Principles of Programming Languages

## Concurrency

## (and Parallelism)

## Parallelism and Concurrency in 251

- Goal: encounter
- essence, key concerns
- non-sequential thinking
- some high-level models
- some mid-to-high-level mechanisms
- Non-goals:
- performance engineering / measurement
- deep programming proficiency
- exhaustive survey of models and mechanisms


## Parallelism

Use more resources to complete work faster.

## Concurrency

Coordinate access to shared resources.


Both can be expressed using a variety of primitives.

## Concurrency via Concurrent ML

- Extends SML with language features for concurrency.
- Included in SML/NJ and Manticore
- Model:
- explicitly threaded
- message-passing over channels
- first-class events


## Explicit threads: spawn

vs. Manticore's "hints" for implicit parallelism.
val spawn : (unit $\rightarrow$ unit) $\rightarrow$ threaload thunk $\rightarrow i d$
let $f u n f()=$ new thread's work... val t2 $=\operatorname{spawn} f$
in
end
Thread $1 \quad$ Thread 2


## Another thread/task model: fork-join


fork : (unit -> 'a) -> 'a task "call" a function in a new thread join : 'a task -> 'a wait for it to "return" a result

Mainly for explicit task parallelism, not concurrency.
(CML's threads are similar, but cooperation is different.)

## CML: How do threads cooperate?



How do we pass values in?
How do we get results of work out?

```
        \(\rangle\)
let val data_in_env = ...
    fun closures_for_the_win \(x=\)...
    val _ = spawn (fn () =>
                                map closures_for_the_win data_in_env)
in
end
```


## CML: How do threads cooperate?



How do we get results of work out?
Threads communicate by passing messages through channels.
type 'a chan
val recv : 'a chan -> 'a
val send : ('a chan * 'a) -> unit

## Tiny channel example

```
val channel : unit -> 'a chan
let val ch : int chan = channel ()
fun inc () =
    let val n = recv ch
        val () = send (ch, n + 1)
    in exit () end
in
    spawn inc;
    send (ch, 3);
    ...;
    recv ch
end
```


## Concurrent streams

```
fun makeNatStream () =
    let val ch = channel ()
            fun count i = (
                send (ch, i);
                count (i + 1)
            )
    in
            spawn (fn () => count 0);
            ch
    end
```

fun sum stream 0 acc $=$ acc
| sum stream n acc $=$
sum stream (n - 1) (acc + recv stream)
val nats $=$ makeNatStream ()
val sumFirst2 $=$ sum nats 20
val sumNext2 $=$ sum nats 20

## A common pattern: looping thread

fun forever init $f=$
let
fun loop $s=$ loop (f s)
in
spawn (fn () => loop init);
()
end

## Concurrent streams

fun makeNatStream () =
let
val ch = channel ()
in

> forever $0 \quad(\mathrm{fn} i=>($ send $(\mathrm{ch}, \mathrm{i}) ;$ $\mathrm{i}+1)) ;$
ch
end

## Ordering?

```
fun makeNatStream () =
    let val ch = channel ()
    fun count i = (
        send (ch, i);
        count (i + 1)
    )
    in
        spawn (fn () => count 0);
        ch
    end
```

val nats $=$ makeNatStream ()
val _ =
spawn (fn () => print (Int.toString (recv nats)))
val _ = print (Int.toString (recv nats))

## Synchronous message-passing (CML)

\& message-passing = handshake receive blocks until a message is sent send blocks until the message received
vs 领 asynchronous message-passing receive blocks until a message has arrived send can finish immediately without blocking

## Synchronous message-passing (CML)

blocked until another thread receives on ch.
blocked until another thread sends on ch.


## Asynchronous message-passing (not CML)



## First-class events, combinators

Event constructors
val sendEvt : ('a chan * 'a) -> unit event
val recvEvt : 'a chan -> 'a event

Event combinators
val sync : 'a event -> 'a
val choose : 'a event list -> 'a event
val wrap : ('a event * ('a -> 'b)) -> 'b event
val select = sync o choose

## Utilities

val recv $=$ sync o recvEvt
val send $=$ sync o sendEvt
fun forever init $f=$
let
fun loop $s=$ loop (f s)
in
spawn (fn () => loop init);
()
end

## Why combinators?

fun makeZipCh (inChA, inChB, outCh) $=$ forever () (fn () =>
let
val $(a, b)=$ select $\quad$ [
wrap (recvEvt inChA, fn $a=>(a, r e c v i n C h B))$,
wrap (recvEvt inChB, fn b => (recv inChA, b))
]
in
send (outCh, (a, b))
end)

## More CML

- Emulating mutable state via concurrency: cml-cell.sml
- Dataflow / pipeline computation
- Implement futures


## Why avoid mutation?

- For parallelism?
- For concurrency?

Other models:
Shared-memory multithreading + synchronization

## Shared-Memory Multithreading

Shared: heap and globals


## Concurrency and Race Conditions

int bal $=0 ;$

Thread 1

$$
\begin{aligned}
& \mathrm{t} 1=\mathrm{bal} \\
& \mathrm{bal}=\mathrm{t} 1+10
\end{aligned}
$$

Thread 1 Thread 2

```
t1 = bal
bal = t1 + 10
```

$$
\begin{aligned}
& \mathrm{t} 2=\mathrm{bal} \\
& \mathrm{bal}=\mathrm{t} 2-10
\end{aligned}
$$

Thread 2

$$
\mathrm{t} 2=\mathrm{bal}
$$

$$
\text { bal = t2 - } 10
$$

bal $=\mathbf{0}$

## Concurrency and Race Conditions

int bal $=0 ;$

Thread 1

$$
\begin{aligned}
& \mathrm{t} 1=\mathrm{bal} \\
& \mathrm{bal}=\mathrm{t} 1+10
\end{aligned}
$$

Thread 1 Thread 2

```
t1 = bal
```

bal = t1 + 10
bal = t2 - 10

Thread 2

$$
\mathrm{t} 2=\mathrm{bal}
$$

bal = t2 - 10
bal $=\mathbf{- 1 0}$

## Concurrency and Race Conditions

Lock m = new Lock () ; int bal $=0$;

Thread 1
synchronized (m) \{
t1 = bal
$\mathrm{bal}=\mathrm{t1}+10$
\}

Thread 2

```
synchronized(m) {
    t2 = bal
    bal = t2 - 10
}
```

Thread 1 Thread 2

| acquire (m) |  |
| :--- | :--- |
|  | t2 $=$ bal  <br> bal $=$ t2 - 10  <br> acquire (m)  <br> release (m)  <br> bal $=$ bal  <br> release $(m)$  |

acquire (m)
$\mathrm{t} 1=\mathrm{bal}$

| $\mathrm{bal}=\mathrm{t1}+10$ |
| :--- |
| release (m) |


| $\mathrm{bal}=\mathrm{t1}+10$ |
| :--- |
| release (m) |

