from grandchild"
    from the grandchild, then "Hello from child" from the child,
    making sure these statements happen in this order.
*/
void wait_for_grandchild() {
}
}
```

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