Introduction to Racket, a dialect of LISP: Expressions and Declarations

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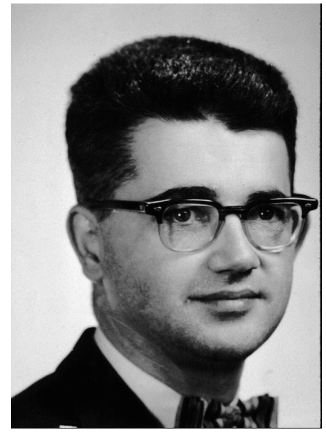
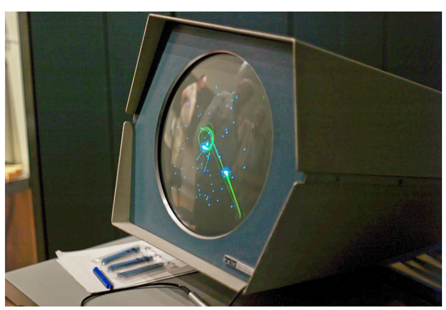
These slides build on Ben Wood's Fall '15 slides

LISP: designed by John McCarthy, 1958 published 1960



LISP: implemented by Steve Russell, early 1960s



LISP: LISt Processing

- McCarthy, MIT artificial intelligence, 1950s-60s
  - Advice Taker: represent logic as data, not just program

Emacs: M-x doctor

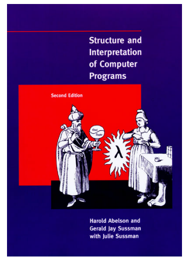
- Needed a language for:
  - Symbolic computation
  - Programming with logic
  - Artificial intelligence
  - Experimental programming

i.e., not just number crunching

- So make one!

Scheme

- Gerald Jay Sussman and Guy Lewis Steele (mid 1970s)
- Lexically-scoped dialect of LISP that arose from trying to make an "actor" language.
- Described in amazing "Lambda the Ultimate" papers (http://library.readscheme.org/page1.html)
  - Lambda the Ultimate PL blog inspired by these: http://lambda-the-ultimate.org
- Led to Structure and Interpretation of Computer Programs (SICP) and MIT 6.001 (https://mitpress.mit.edu/sicp/)



Racket

- Grandchild of LISP (variant of Scheme)
  - Some changes/improvements, quite similar
- Developed by the PLT group (https://racket-lang.org/people.html), the same folks who created DrJava.
- Why study Racket in CS251?
  - Clean slate, unfamiliar
  - Careful study of PL foundations ("PL mindset")
  - Functional programming paradigm
    - Emphasis on functions and their composition
    - Immutable data (lists)
  - Beauty of minimalism
  - Observe design constraints/historical context

Expressions, Values, and Declarations

- Entire language: these three things
- Expressions have *evaluation rules:*
  - How to determine the value denoted by an expression.
- For each structure we add to the language:
  - What is its **syntax**? How is it written?
  - What is its **evaluation rule**? How is it evaluated to a **value** (expression that cannot be evaluated further)?

Values

- Values are expressions that cannot be evaluated further.
- Syntax:
  - Numbers: **`251, 240, 301`**
  - Booleans: **`#t, #f`**
  - There are more values we will meet soon (strings, symbols, lists, functions, …)
- Evaluation rule:
  - Values evaluate to themselves.

Addition expression: syntax

Adds two numbers together.

Syntax: **(+ *E1* *E2*)**

Every parenthesis required; none may be omitted.
E1 and ***E2*** stand in for *any expression.*
Note *prefix* notation.

Note recursive structure!

Examples:

```
(+ 251 240)
(+ (+ 251 240) 301)
(+ #t 251)
```


Addition expression: evaluation

Syntax: **(+ *E1* *E2*)**

Note recursive structure!

Evaluation rule:

1. Evaluate ***E1*** to a value ***V1***
2. Evaluate ***E2*** to a value ***V2***
3. Return the arithmetic sum of ***V1* + *V2***.

Not quite!

Addition: dynamic type checking

Syntax: **(+ *E1* *E2*)**

Still not quite! More later ...

Evaluation rule:

1. evaluate ***E1*** to a value ***V1***
2. Evaluate ***E2*** to a value ***V2***
3. If ***V1*** and ***V2*** are both numbers then
   return the arithmetic sum of ***V1* + *V2***.
4. Otherwise, a **type error** occurs.

Dynamic type-checking

Evaluation Assertions Formalize Evaluation

The **evaluation assertion** notation ***E*** ↓ ***V*** means ``***E*** evaluates to ***V***''.

Our evaluation rules so far:

- *value rule*: ***V*** ↓ ***V*** (where ***V*** is a number or boolean)
- *addition rule*:

  if ***E1*** ↓ ***V1*** and ***E2*** ↓ ***V2***
  and ***V1*** and ***V2*** are both numbers
  and ***V*** is the sum of ***V1*** and ***V2***
  then (+ ***E1* *E2*)** ↓ ***V***

Evaluation Derivation in English

An **evaluation derivation** is a ``proof'' that an expression evaluates to a value using the evaluation rules.

`(+ 3 (+ 5 4))` ↓ `12` by the addition rule because:

- `3` ↓ `3` by the value rule
- `(+ 5 4)` ↓ `9` by the addition rule because:
  - `5` ↓ `5` by the value rule
  - `4` ↓ `4` by the value rule
  - `5` and `4` are both numbers
  - `9` is the sum of `5` and `4`
- `3` and `9` are both numbers
- `12` is the sum of `3` and `9`

More Compact Derivation Notation

V ↓ ***V*** [value rule]

whereVis a value (number, boolean, etc.)

E1 ↓ ***V1***
E2 ↓ ***V2***
——————————— [addition rule]
`(+` ***E1 E2***`)` ↓ ***V***

side conditions of rules

Where ***V1*** and ***V2*** are numbers and ***V*** is the sum of ***V1*** and ***V2***.

```
| 3 ↓ 3 [value]
|  | 5 ↓ 5 [value]
|  | 4 ↓ 4 [value]
|  ——————————————— [addition]
|  (+ 5 4) ↓ 9
—————————————————————— [addition]
(+ 3 (+ 5 4)) ↓ 12
```


Errors Are Modeled by "Stuck" Derivations

How to evaluate `(+ #t (+ 5 4))`?

```
| #t ↓ #t [value]
|  | 5 ↓ 5 [value]
|  | 4 ↓ 4 [value]
|  ——————————————— [addition]
|  (+ 5 4) ↓ 9
——————————————————
```

Stuck here. Can't apply (addition) rule because #t is not a number in (+ #t 9)

How to evaluate `(+ (+ 1 2) (+ 5 #f))`?

```
| 1 ↓ 1 [value]
| 2 ↓ 2 [value]
————————————————
(+ 1 2) ↓ 3 [addition]
| 5 ↓ 5 [value]
| #f ↓ #f [value]
————————————————
```

Stuck here. Can't apply (addition) rule because #f is not a number in (+ 5 #f)

Syntactic Sugar for Addition

The addition operator + can take any number of operands.

- For now, treat `(+` ***E1 E2*** … ***En***`)` as `(+ (+` ***E1 E2***`)` … ***En***`)`
  E.g., treat `(+ 7 2 -5 8)` as `(+ (+ (+ 7 2) -5) 8)`
- Treat `(+` ***E***`)` as ***E*** (or say if ***E*** ↓ ***V*** then `(+` ***E***`)` ↓ ***V***)
- Treat `(+)` as `0` (or say `(+)` ↓ `0`)
- This approach is known as **syntactic sugar**: introduce new syntactic forms that "**desugar**" into existing ones.
- In this case, an alternative approach would be to introduce more complex evaluation rules when + has a number of arguments different from 2.

Other Arithmetic Operators

Similar syntax and evaluation for

`- * / quotient remainder min max`

except:

- Second argument of **`/`**, **`quotient`**, **`remainder`** must be nonzero
- Result of **`/`** is a rational number (fraction) when both values are integers. (It is a floating point number if at least one value is a float.)
- **`quotient`** and **`remainder`** take exactly two arguments; anything else is an error.
- **`(-`** ***`E`*****`)`** is treated as **`(-`** `0` ***`E`*****`)`**
- **`(/`** ***`E`*****`)`** is treated as **`(/`** `1` ***`E`*****`)`**
- **`(min`** ***`E`*****`)`** and **`(max`** ***`E`*****`)`** treated as ***E***
- **`(*)`** evaluates to 1.
- **`(/)`**, **`(-)`**, **`(min)`** , **`(max)`** are errors (i.e., stuck)

Relation Operators

The following relational operators on numbers return booleans: **`< <= = >= >`**

For example:

E1 ↓ ***V1***
E2 ↓ ***V2***
――――――――――― [less than]
(< ***E1 E2***) ↓ ***V***

Where ***V1*** and ***V2*** are numbers and
V is #t if ***V1*** is less than ***V2***
or #f if ***V1*** is not less than ***V2***

Conditional (if) expressions

Syntax: **`(if`** ***`Etest Ethen Eelse`*****`)`**

Evaluation rule:

1. Evaluate ***`Etest`*** to a value ***`Vtest`***.
2. If ***`Vtest`*** is not the value **`#f`** then
   return the result of evaluating ***`Ethen`***
   otherwise
   return the result of evaluating ***`Eelse`***

Derivation-style rules for Conditionals

Etest ↓ ***Vtest***
Ethen ↓ ***Vthen***
――――――――――― [if nonfalse]
(if ***Etest Ethen Eelse***) ↓ ***Vthen***

Eelse is not evaluated!

Where ***Vtest*** is not #f

Etest ↓ #f
Eelse ↓ ***Velse***
――――――――――― [if false]
(if ***Etest Ethen Eelse***) ↓ ***Velse***

Ethen is not evaluated!

Your turn

Use evaluation derivations to evaluate the following expressions

```
(if (< 8 2) (+ #f 5) (+ 3 4))

(if (+ 1 2) (- 3 7) (/ 9 0))

(+ (if (< 1 2) (* 3 4) (/ 5 6)) 7)

(+ (if 1 2 3) #t)
```


Expressions vs. statements

Conditional expressions can go anywhere an expression is expected:

```
(+ 4 (* (if (< 9 (- 251 240)) 2 3) 5))

(if (if (< 1 2) (> 4 3) (> 5 6))
    (+ 7 8)
    (* 9 10))
```

Note: `if` is an *expression*, not a *statement.* Do other languages you know have conditional expressions in addition to conditional statements?
(Many do! Java, JavaScript, Python, …)

Conditional expressions: careful!

Unlike earlier expressions, not all subexpressions of if expressions are evaluated!

```
(if (> 251 240) 251 (/ 251 0))

(if #f (+ #t 240) 251)
```


Design choice in conditional semantics

In the [if nonfalse] rule, ***Vtest*** is **not** required to be a boolean!

$$\frac{\textit{Etest} \downarrow \textit{Vtest} \quad \textit{Ethen} \downarrow \textit{Vthen}}{(\texttt{if}\ \textit{Etest}\ \textit{Ethen}\ \textit{Eelse}) \downarrow \textit{Vthen}}\ \text{[if nonfalse]}$$

Where ***Vtest*** is not #f

This is a design choice for the language designer.
What would happen if we replace the above rule by

$$\frac{\textit{Etest} \downarrow \texttt{\#t} \quad \textit{Ethen} \downarrow \textit{Vthen}}{(\texttt{if}\ \textit{Etest}\ \textit{Ethen}\ \textit{Eelse}) \downarrow \textit{Vthen}}\ \text{[if true]}$$

This design choice is related to notions of "truthiness" and "falsiness" that you will explore in PS2.

Environments: Motivation

Want to be able to name values so can refer to them later by name. E.g.;

```
(define x (+ 1 2))

(define y (* 4 x))

(define diff (- y x))

(define test (< x diff))

(if test (+ (* x y) diff) 17)
```


Environments: Definition

- An ***environment*** is a sequence of bindings that associate identifiers (variable names) with values.
  - Concrete example:
    `num ⟼ 17, absoluteZero ⟼ -273, true ⟼#t`
  - Abstract Example (use ***Id*** to range over identifiers = names):
    Id1* ⟼ *V1*, *Id2* ⟼ *V2*, ..., *Idn* ⟼ *Vn
  - Empty environment: ∅
- An environment serves as a context for evaluating expressions that contain identifiers.
- **Second argument** to evaluation, which takes both an expression and an environment.

Addition: evaluation *with environment*

Syntax: **(+ *E1* *E2*)**

Evaluation rule:

1. evaluate ***E1*** ***in the current environment*** to a value ***V1***
2. Evaluate ***E2*** ***in the current environment*** to a value ***V2***
3. If ***V1*** and ***V2*** are both numbers then
   return the arithmetic sum of ***V1* + *V2***.
4. Otherwise, a **type error** occurs.

Variable references

Syntax: ***Id***

Id: any *identifier*

Evaluation rule:

Look up and return the value to which ***Id*** is bound in the current environment.

- Look-up proceeds by searching from the most-recently added bindings to the least-recently added bindings (front to back in our representation)
- If ***Id*** is not bound in the current environment, evaluating it is "stuck" at an *unbound variable error*.

Examples:

- Suppose ***env*** is `num ⟼ 17, absZero ⟼ -273, true ⟼ #t, num ⟼ 5`
- In ***env***, `num` evaluates to `17` (more recent than 5), `absZero` evaluates to `-273`, and `true` evaluates to `#t`. Any other name is stuck.

`define` Declarations

Syntax: **`(define Id E)`**

- **`define`**: keyword
- ***`Id`***: any *identifier*
- ***`E`***: any expression

This is a **declaration**, not an **expression**!
We will say a **declarations** are **processed,** not **evaluated**

Processing rule:

1. Evaluate ***E*** to a value ***V*** ***in the current environment***
2. Produce ***a new environment*** that is identical to the current environment, with the additional binding ***Id*** → ***V*** at the front. Use this new environment as the current environment going forward.

Environments: Example

env0 = ∅ (can write as `.` in text)

`(define x (+ 1 2))`

env1 = `x` ⟼ `3`, ∅ (abbreviated `x` ⟼ `3`; can write as `x -> 3` in text)

`(define y (* 4 x))`

env2 = `y` ⟼ `12`, `x` ⟼ `3` (most recent binding first)

`(define diff (- y x))`

env3 = `diff` ⟼ `9`, `y` ⟼ `12`, `x` ⟼ `3`

`(define test (< x diff))`

env4 = `test` ⟼ `#t`, `diff` ⟼ `9`, `y` ⟼ `12`, `x` ⟼ `3`

`(if test (+ (* x 5) diff) 17)`

environment here is still ***env4***

`(define x (* x y))`

env5 = `x` ⟼ `36`, `test` ⟼ `#t`, `diff` ⟼ `9`, `y` ⟼ `12`, `x` ⟼ `3`
Note that binding `x` ⟼ `36` "shadows" `x` ⟼ `3` , making it inaccessible

Evaluation Assertions & Rules with Environments

The **evaluation assertion** notation ***E* # *env* ↓ *V*** means
``Evaluating expression ***E*** in environment ***env*** yields value ***V***''.

Id* # *env* ↓ *V [varref]

Where ***Id*** is an identifier and ***Id* ⟼ *V*** is the first binding in ***env*** for ***Id***

Only this rule actually uses env; others just pass it along

V* # *env* ↓ *V [value]

where ***V*** is a value (number, boolean, etc.)

***E1* # *env* ↓ `#f`**
E3* # *env* ↓ *V3
———————————— [if false]
`(if` *E1 E2 E3*`)` # *env* ↓ *V3*

E1* # *env* ↓ *V1
E2* # *env* ↓ *V2
———————————— [addition]
`(+` *E1 E2*`)` # *env* ↓ *V*

Where ***V1*** and ***V2*** are numbers and ***V*** is the sum of ***V1*** and ***V2***. Rules for other arithmetic and relational ops are similar.

E1* # *env* ↓ *V1
E2* # *env* ↓ *V2
———————————— [if nonfalse]
`(if` *E1 E2 E3*`)` # *env* ↓ *V2*

Where ***V1*** is not `#f`

Example Derivation with Environments

Suppose *env4* = `test` ⟼ `#t`, `diff` ⟼ `9`, `y` ⟼ `12`, `x` ⟼ `3`

```
test # env4 ↓ #t [varref]
    x # env4 ↓ 3 [varref]
    5 # env4 ↓ 5 [value]
    ------------------------ [multiplication]
    (* x 5) # env4 ↓ 15
    diff # env4 ↓ 9 [varref]
  ------------------------------ [addition]
  (+ (* x 5) diff) # env4 ↓ 24
----------------------------------------- [if nonfalse]
(if test (+ (* x 5) diff) 17) # env4 ↓ 24
```

Conclusion-below-subderivations, in text

Suppose env4 = test -> #t, diff -> 9, y -> 12, x -> 3

```
| test # env4 ↓ #t [varref]
| | | x # env4 ↓ 3 [varref]
| | | 5 # env4 ↓ 5 [value]
| | -------------------- [multiplication]
| | (* x 5) # env4 ↓ 15
| | diff # env4 ↓ 9  [varref]
| | ------------------------- [addition]
| (+ (* x 5) diff)# env4 ↓ 24
----------------------------------------- [if nonfalse]
(if test (+ (* x 5) diff) 17)# env4 ↓ 24
```


Conclusion-above-subderivations, with bullets

Suppose env4 = test -> #t, diff -> 9, y -> 12, x -> 3

(if test (+ (* x 5) diff) 17)# env4 ↓ 24 [if nonfalse]

- test # env4 ↓ #t [varref]
- (+ (* x 5) diff)# env4 ↓ 24 [addition]
  - (* x 5) # env4 ↓ 15 [multiplication]
    - x # env4 ↓ 3 [varref]
    - 5 # env4 ↓ 5 [value]
  - diff # env4 ↓ 9 [multiplication]

Formalizing definitions

The **declaration assertion** notation (define ***Id E***) # ***env*** ⇓ ***env'*** means ``Processing the definition (define ***Id E***) in environment ***env*** yields a new environment ***env'***''. We use a different arrow, ⇓, to emphasize that definitions are not evaluated to values, but **processed to environments**.

```
| E # env ↓ V
----------------------------------- [define]
(define Id E)# env ⇓ Id ↦ V, env
```


Threading environments through definitions

```
| | 2 # ∅ ↓ 2 [value]
| | 3 # ∅ ↓ 3 [value]
| ---------------------- [addition]
| (+ 2 3)# ∅ ↓ 5
-------------------------------- [define]
(define a (+ 2 3))# ∅ ⇓ a ↦ 5
```

```
| | a # a ↦ 5 ↓ 5 [varref]
| | a # a ↦ 5 ↓ 5 [varref]
| --------------------------- [multiplication]
| (* a a)# a ↦ 5 ↓ 25
------------------------------------- [define]
(define b (* a a))# a ↦ 5 ⇓ b ↦ 25, a ↦ 5
```

```
| b # b ↦ 25, a ↦ 5 ↓ 25 [varref]
| a # b ↦ 25, a ↦ 5 ↓ 5 [varref]
---------------------------------- [subtraction]
(- b a)# b ↦ 25, a ↦ 5 ↓ 20
```

Racket Identifiers

- Racket identifiers are case sensitive. The following are four different identifiers: `ABC`, `Abc`, `aBc`, `abc`
- Unlike most languages, Racket is very liberal with its definition of legal identifers. Pretty much any character sequence is allowed as identifier with the following exceptions:
  - Can't contain whitespace
  - Can't contain special characters `()[]{}”,’`;#|\`
  - Can't have same syntax as a number
- This means variable names can use (and even begin with) digits and characters like `!@$%^&*.-+_:<=>?/` E.g.:
  - `myLongName, my_long__name, my-long-name`
  - `is_a+b<c*d-e?`
  - `76Trombones`
- Why are other languages less liberal with legal identifiers?

Small-step vs. big-step semantics

The evaluation derivations we've seen so far are called **a big-step semantics** because the derivation *e* # *env2* ↓ *v* explains the evaluation of *e* to *v* as one "big step" justified by the evaluation of its subexpressions.

An alternative way to express evaluation is a **small-step semantics** in which an expression is simplified to a value in a sequence of steps that simplifies subexpressions. You do this all the time when simplifying math expressions, and we can do it in Racket, too. E.g;

```
(- (* (+ 2 3) 9) (/ 18 6))
⇒ (- (* 5 9) (/ 18 6))
⇒ (- 45 (/ 18 6))
⇒ (- 45 3)
⇒ 42
```


Small-step semantics: intuition

Scan left to right to find the first redex (nonvalue subexpression that can be reduced to a value) and reduce it:

```
(- (* (+ 2 3) 9) (/ 18 6))
⇒ (- (* 5 9) (/ 18 6)) [addition]
⇒ (- 45 (/ 18 6)) [multiplication]
⇒ (- 45 3) [division]
⇒ 42 [subtraction]
```


Small-step semantics: reduction rules

There are a small number of reduction rules for Racket. These specify the redexes of the language and how to reduce them.

The rules often require certain subparts of a redex to be (particular kinds of) values in order to be applicable.

Id ⇒ *V* , where *Id* ↦ *V* is the first binding for *Id* in the current environment* [varref]

`(+` *V1 V2*`)` ⇒ *V*, where *V* is the sum of numbers *V1* and *V2* [addition]

There are similar rules for other arithmetic/relational operators

`(if` *Vtest Ethen Eelse* `)` ⇒ *Ethen*, if *Vtest* is not *#f* [if nonfalse]

`(if #f` *Ethen Eelse* `)` ⇒ *Eelse* [if false]

* In a more formal approach, the notation would make the environment explicit. E.g., *E* # *env* ⇒ *V*

Small-step semantics: conditional example

```
(+ (if {(< 1 2)} (* 3 4) (/ 5 6)) 7)
=> (+ {(if #t (* 3 4) (/ 5 6))} 7) [less than]
⇒ (+ {(* 3 4)} 7) [if nonfalse]
⇒ {(+ 12 7)} [multiplication]
⇒ 19 [addition]
```

Notes for writing derivations in text:

- You can use => for ⇒
- Use curly braces {...} to mark the redex
- Use square brackets to name the rule used to reduce the redex *from the previous line to the current line*.

Small-step semantics: errors as stuck expressions

Similar to big-step semantics, we model errors (dynamic type errors, divide by zero, etc.) in small-step semantics as expressions in which the evaluation process is stuck because no reduction rule is matched. For example:

```
(- (* (+ 2 3) #t) (/ 18 6))
⇒ (- (* 5 #t) (/ 18 6))

(if (= 2 (/ (+ 3 4) (- 5 5))) 8 9)
⇒ (if (= 2 (/ 7 (- 5 5))) 8 9)
⇒ (if (= 2 (/ 7 0)) 8 9)
```


Small-step semantics: your turn

Use small-step semantics to evaluate the following expressions:

```
(if (< 8 2) (+ #f 5) (+ 3 4))

(if (+ 1 2) (- 3 7) (/ 9 0))

(+ (if (< 1 2) (* 3 4) (/ 5 6)) 7)

(+ (if 1 2 3) #t)
```


Racket Documentation

Racket Guide:
https://docs.racket-lang.org/guide/

Racket Reference:
https://docs.racket-lang.org/reference