



# ML vs. Racket and Static vs. Dynamic Type-Checking

# ML vs. Racket

## Key differences

- syntax
- datatypes/pattern-matching vs. features not studied
- let, let\*, letrec
- eval
- macros
- ...
- **static type system vs. dynamic contracts\***

\* Typed Racket supports typed modules, interesting differences with ML.

# ML from a Racket perspective

A well-defined **subset** of Racket

Many Racket programs rejected by ML have bugs.

```
(define (g x) (+ x x)) ; ok
(define (f y) (+ y (car y)))
(define (h z) (g (cons z 2)))
```

In what ML allows, never need primitives like number?

```
(define (f x) (if (> x 0) #t (list 1 2)))
(define xs (list 1 #t "hi"))
(define y (f (car xs)))
```

Other Racket programs rejected by ML would work.

# Racket from an ML Perspective

Racket has "one big datatype" for *all* values.

```
datatype theType = Int of int | String of string
                  | Cons of theType * theType
                  | Func of theType -> theType
                  | ...
```

Constructors applied implicitly (values are *tagged*)

42 is really like Int 42

Int	42
-----	----

```
fun car v = case v of
  Pair(a,b) => a
  | _ => raise TypeError
fun pair? v = case v of Pair _ => true | _ => false
```

# Static checking

May reject a program *after* parsing, *before* running.

***Part of a PL definition: what static checking is performed?***

Common form: *static type system*

*Approach*: give each variable, expression, ..., a type

*Purposes*:

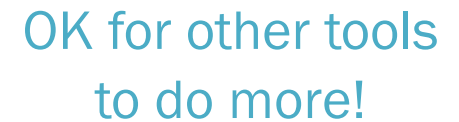
- Prevent misuse of primitives (4 / "hi")

- Enforce abstraction

- Avoid cost of dynamic (run-time) checks

- Document intent

- ...



OK for other tools  
to do more!

Dynamically-typed languages = little/no static checking

# Example: ML type-checking

Catches at compile time: ...

- Operation used on a value of wrong type
- Variable not defined in the environment
- Pattern-match with a redundant pattern

Catches only at run time: ...

- Array-bounds errors, Division-by-zero, explicit exceptions  
`zip ([1,2], ["a"])`
- Logic / algorithmic errors:
  - Reversing the branches of a conditional
  - Calling `f` instead of `g`

(Type-checker can't "read minds")

# Purpose: prevent some kinds of bugs

## But when / how well?

“Catch a bug before it matters.”

vs.

“Don’t report a (non-)bug that might not matter.”

Prevent evaluating `3 / 0`

- Keystroke time: **disallow it in the editor**
- Compile time: **disallow it if seen in code**
- Link time: **disallow it in code attached to `main`**
- Run time: **disallow it right when evaluating the division**
- Later: **Instead of doing division, return `+inf.0`**
  - Just like `3.0 / 0.0` does in every (?) PL (it’s useful!)

# Correctness

A type system is supposed to prevent X for some X.

A type system is *sound* if it never accepts a program that, when run with some input, does X.

*No false negatives / no missed X bugs*

A type system is *complete* if it never rejects a program that, no matter its input, will not do X.

*No false positives / no false X bugs*

Usual goal: sound but not complete (*why?*)



# Incompleteness

ML rejects these functions even though they never divide by a string.

```
fun f1 x = 4 div "hi" (* but f1 never called *)  
  
fun f2 x = if true then 0 else 4 div "hi"  
  
fun f3 x = if x then 0 else 4 div "hi"  
val y = f3 true  
  
fun f4 x = if x <= abs x then 0 else 4 div "hi"  
  
fun f5 x = 4 div x  
val z = f5 (if true then 1 else "hi")
```

# Why incompleteness?

Almost everything worth checking statically is **undecidable**:

- Any static checker *cannot* do all of:
  1. always terminate
  2. be sound
  3. be complete
- See CS 235.

"Will this function..."

- terminate on some input?
- ever use a variable not in the environment?
- treat a string as a function?
- divide by zero?

Undecidability is an essential concept at the core of computing

- The inherent approximation of static checking is probably its most important ramification.

# What if it's unsound?

It's wrong. Fix the broken language definition.

Hybrid checking? Add dynamic checks to catch X at run time.

Weak typing? "Best effort," but X could still happen.

Catch-fire semantics? 

Allow *anything* (not just X) to happen if program *could* do X.

- Simplify implementer's job at cost of programmability.
- Assume correctness, avoid costs of checking, optimize.

# Weak typing $\Rightarrow$ weak software

An outdated sentiment: "strong types for weak minds"

- "Humans will always be smarter than a type system (cf. undecidability), so need to let them say *trust me*."

Closer to reality: "strong types amplify/protect strong minds."

- Humans really bad at avoiding bugs, need all the help we can get!
- Type systems have gotten much more expressive (fewer false positives)
- Types do not need to be fully explicit

1 bug in 30M-line OS (in C) makes entire computer vulnerable.

- Bug like this was announced this week (every week)

# Racket: dynamic, not weak!

Dynamic checking is the *definition*

If *implementation* proves some checks unneeded, it may *optimize them away*.

Convenient

- Cons cells can build anything
- Anything except  $\#f$  is true
- **Not** “catch-fire semantics” / weak typing

# Don't confuse semantic choices and checking.

Semantics: Is this allowed? What does it mean?

- `"foo" + "bar"`
- `"foo" + 3`
- `array[10]` when `array` has only 5 elements
- Call a function with missing/extra arguments

Not an issue of static vs. dynamic vs. weak checking.

- Does involve trade off convenience vs. catching bugs early.

Racket generally less lenient than JavaScript, Ruby, ...

# Which is better? **Static?** **Dynamic?** **Weak?** **Discuss.**

Most languages mix static / dynamic.

- Common: types for primitives checked statically; array bounds are not.

Discuss:

- Flexibility/Expressiveness
- Convenience
- Catch bugs
- Efficiency (run-time, programming-time, debugging-time, fixing-time)
- Reuse
- Prototyping
- Evolution/maintenance, Documentation value
- ...

## Convenience: **Dynamic** is more convenient.

Build a heterogeneous list or return a “number or a string” without workarounds.

```
(define (f y)
  (if (> y 0) (+ y y) "hi"))

(let ([ans (f x)])
  (if (number? ans) (number->string ans) ans))
```

```
datatype t = Int of int | String of string
fun f y = if y > 0 then Int(y+y) else String "hi"

case f x of
  Int i => Int.toString i
| String s => s
```



# Convenience: **Static** is more convenient.

Assume data has the expected type.

Avoid clutter (explicit dynamic checks).

Avoid errors far from logical mistake.

```
(define (cube x)
  (if (not (number? x))
      (error "bad arguments")
      (* x x x)))

(cube 7)
```

```
fun cube x = x * x * x

cube 7
```

# Expressiveness: Static prevents useful programs.

All sound static type system forbid some programs that do nothing wrong, possibly forcing programmers to code around limitations.

```
(define (f g)
  (cons (g 7) (g #t)))

(define pair_of_pairs
  (f (lambda (x) (cons x x))))
```

```
fun f g = (g 7, g true) (* might not type-check *)
val pair_of_pairs = f (fn x => (x,x))
```

# Expressiveness: Static lets you tag as needed.

Pay costs of tagging (time, space, late errors) only where needed, rather than on everything, everywhere, all the time.

Common: a few cases needed in a few spots.

Extreme: "TheOneRacketType" in ML, everything everywhere.

```
datatype tort = Int of int
              | String of string
              | Cons of tort * tort
              | Fun of tort -> tort
              | ...

if e1
then Fun (fn x => case x of Int i => Int (i*i*i))
else Cons (Int 7, String "hi")
```

# Bugs: Static catches bugs earlier.

Lean on type-checker for compile-time bug-catching.

Test logic only, not types.

```
(define (pow x) ; curried
  (lambda (y)
    (if (= y 0)
        1
        (* x (pow x (- y 1)))))) ; oops
```

```
fun pow x y = (* does not type-check *)
  if y = 0
  then 1
  else x * pow (x, y-1)
```

# Bugs: Static catches only easy bugs.

Type bugs are "easy" bugs.

Still need to test for subtler bugs (non-type bugs).

```
(define (pow x) ; curried
  (lambda (y)
    (if (= y 0)
        1
        (+ x ((pow x) (- y 1))))))
```

```
fun pow x y = (* curried *)
  if y = 0
  then 1
  else x + pow x (y-1)
```

# Efficiency: Static typing is faster.

Language implementation:

- Need not store tags (space, time)
- Need not check tags (time)

Your code:

- Need not check argument and result types.  
(Convenience, Expressiveness, Bugs)

Your effort:

- Need not spend time writing checks or debugging type issues later.  
(Bugs)

# Efficiency: Dynamic typing is faster.

## Language implementation:

- May optimize to remove some unnecessary tags and tests
  - Example: `(let ([x (+ y y)]) (* x 4))`
- Hard (impossible) in general
- Often easier for performance-critical parts of program
- Can be surprisingly effective

## Your code:

- Need not “code around” type-system limits with extra tags, functions  
(Convenience, Expressiveness)

## Your effort:

- Need not spend time satisfying type checker *now*.  
(Convenience, Expressiveness)

# Reuse: Code reuse easier with dynamic.

Reuse code on different data flexibly without restrictive type system.

- If you use cons cells for everything, libraries that work on cons cells are useful.
- Collections libraries are amazingly useful, may have complicated static types.
- Use code based on what it actually does, not just what it says it can do.



# Reuse: Code reuse easier with static.

Modern type systems support reasonable code reuse with features like generics and subtyping.

If you use cons cells for everything, confusion and difficult debugging will ensue.

- Use separate static types to keep ideas separate
- Static types help avoid library *misuse*

Enforce clean abstractions and invariants for safe/reliable code reuse.

- Also possible with dynamic types, less common, often involves at least a small static component.

# But software evolves.

Considered 5 things important when *writing* code:

1. Convenience
2. Not preventing useful programs
3. Catching bugs early
4. Performance
5. Code reuse

What about:

- **Prototyping** *before* a spec is stable
- **Maintenance / evolution** *after* initial release

# Prototyping: Dynamic better for prototyping.

Early on, may not know what cases needed in datatypes and functions.

- Static typing disallows code without having all cases.
- Dynamic lets incomplete programs run.
- Static forces premature commitments to data structures.
- Waste time appeasing the type-checker when you will just change it/throw it away soon anyway.

# Prototyping: Static better for prototyping.

Document evolving data structures and code-cases with the type system.

New, evolving code most likely to make inconsistent assumptions.

Temporary stubs as necessary, such as

```
| _ => raise Unimplemented
```

but don't forget to remove them!

Prototypes have a nasty habit of becoming permanent.

# Evolution: Dynamic better for evolution.

Change code to be more permissive without affecting callers.

- Example: Take an `int` or a `string` instead of an `int`
- **ML: existing callers must now use constructor on arguments, pattern-match results.**
- **Racket: existing callers can be oblivious**

```
(define (f x) (* 2 x))
```

```
(define (f x)
  (if (number? x)
      (* 2 x)
      (string-append x x)))
```

```
fun f x = 2 * x
```

```
fun f x =
  case f x of
    Int i    => Int (2 * i)
  | String s => String(s ^ s)
```

Counter-argument: Quick hacks leave bloated, confusing code.

Easy to make deeper change that accidentally breaks callers.

# Evolution: Static better for evolution.

When changing types of data or code, type-checker errors provide a to-do list of necessary changes.

- Avoids introducing bugs.
- The more of your spec that is in your types, the more the type-checker lists what to change when your spec changes.

Examples:

- Change the return type of a function
- Add a new constructor to a datatype

Counter-argument:

- The to-do list is mandatory. Incremental evolution is a pain.
- Cannot test part-way through.

# Resolved?

Static vs. dynamic typing is too coarse a question.

- Better: *What* should we enforce statically? Dynamically?
- My research area: more of both, work together.

Legitimate trade-offs, not all-or-nothing.

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## Beyond...

- Gradual typing
  - Long-running, active research field
  - Just starting to appear in practice
  - Still some kinks to work out
- Would programmers use such flexibility well? Who decides?

optional

# Beyond...

More expressive static type systems that allow more safe behaviors (without more unsafe behaviors).

- **Dependent typing** (long-running, active research field)
- Starting to see wider adoption
- Concurrency, network activity, security, data privacy
- Strong, fine-grain guarantees

```
fun nth 0 (x::xs) = x
    | nth n (x::xs) = nth (n-1) xs
```

SML type checker: pattern-matching inexhaustive.

```
nth : int -> 'a list -> 'a
```

Dependent types would allow:

```
nth : (n:int, n>=0) -> (xs:'a list, length xs >= n) -> 'a
```

Or maybe even:  $\rightarrow (r:'a, \text{exists } ys, zs,$

```
xs = (ys @ (r::zs)), length ys = n)
```



# Beyond...

Types are *much* more.

Curry-Howard correspondence: **Proofs are Programs!**

Great power is hidden behind this idea...

Logic	Programming Languages
Propositions	Types
Proposition $P \rightarrow Q$	Type $P \rightarrow Q$
Proposition $P \wedge Q$	Type $P * Q$
Proof of proposition $P$	Expression $e : P$
Proposition $P$ is provable	$\exists$ expression $e : P$

*What then is 'a in logic?*