

# Cycle Therapy

## *A Prescription for Fold and Unfold on Regular Trees*

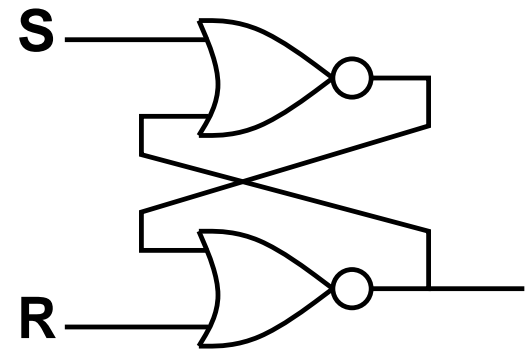
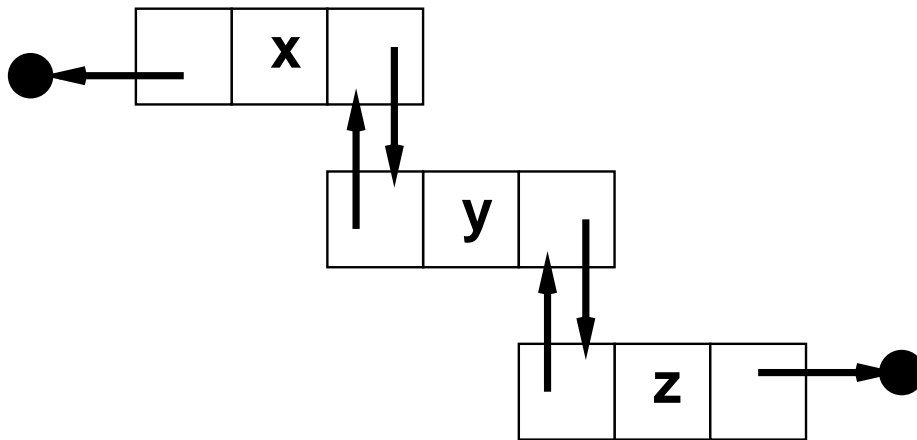
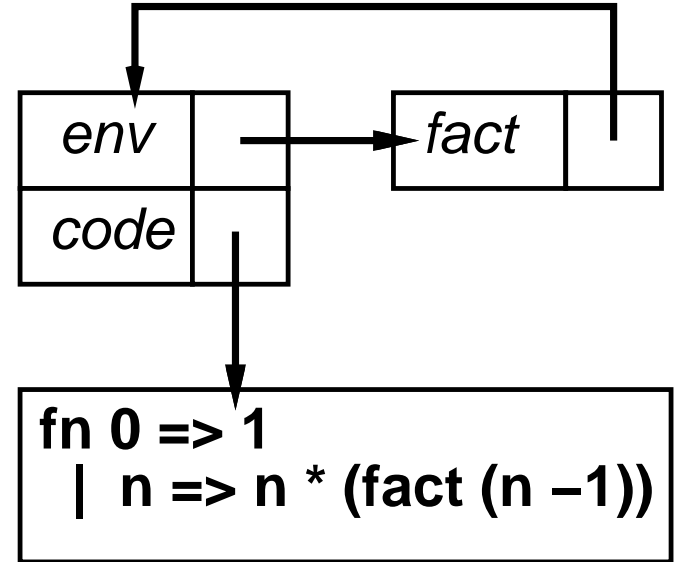
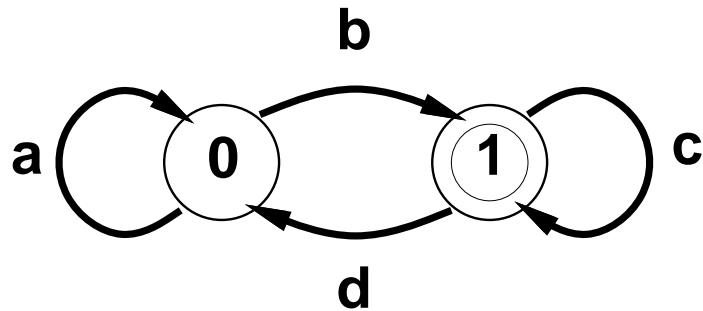
Franklyn Turbak

*Wellesley College*

J. B. Wells

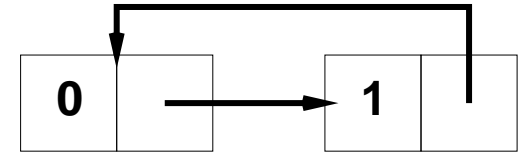
*Heriot-Watt University*

# Cyclic Structures Are Ubiquitous



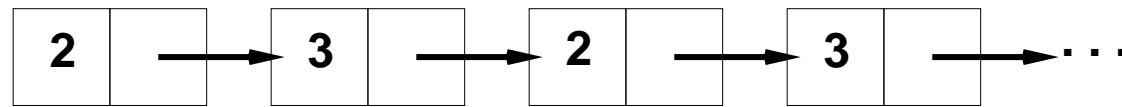
# Cycles Are Tricky To Manipulate

Consider Haskell's `alts = 0:1:alts`



● Naïve generation  $\Rightarrow$  unbounded structures:

● `let inf x y = x:(inf y x) in inf 2 3`



● `map (\ x -> x + 2) alts`

● Naïve accumulation  $\Rightarrow$  divergence:

● `foldr (+) 0 alts`

● `foldr Set.insert Set.empty alts`

● Dependency on language features: laziness, side effects, node equality, recursive binding constructs, etc.

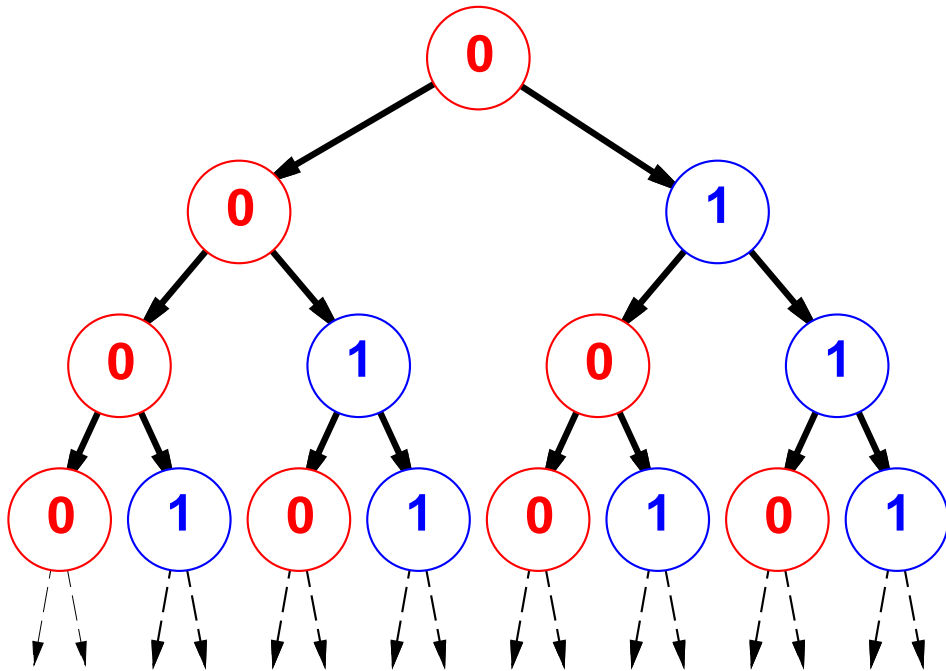
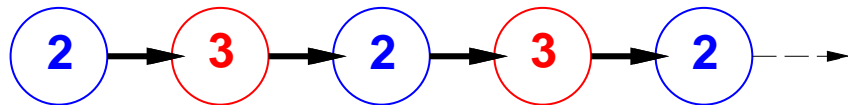
# Road Map

- Viewing cyclic structures as infinite regular trees.
- Adapting the tree-generating `unfold` function to generate cyclic structures for infinite regular trees.
- Adapting the tree-accumulating `fold` function to return non-trivial results for strict combining functions and infinite regular trees.
- Cycamores: an abstraction for manipulating regular trees that we have implemented in ML and Haskell.

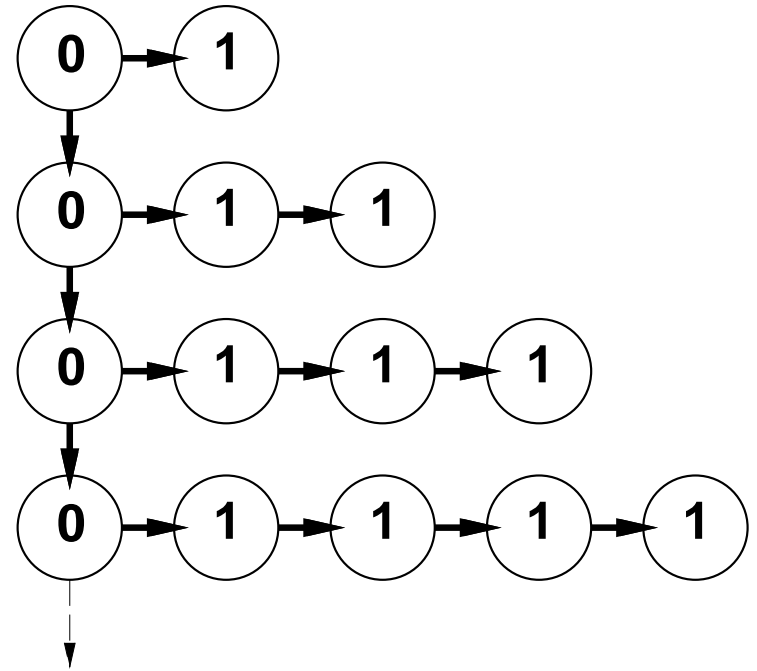
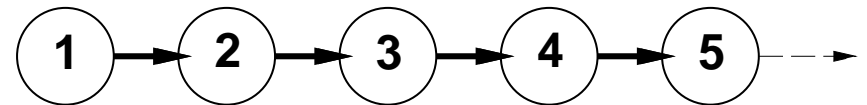
# Regular Trees

A tree is *regular* if it has a finite number of distinct subtrees.

*Infinite Regular Trees*

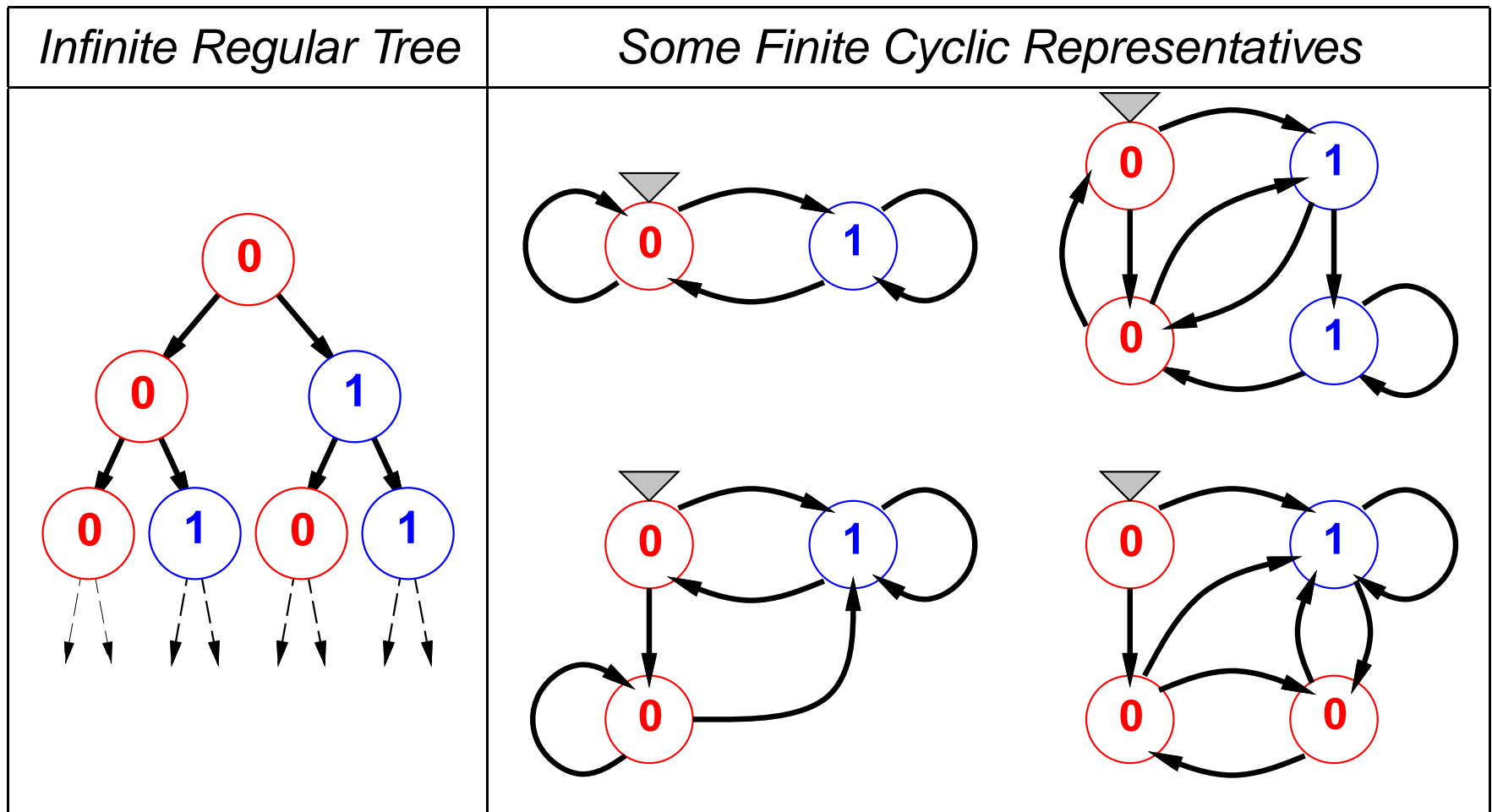


*Infinite Non-regular Trees*



# Cyclic Representatives

Finite cyclic graphs denote infinite regular trees.  
The same tree may be represented by many finite graphs.



# Goals

Develop high-level abstractions for creating and manipulating regular trees that:

- efficiently represent regular trees using cyclic graphs;
- do not expose the finite representative denoting an infinite regular tree;
- are relatively insensitive to the features of the programming language in which they are embedded.

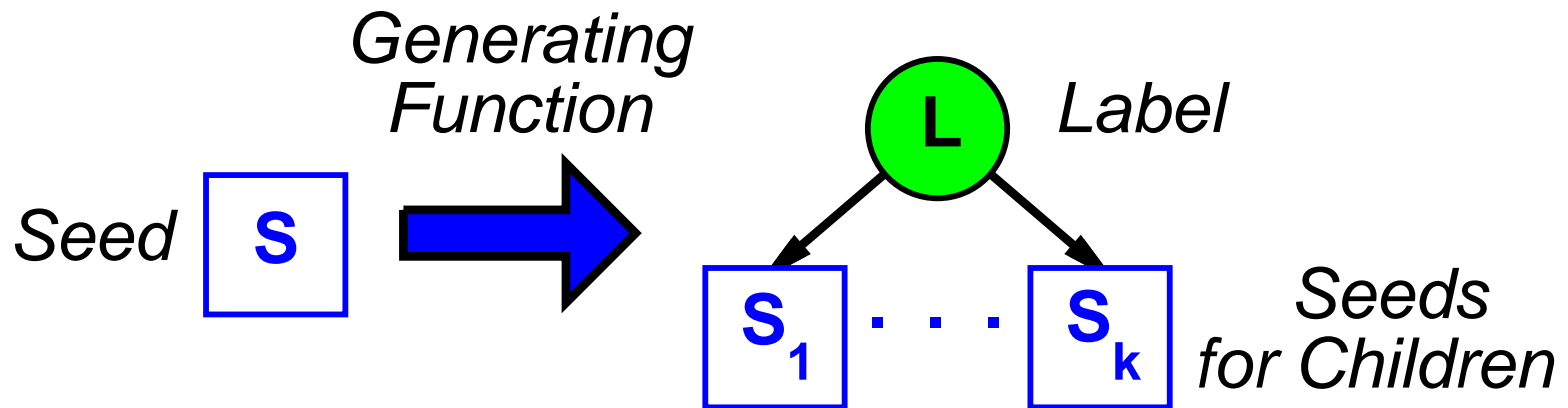
# Road Map

- Viewing cyclic structures as infinite regular trees.
- Adapting the tree-generating `unfold` function to generate cyclic structures for infinite regular trees.
- Adapting the tree-accumulating `fold` function to return non-trivial results for strict combining functions and infinite regular trees.
- Cycamores: an abstraction for manipulating regular trees that we have implemented in ML and Haskell.



# Tree Generation via Unfold

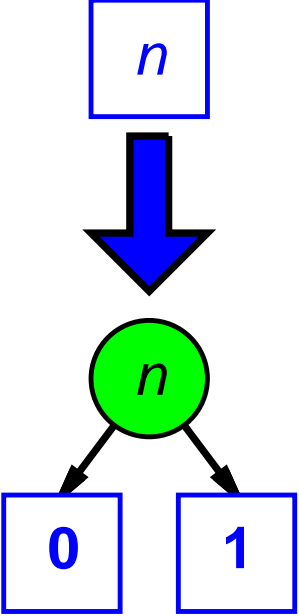
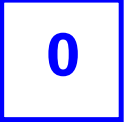
The unfold operator generates a tree from a generating function and a seed.



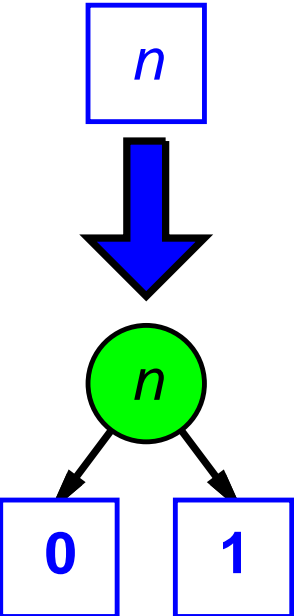
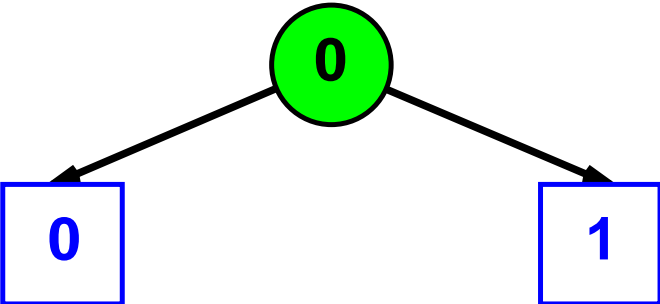
$$\text{unfold} : \underbrace{(S \rightarrow (L \times (S^\omega)))}_{\text{generating function } \psi} \rightarrow \underbrace{S}_{\text{seed}} \rightarrow \underbrace{\text{Tree}(L)}_{\text{trees over } L}$$

$\psi$ -anamorphism

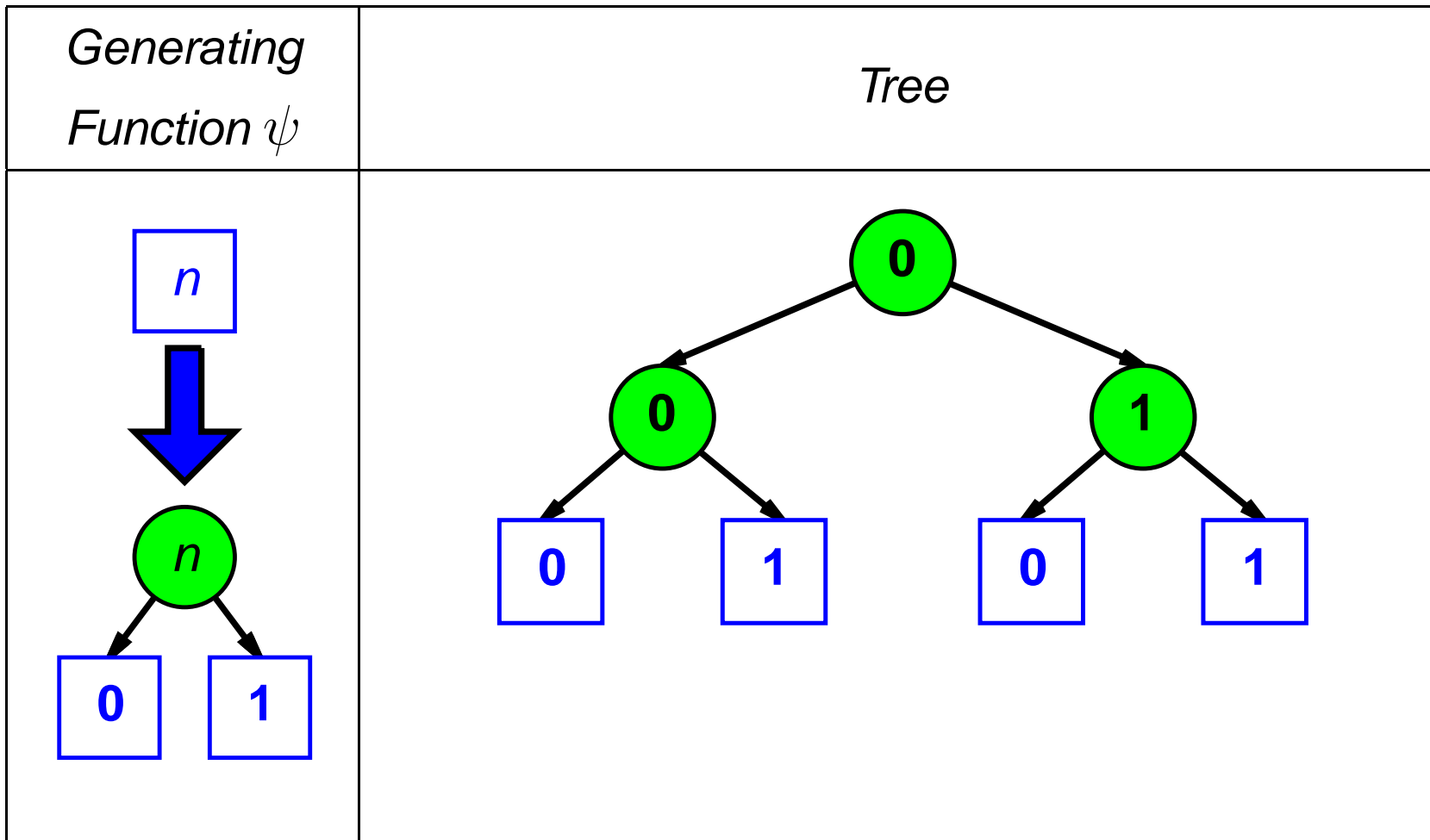
# Unfold Example 1: Regular Tree

<i>Generating Function <math>\psi</math></i>	<i>Tree</i>
 <p>The diagram shows a generating function <math>\psi</math>. It starts with a blue square containing the variable <math>n</math>. A large blue arrow points down to a green circle containing <math>n</math>. From this green circle, two arrows point down to two blue squares containing the constants <math>0</math> and <math>1</math>.</p>	 <p>The tree consists of a single blue square containing the constant <math>0</math>.</p>

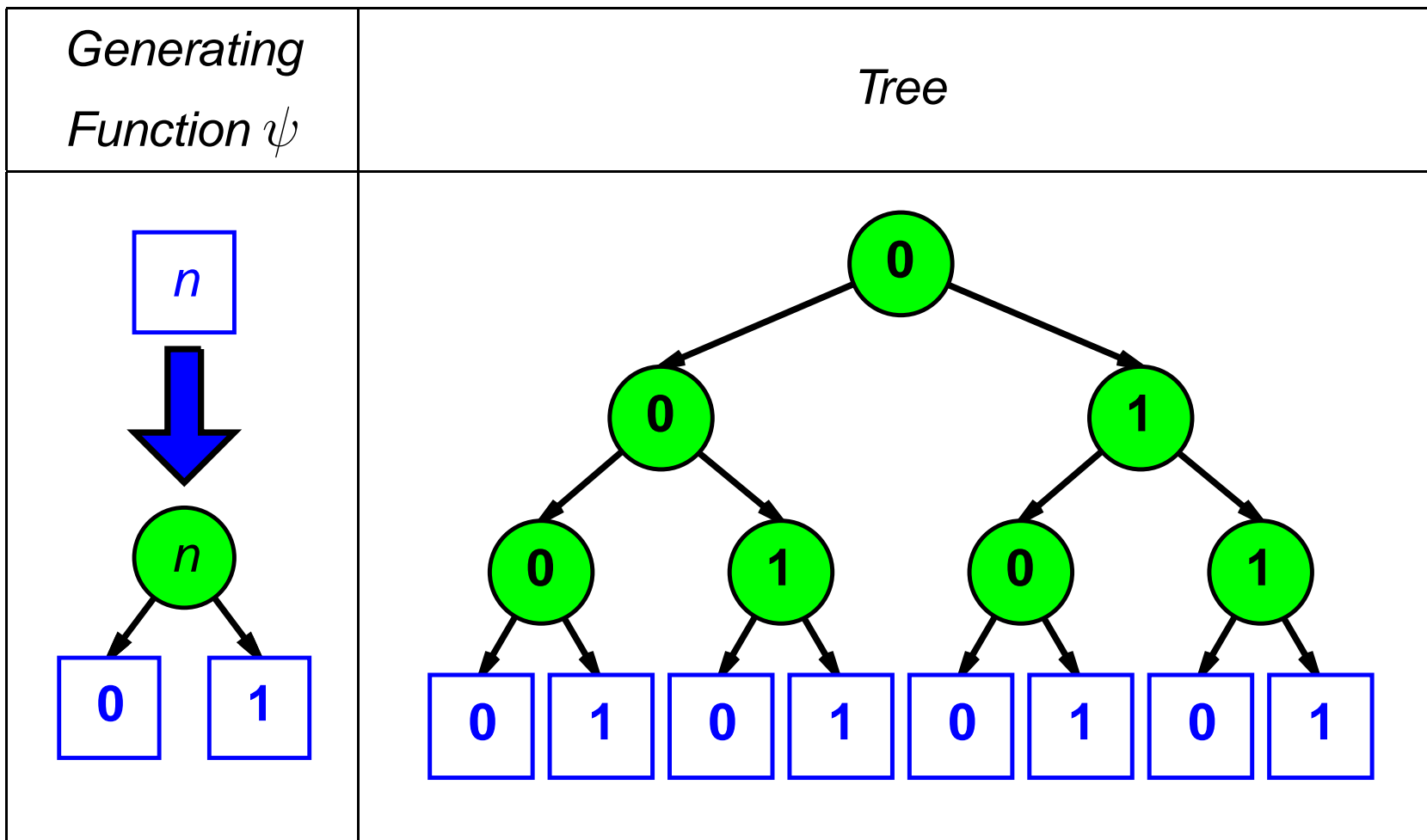
# Unfold Example 1: Regular Tree

<i>Generating Function <math>\psi</math></i>	<i>Tree</i>
	

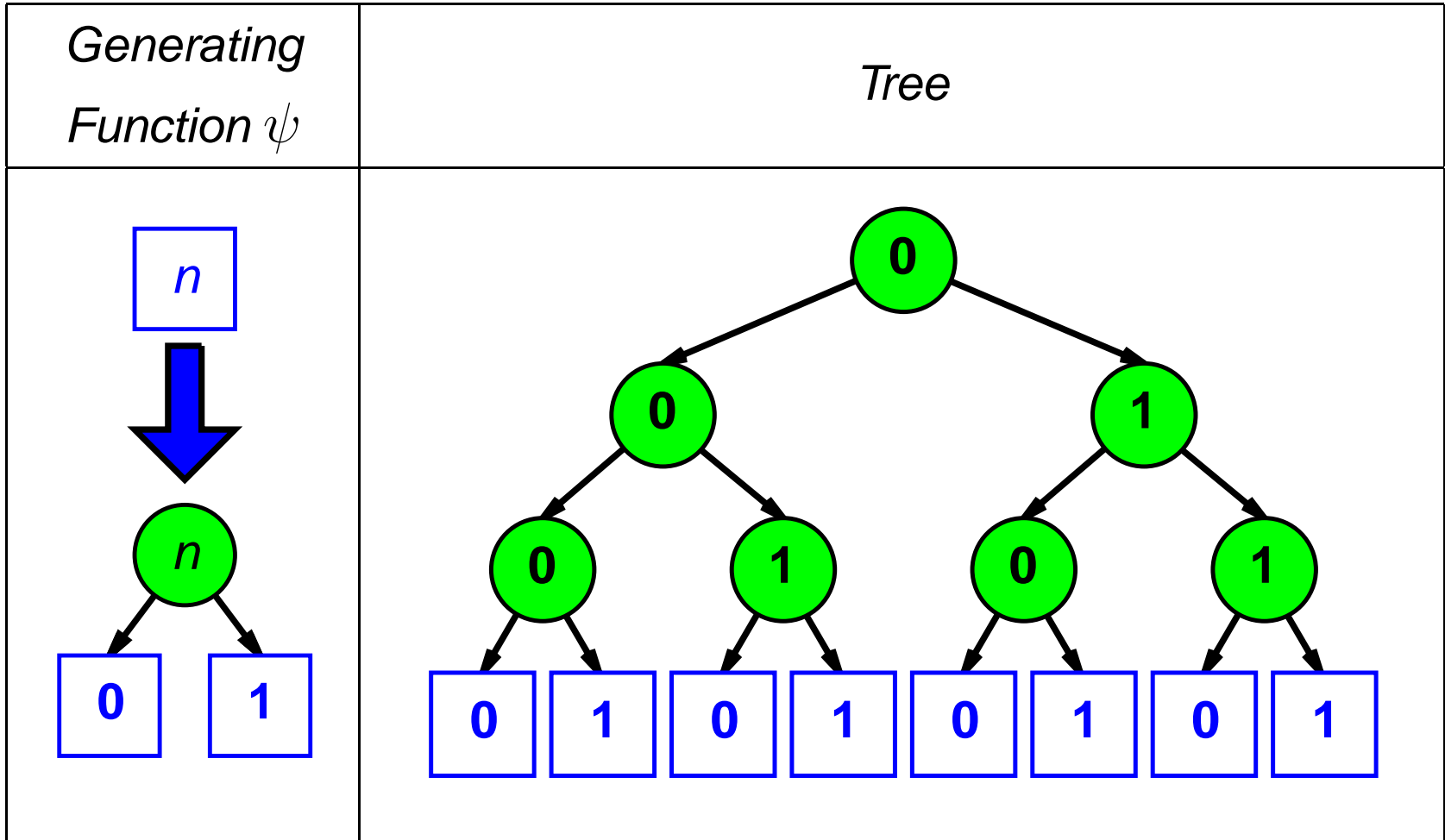
# Unfold Example 1: Regular Tree



# Unfold Example 1: Regular Tree

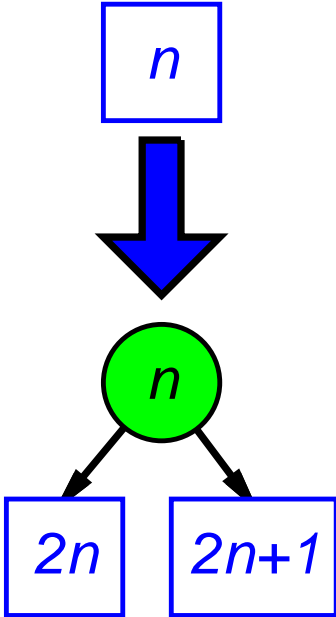
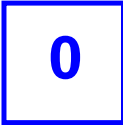


# Unfold Example 1: Regular Tree

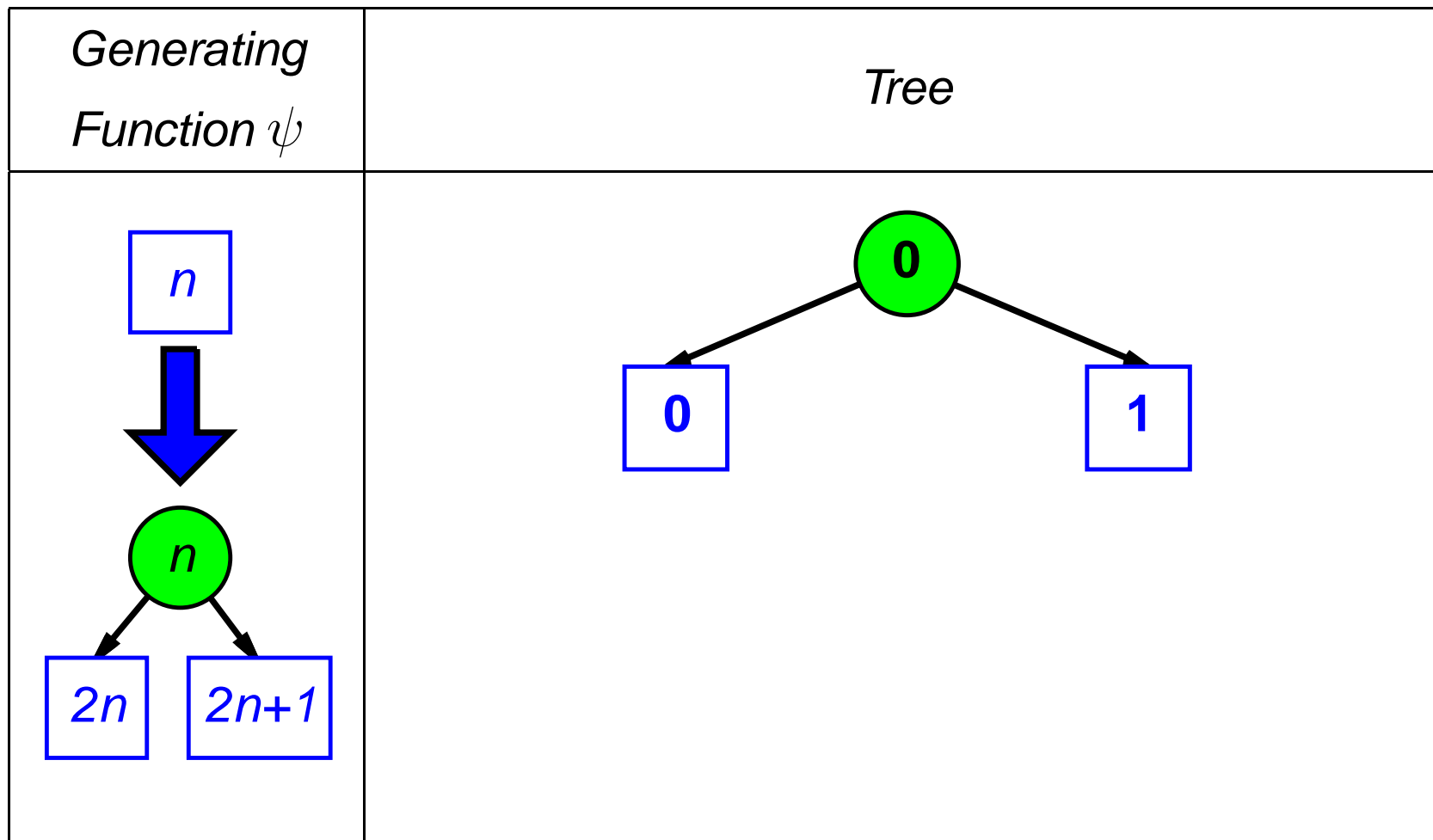


$$\text{deps}(0, \psi) = \{0, 1\}$$

# Unfold Example 2: Non-regular Tree

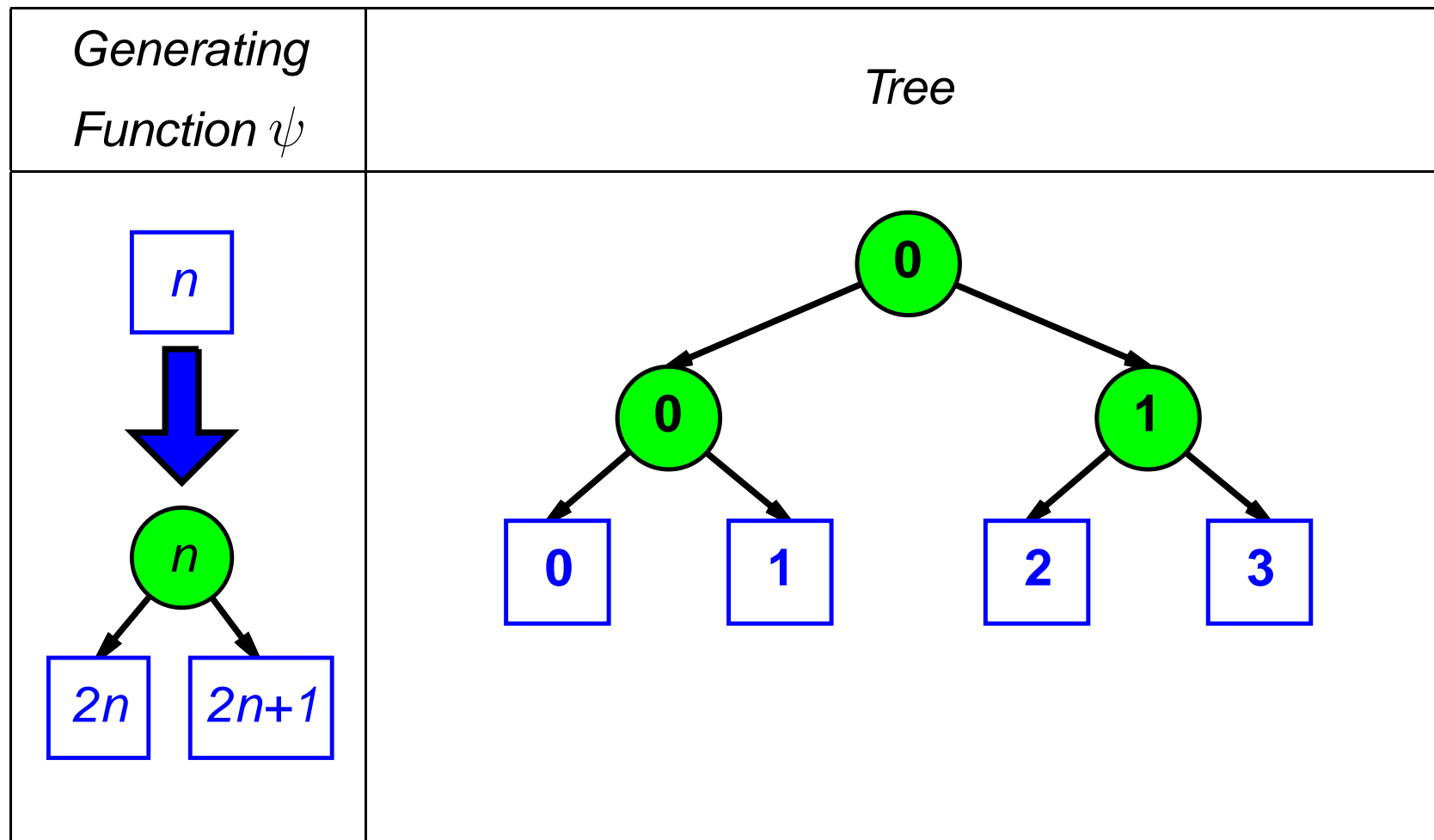
<i>Generating Function <math>\psi</math></i>	<i>Tree</i>
 <p>The diagram shows a square box containing the variable <math>n</math>. A large blue arrow points downwards from this box to a green circle also containing the variable <math>n</math>. From the green circle, two arrows point downwards to two separate square boxes containing the expressions <math>2n</math> and <math>2n+1</math>.</p>	 <p>The diagram shows a single square box containing the number 0, representing a tree with one root node.</p>

# Unfold Example 2: Non-regular Tree

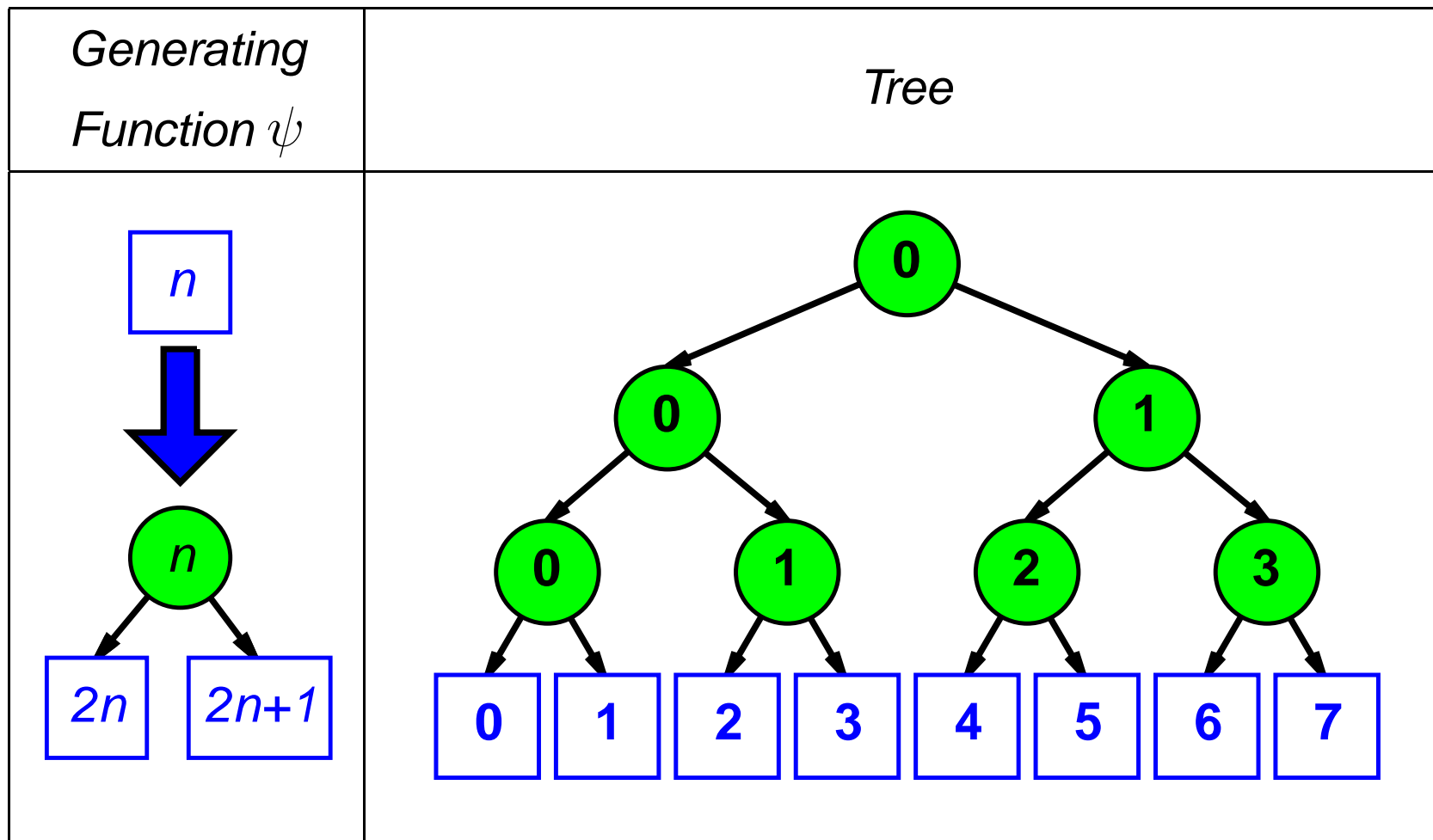




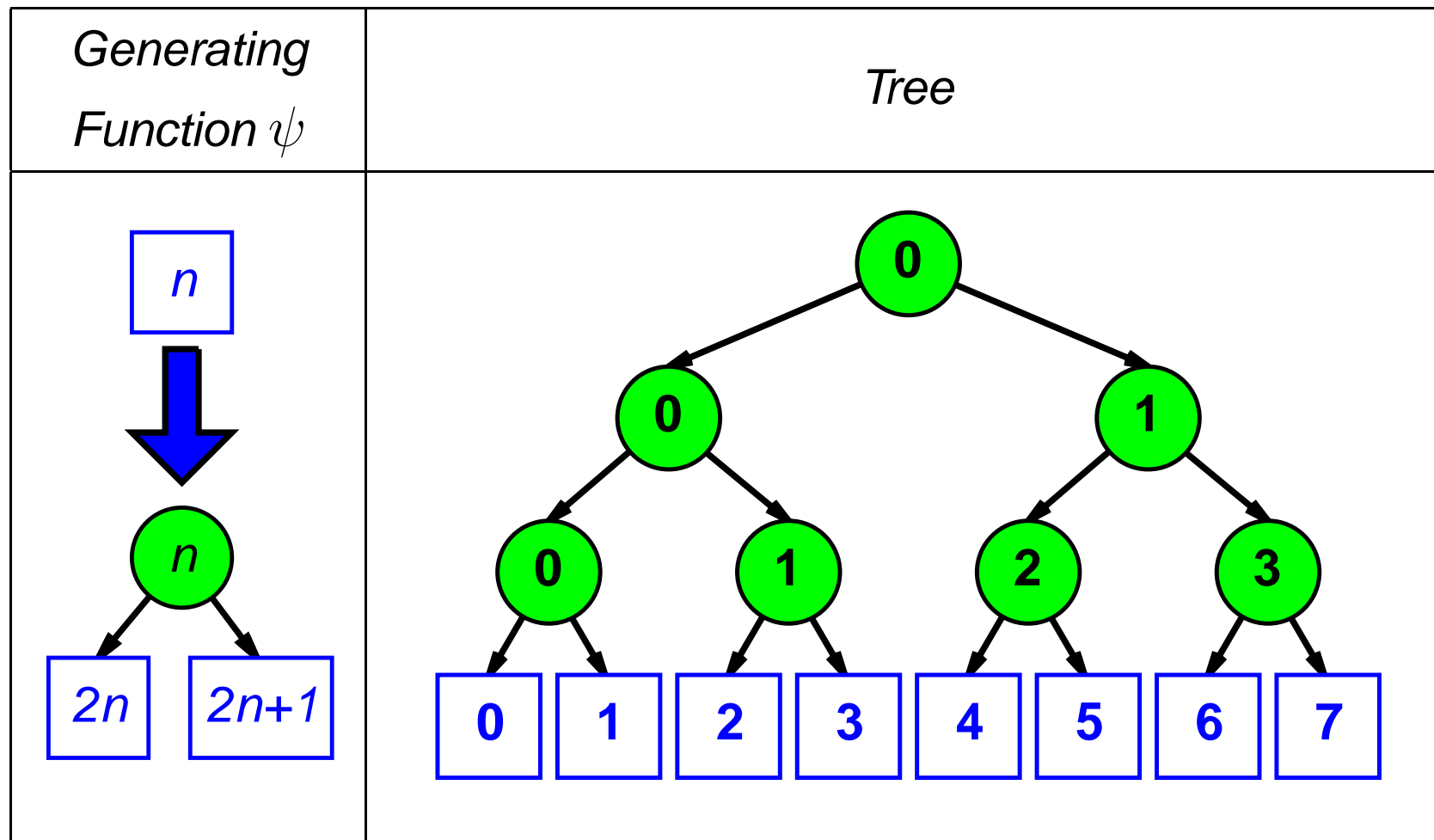
# Unfold Example 2: Non-regular Tree



# Unfold Example 2: Non-regular Tree



# Unfold Example 2: Non-regular Tree



$$\text{deps}(0, \psi) = \{0, 1, 2, 3, 4, 5, 6, 7, \dots\}$$


# Unfold Lemma

If  $\text{deps}(x, \psi)$  is finite, then  $\text{unfold}(\psi)(x)$  is a regular tree.

- Converse of this lemma does not hold.
- Basis for implementation of `unfold` that “ties cyclic knots” for (some) regular trees via memoization on seeds (a la Hughes’s *Lazy Memo Functions*, FPCA’85).

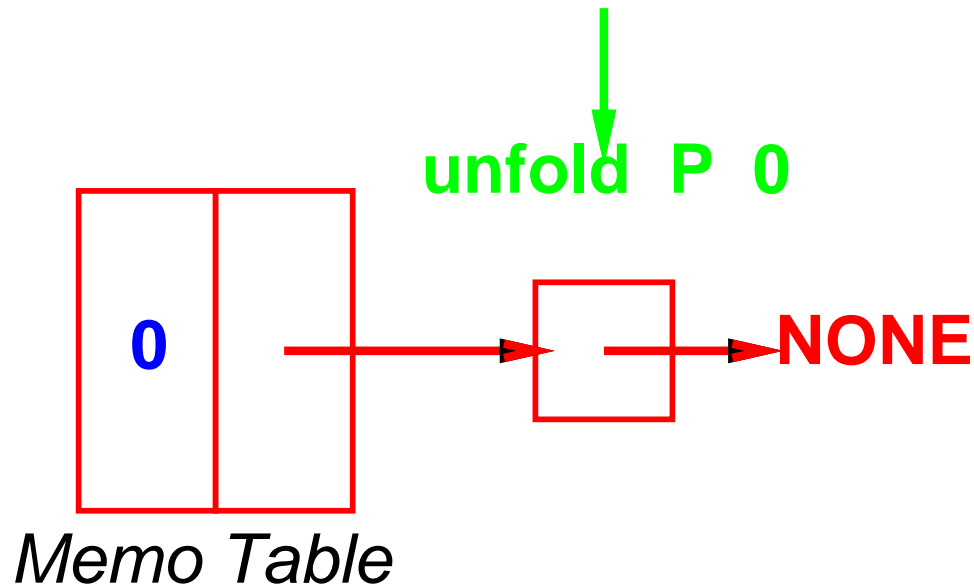
# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`  
initial seed : `0`

  
`unfold P 0`

# Unfold Implementation: Standard ML

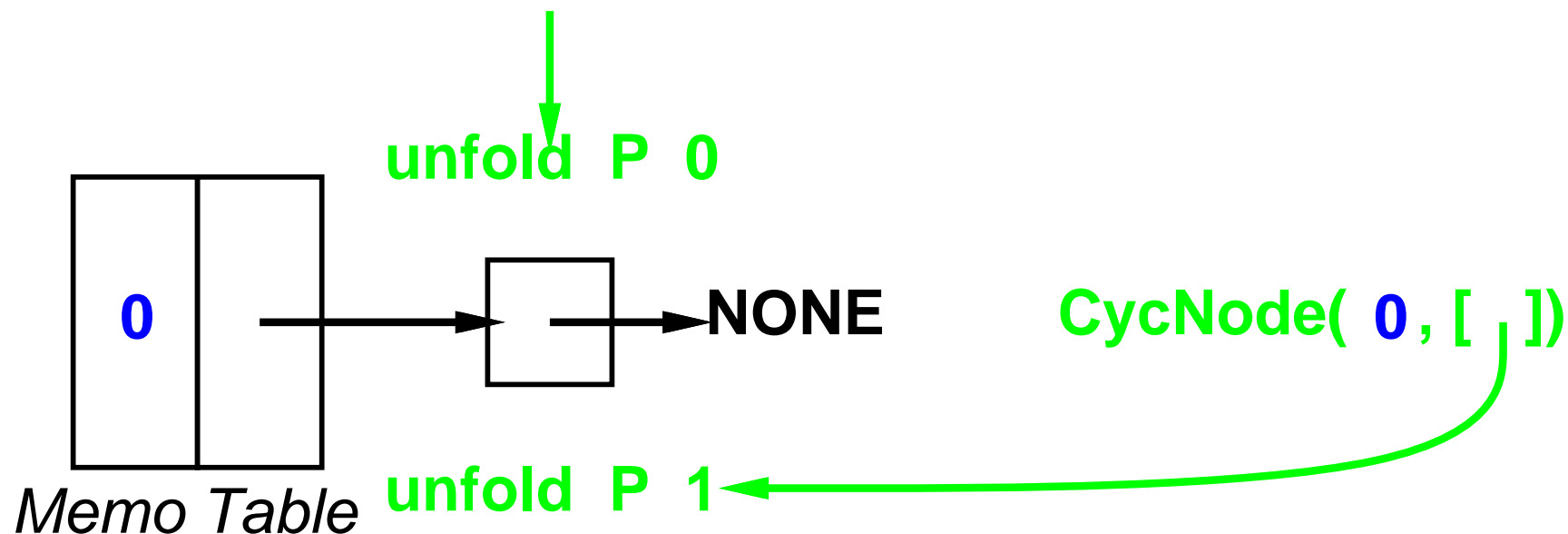
generating fcn.: `fun P n = (n, [(n+1) mod 2])`  
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

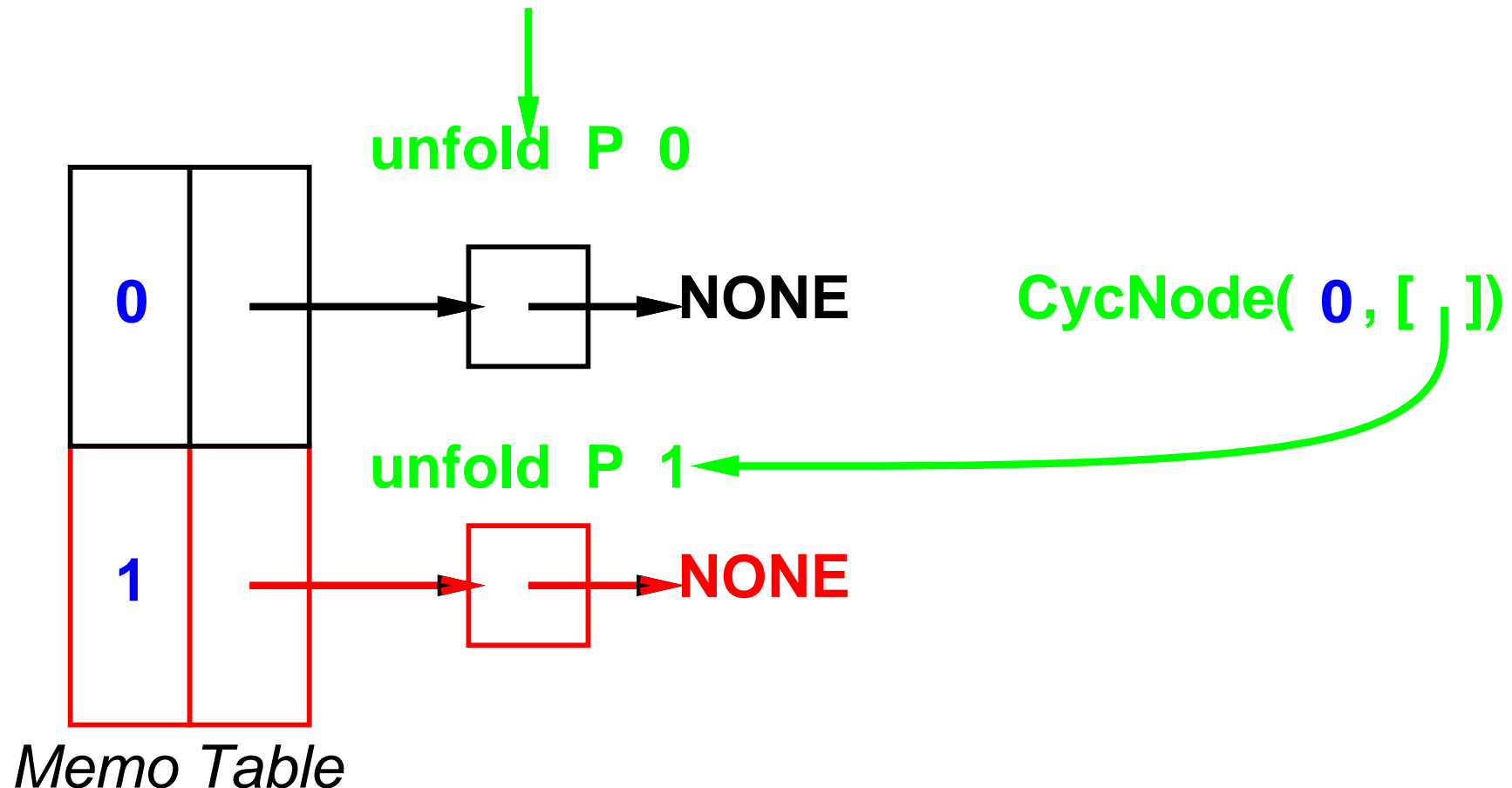
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

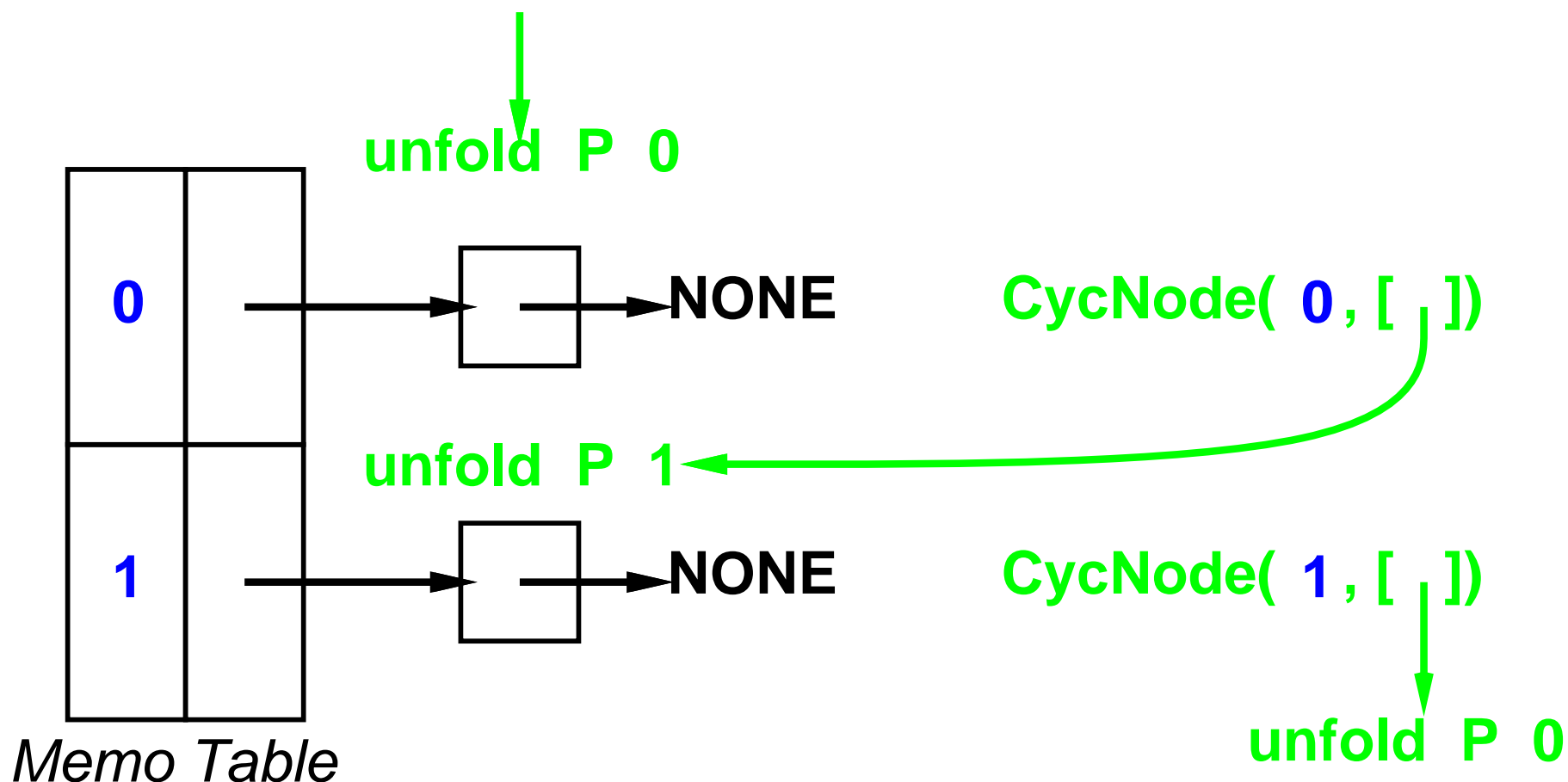
initial seed : 0





# Unfold Implementation: Standard ML

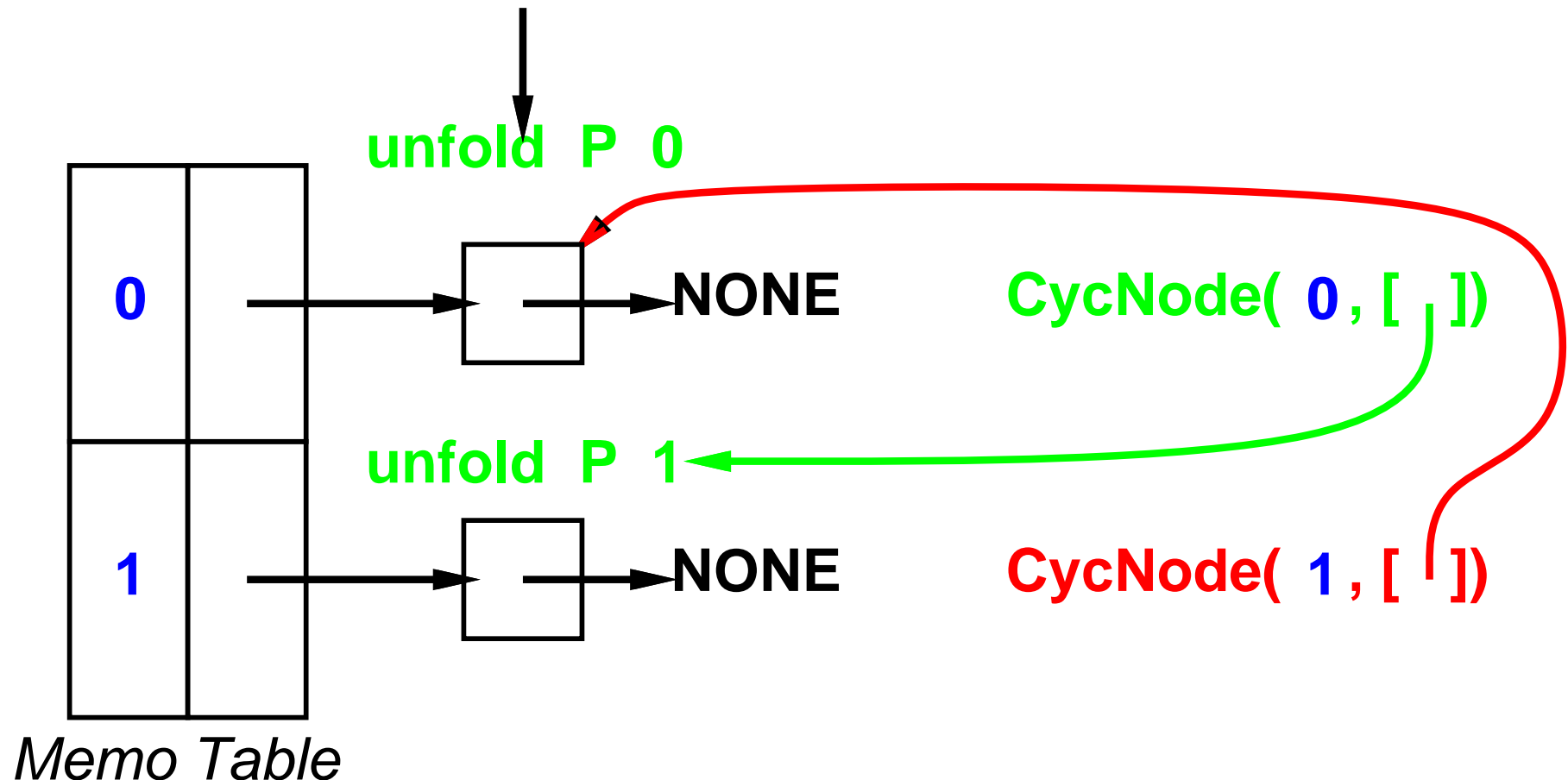
generating fcn.: `fun P n = (n, [(n+1) mod 2])`  
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

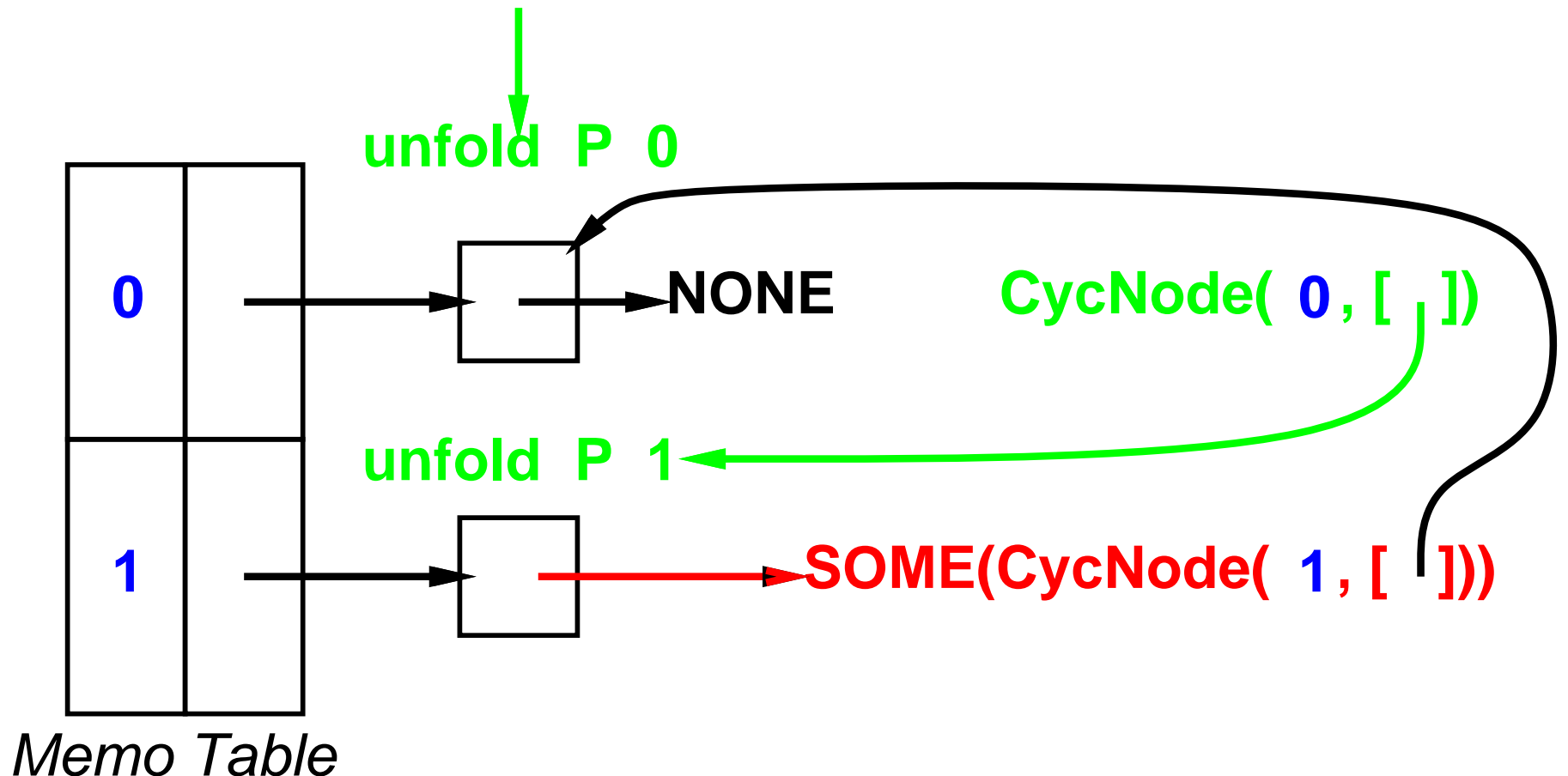
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

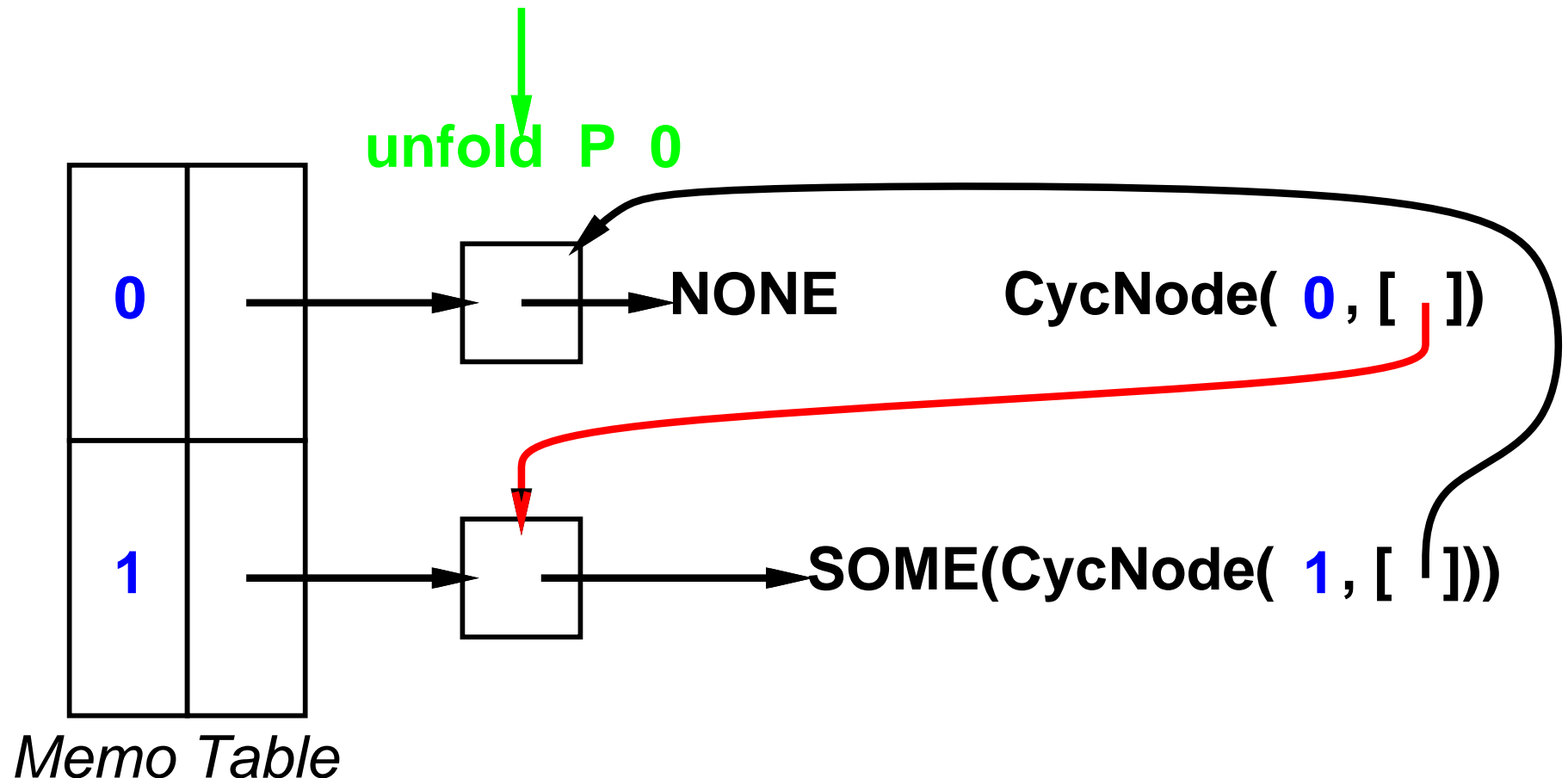
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

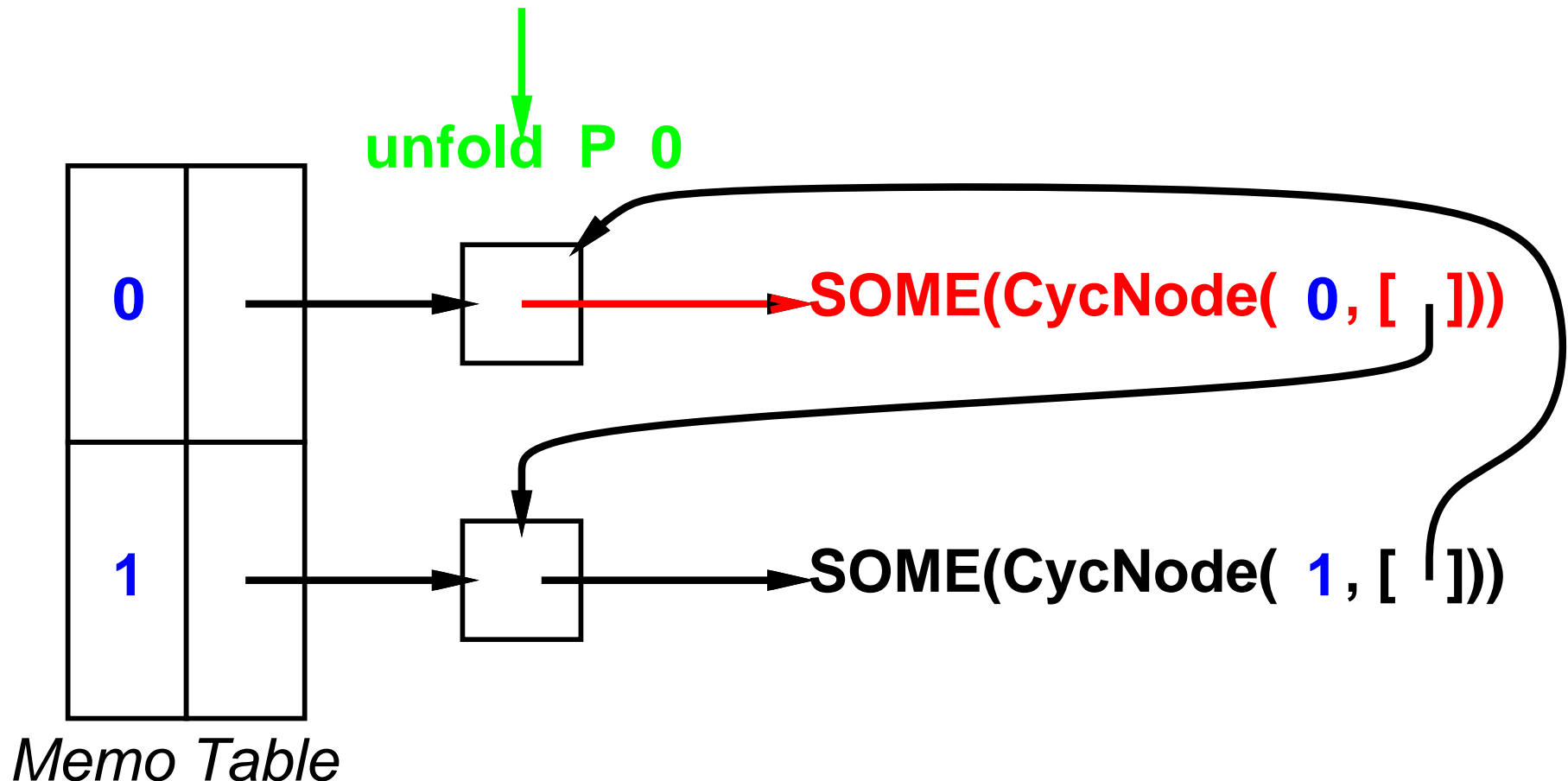
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

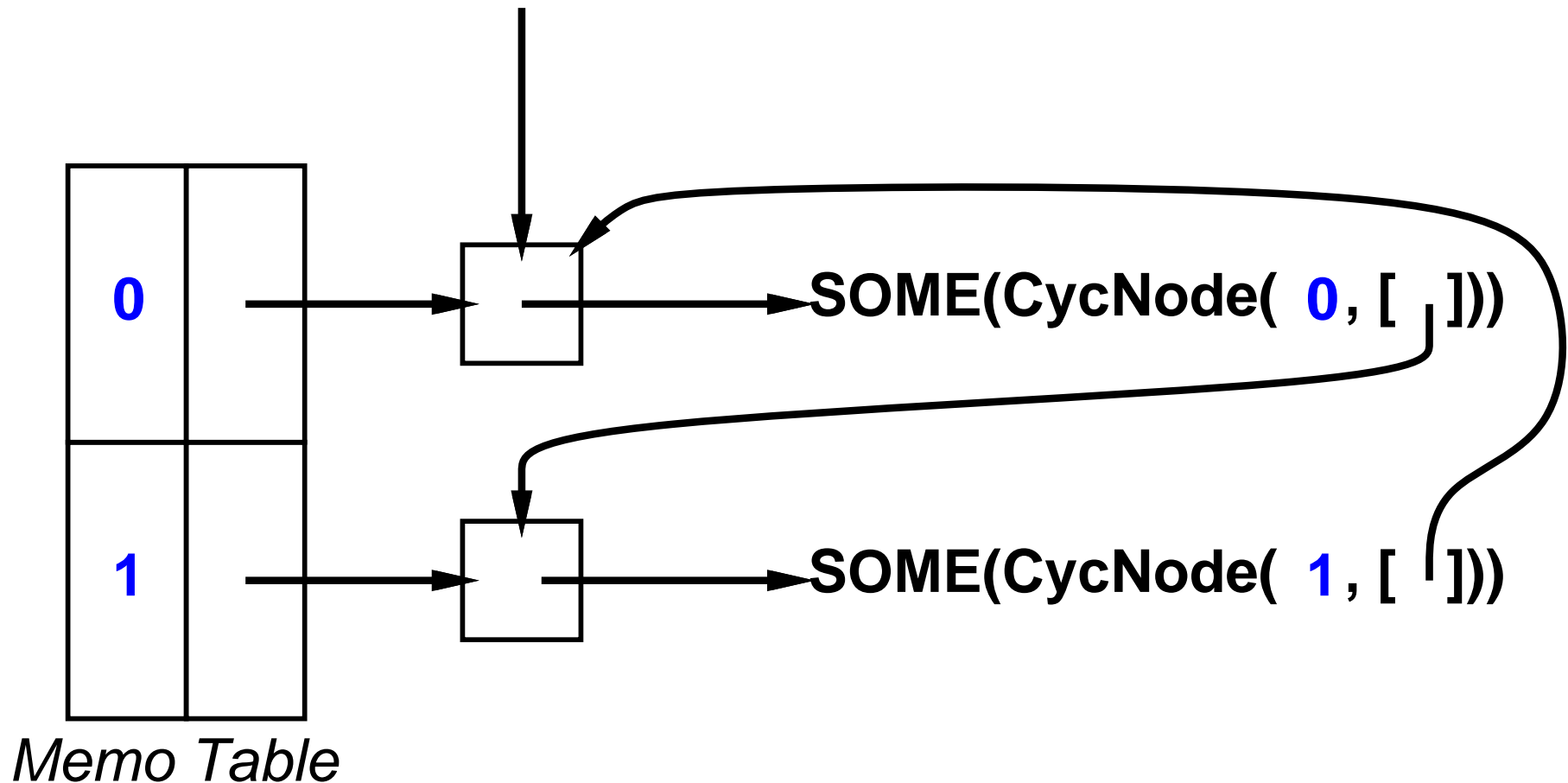
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

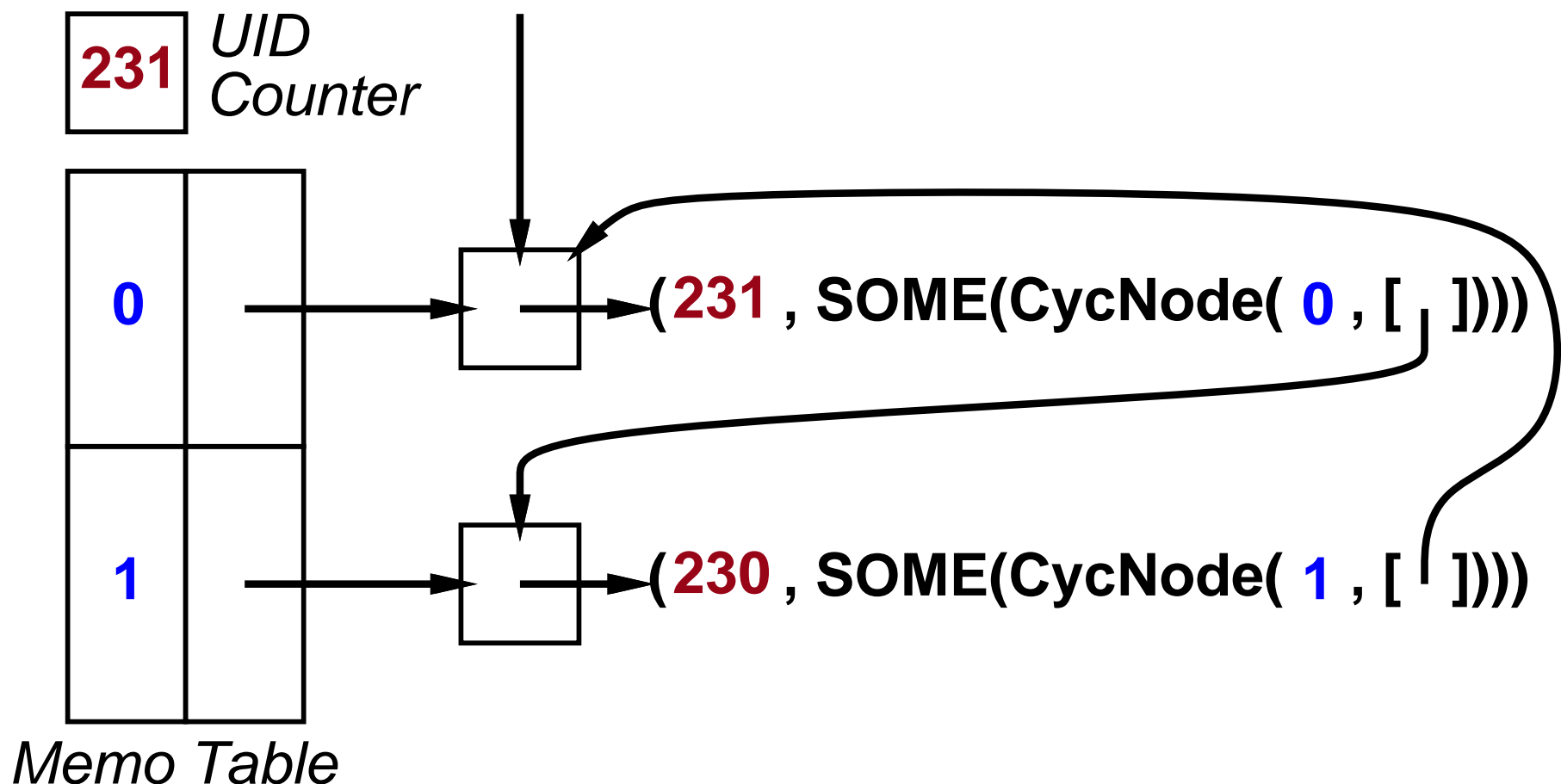
initial seed : 0



# Unfold Implementation: Standard ML

generating fcn.: `fun P n = (n, [(n+1) mod 2])`

initial seed : 0



# Unfold Implementation: Discussion

- Can use fewer reference cells in SML implementation.
- Cyclic hash-consing yields minimal graphs (Mauborgne, ESOP 2000; Considine & Wells, unpublished).
- Haskell implementation:
  - Uses laziness to tie cyclic knots.
  - Uses a `Cycle` monad to thread UID counter and memoization tables through computation.
  - Tricky to tie cyclic knots in presence of monad; use techniques of Erkok and Launchbury (ICFP '00).
- In practice, a memofix function is more flexible than unfold (see paper).

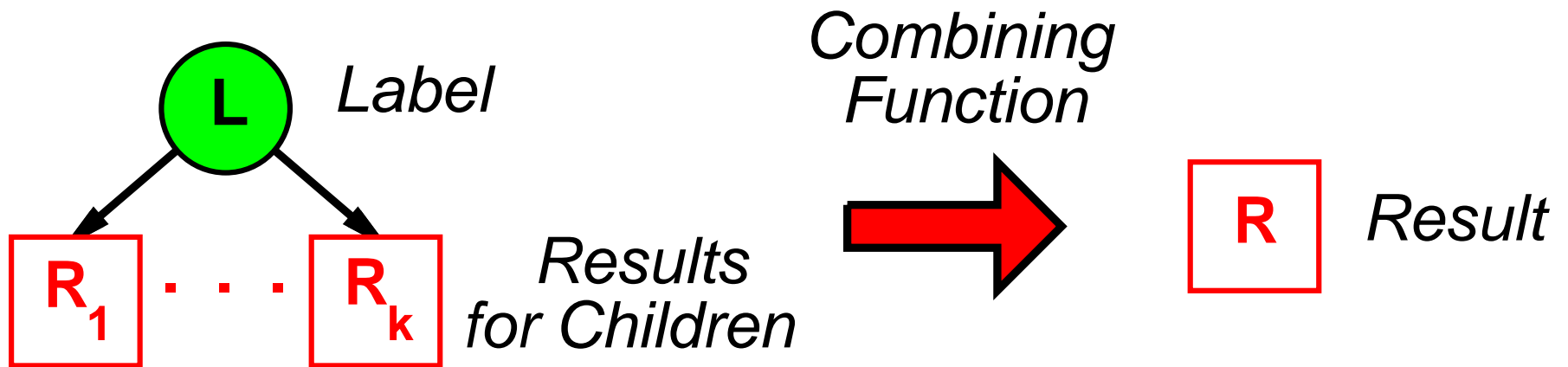


# Road Map

- Viewing cyclic structures as infinite regular trees.
- Adapting the tree-generating `unfold` function to generate cyclic structures for infinite regular trees.
- Adapting the tree-accumulating `fold` function to return non-trivial results for strict combining functions and infinite regular trees.
- Cycamores: an abstraction for manipulating regular trees that we have implemented in ML and Haskell.

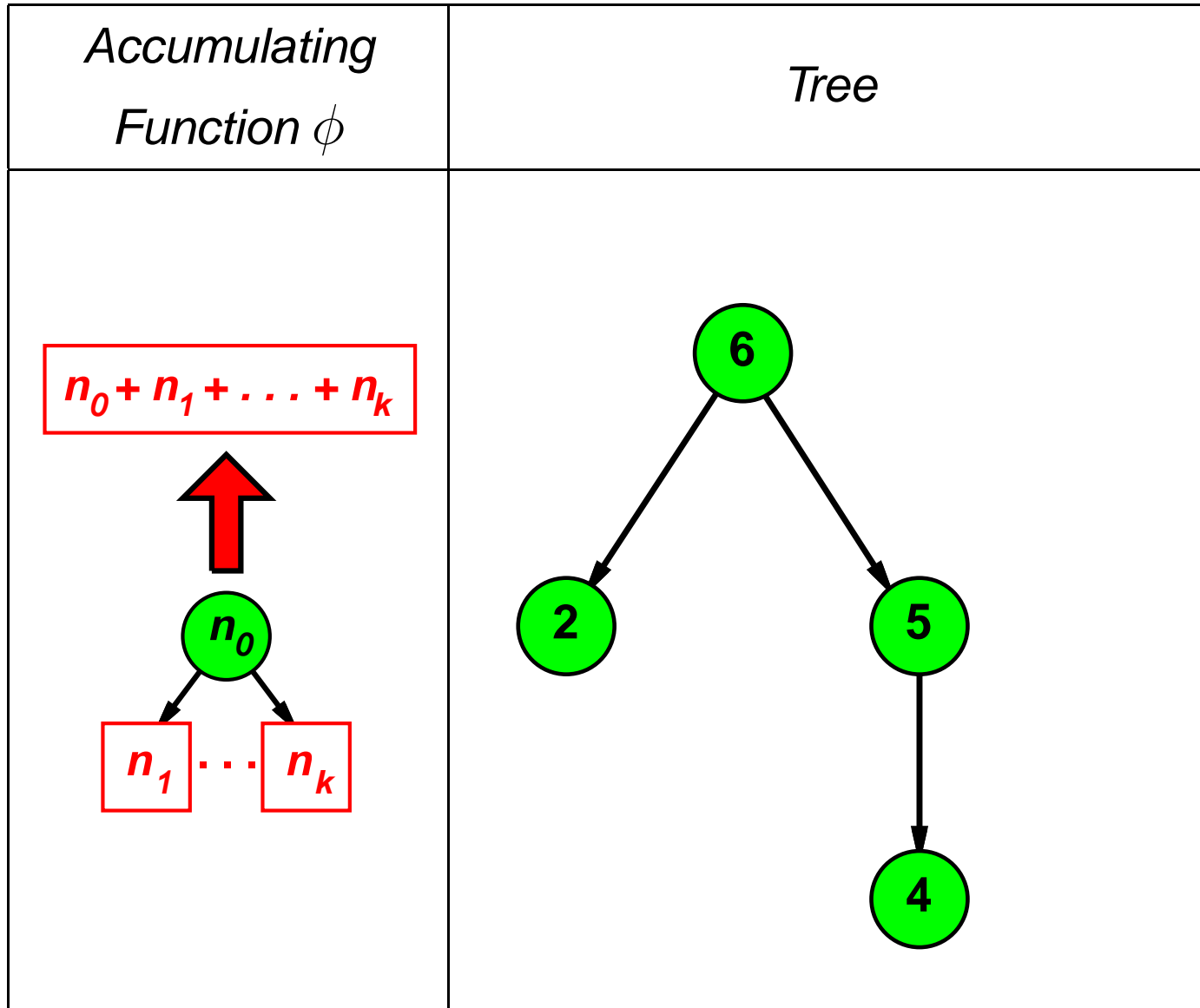
# Tree Accumulation via Fold

The fold operator accumulates a result from a tree using a combining function.

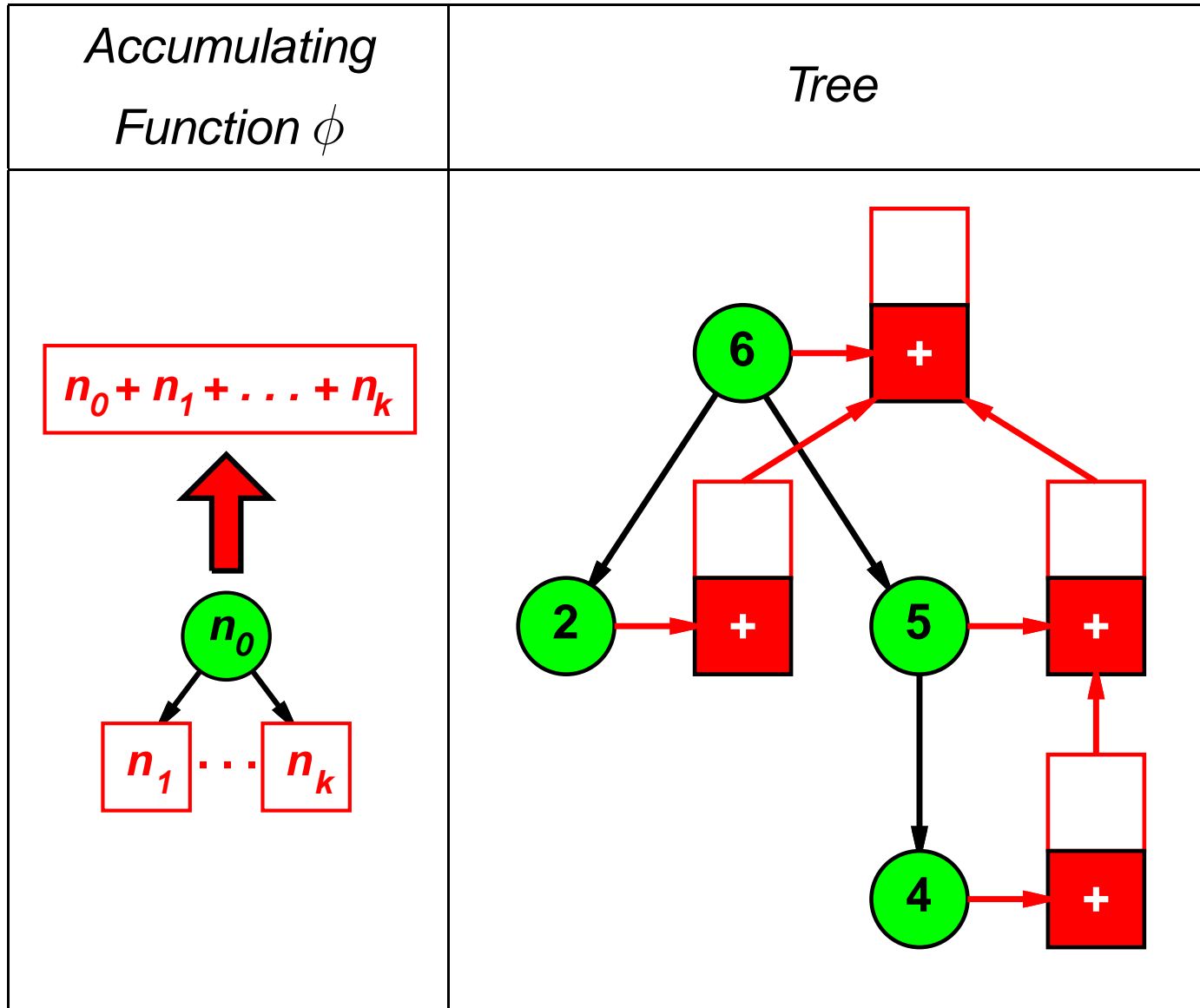


$$\text{fold} : \underbrace{\left( (L \times (\mathcal{C}_{\text{res}}^\omega)) \xrightarrow{\text{cont}} \mathcal{C}_{\text{res}} \right)}_{\text{accumulating function } \phi} \rightarrow \underbrace{\left( \text{Tree}(L) \xrightarrow{\text{cont}} \mathcal{C}_{\text{res}} \right)}_{\substack{\text{tree valuation } \theta \\ (\phi\text{-catamorphism)}}$$

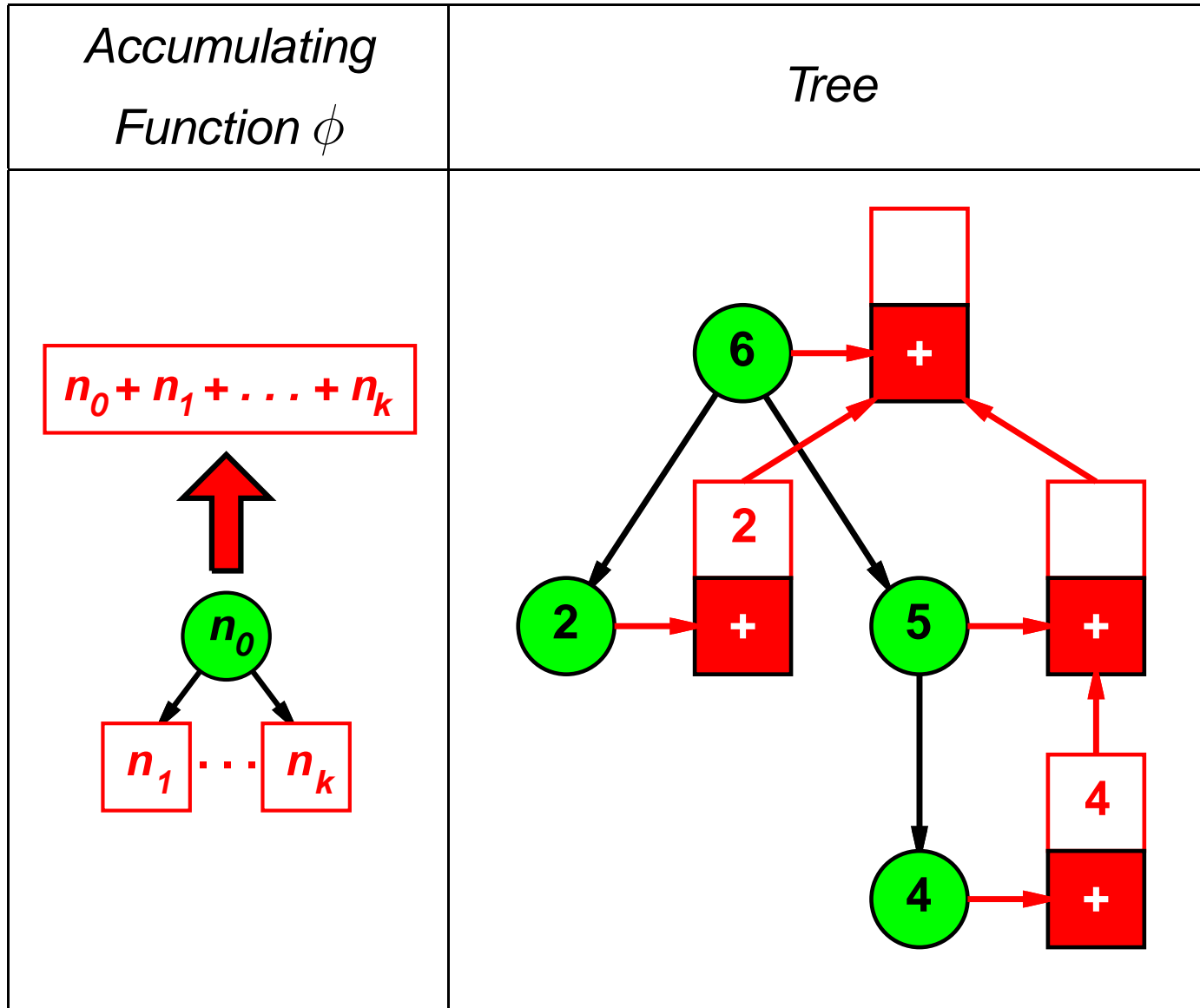
# Folding over a Finite Tree



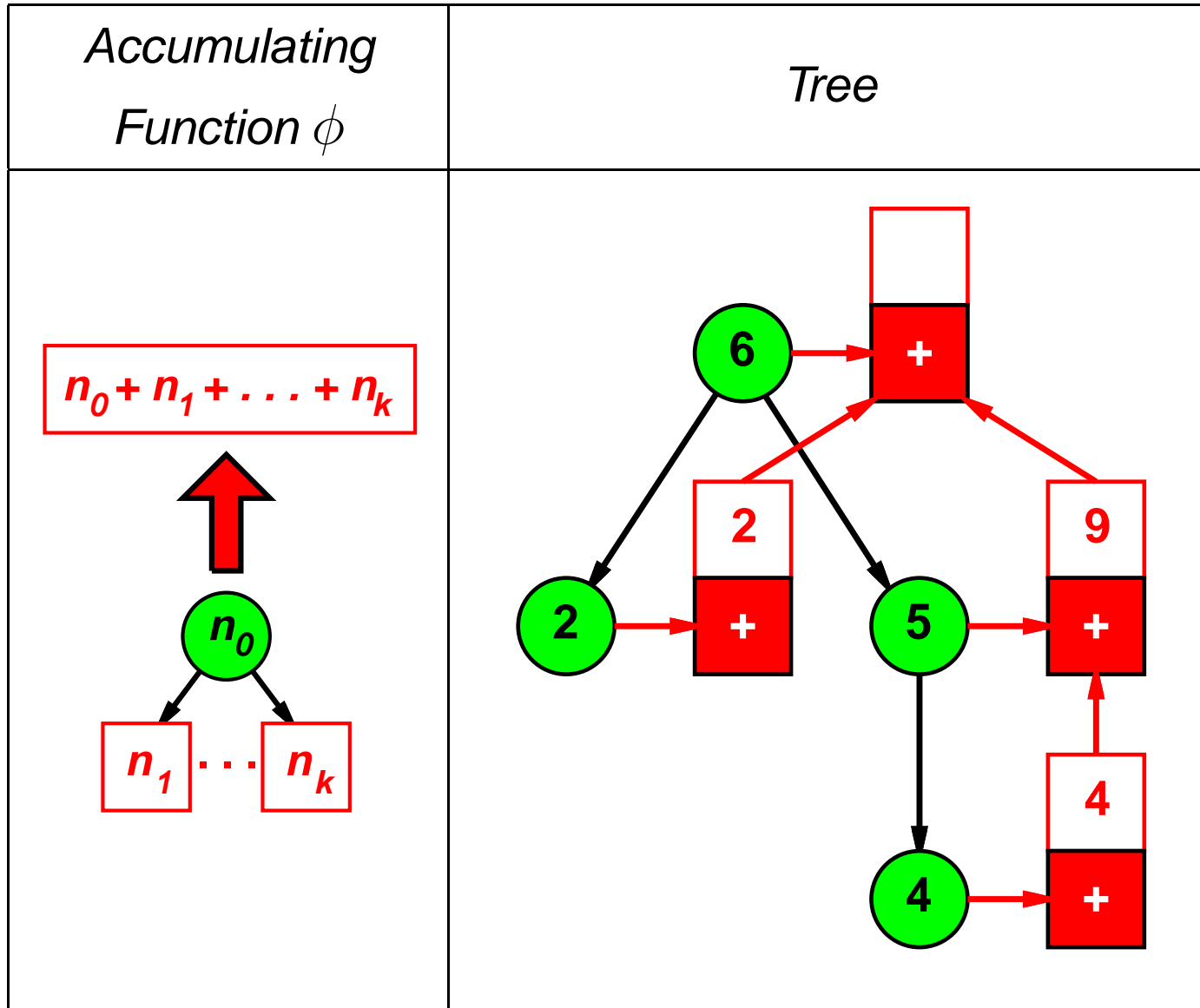
# Folding over a Finite Tree



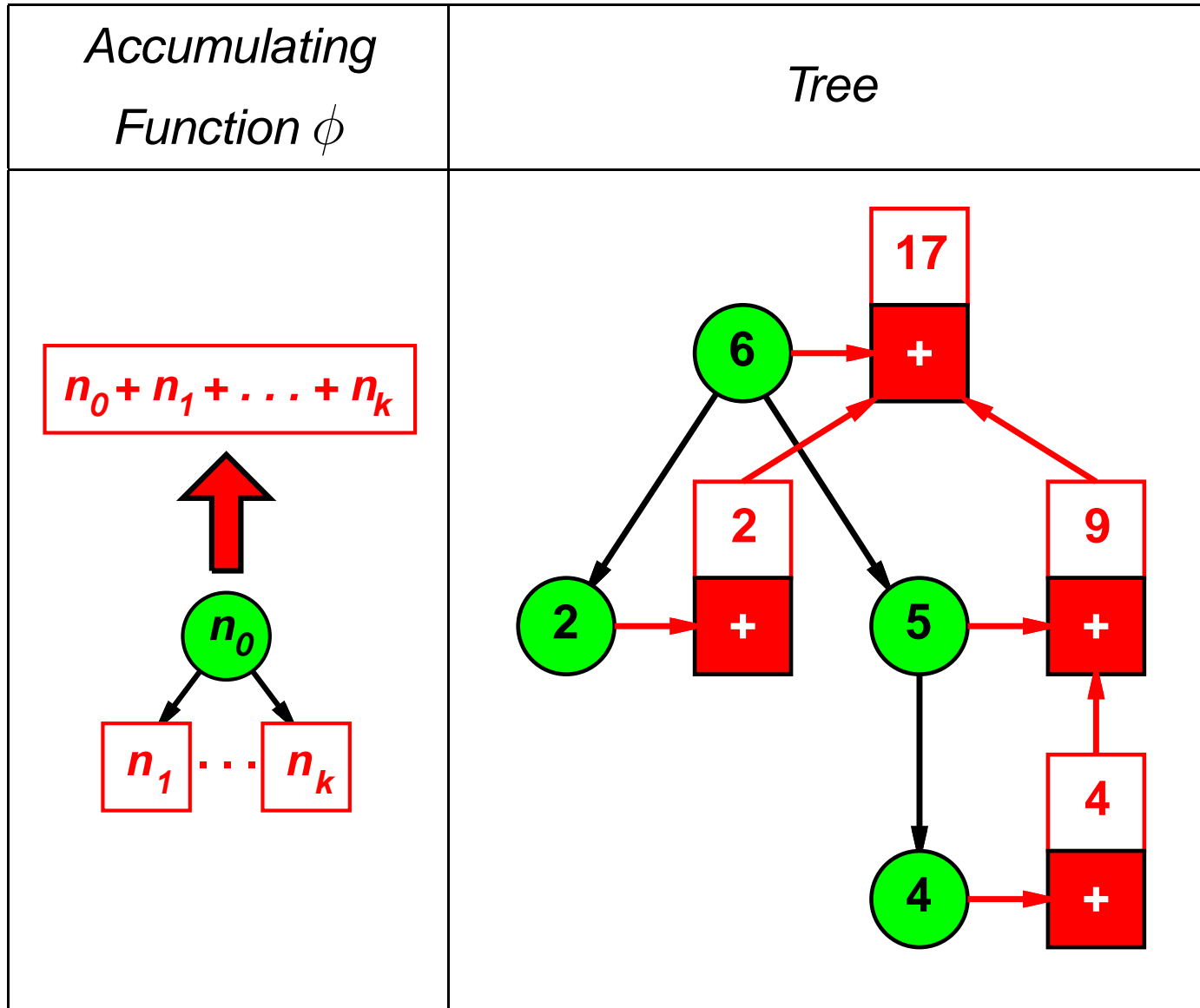
# Folding over a Finite Tree



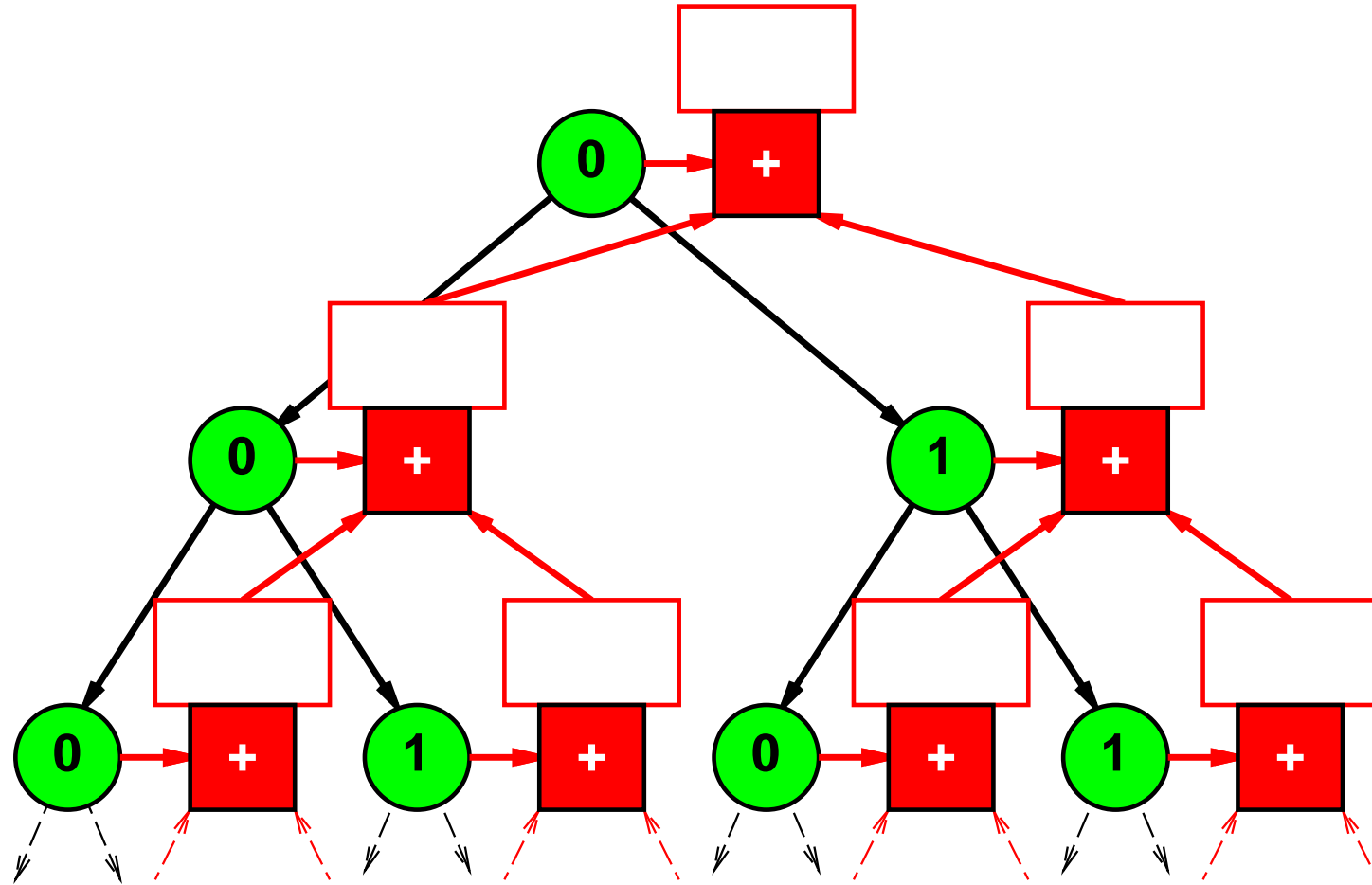
# Folding over a Finite Tree



# Folding over a Finite Tree



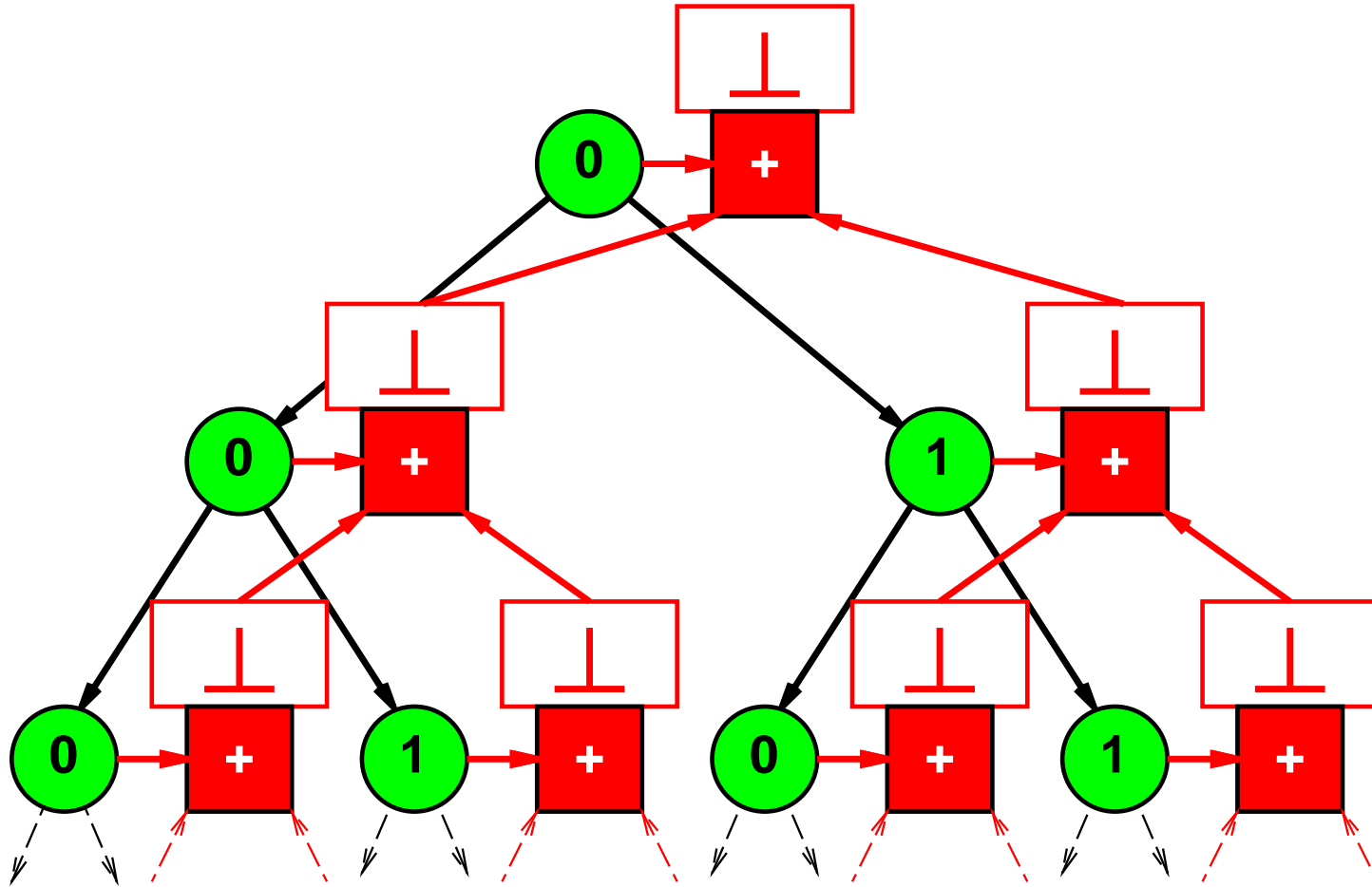
# Folding over an Infinite Regular Tree



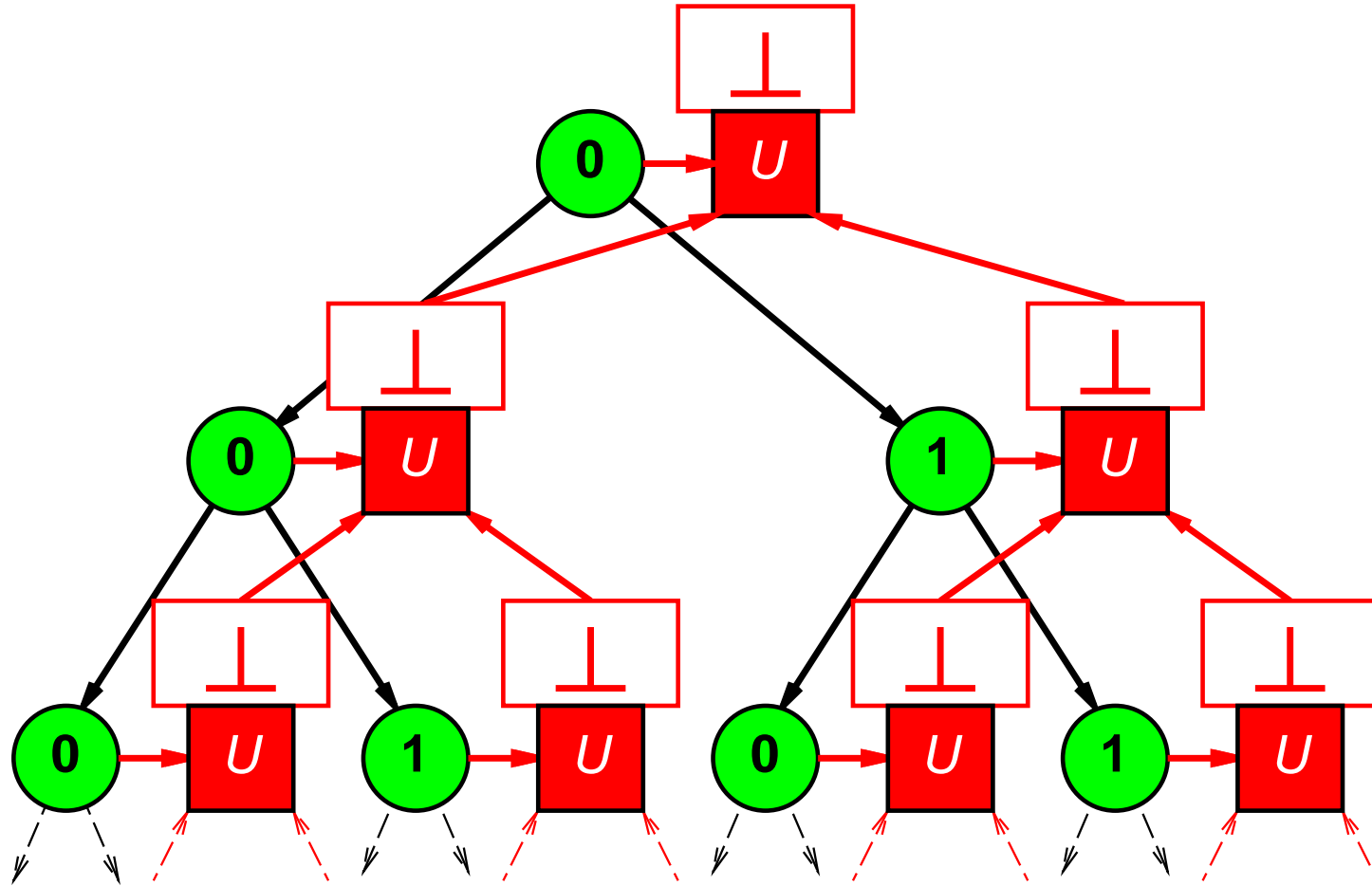
Expect  $\theta$  to be  $\phi$ -consistent: for each subtree  $t$  of a given tree,  
$$\theta(t) = \phi(\text{label}(t), \text{map}(\theta)(\text{children}(t))).$$



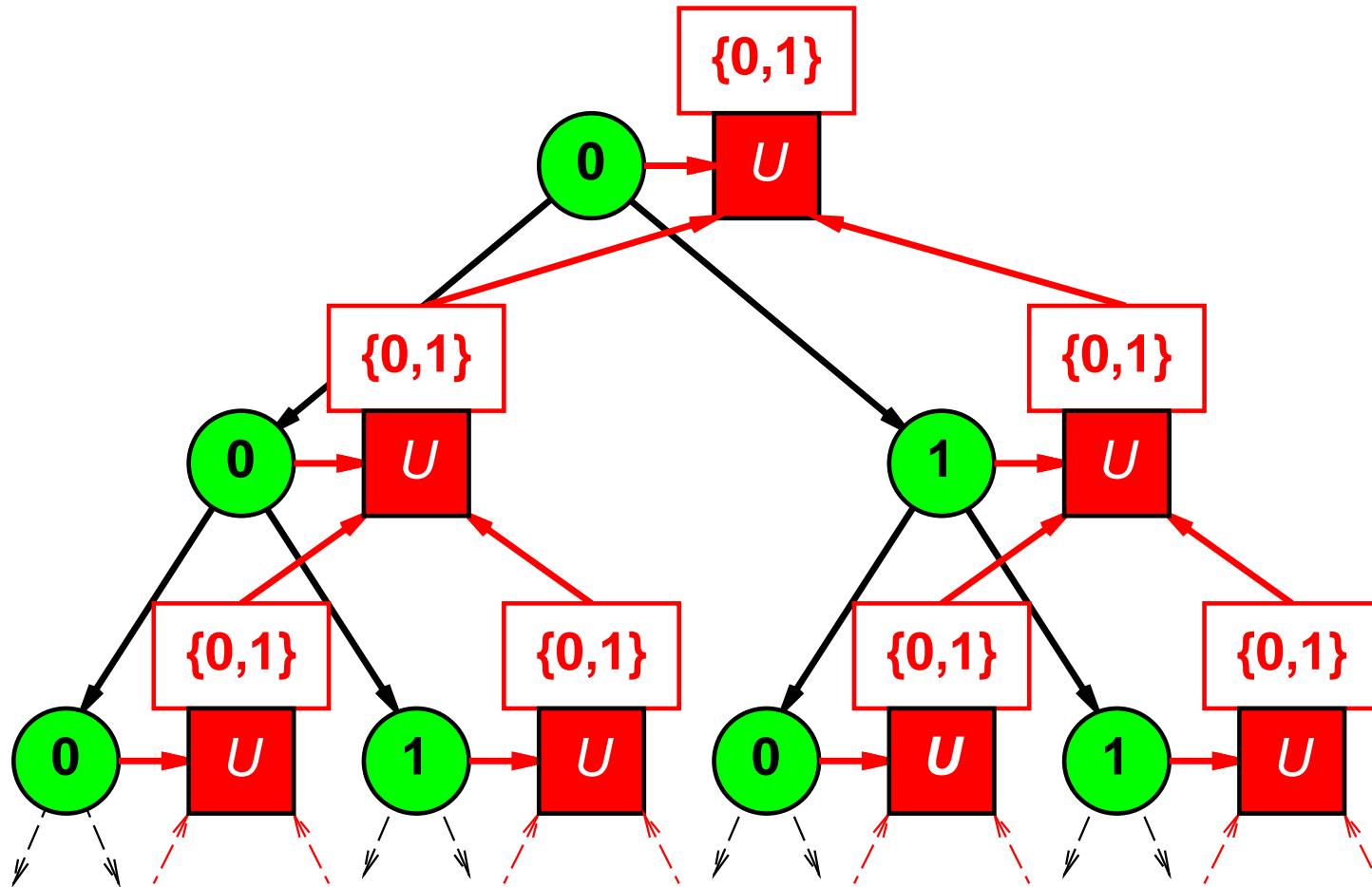
# Folding over an Infinite Regular Tree



# Folding over an Infinite Regular Tree

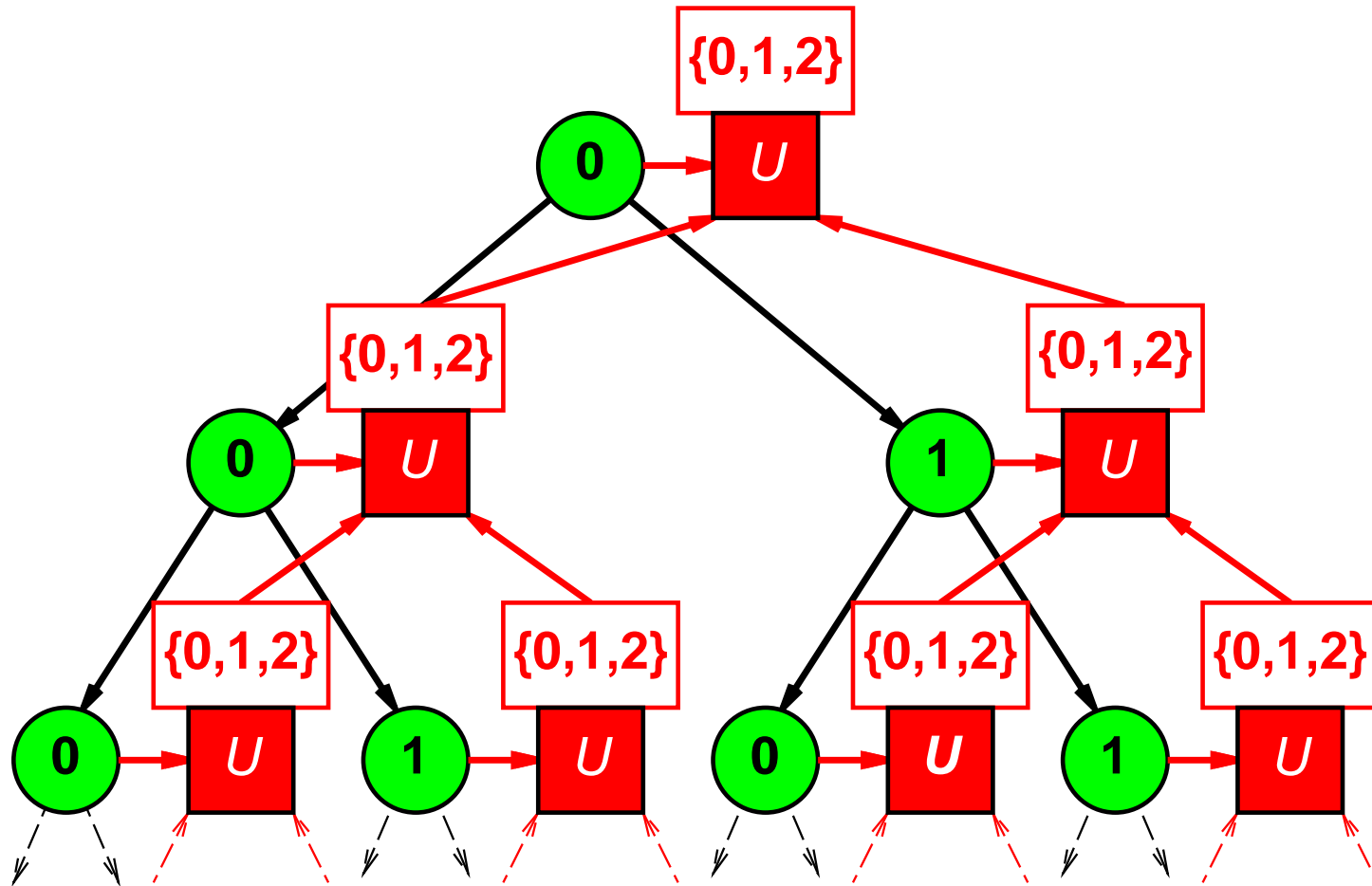


# Folding over an Infinite Regular Tree



This fold may be desirable, but it is not the computed one.

# Folding over an Infinite Regular Tree



This fold is not computed either.

# Fold: Formalism

Let the result domain be  $\mathcal{C}_{\text{res}}$  (a pointed cpo).

$$\text{fold} : \underbrace{\left( (L \times (\mathcal{C}_{\text{res}}^\omega)) \xrightarrow{\text{cont}} \mathcal{C}_{\text{res}} \right)}_{\text{accumulating function } \phi} \rightarrow \underbrace{\left( \text{Tree}(L) \xrightarrow{\text{cont}} \mathcal{C}_{\text{res}} \right)}_{\substack{\text{tree valuation } \theta \\ (\phi\text{-catamorphism)}}$$

$\text{fold}(\phi)$  is the least fixed point of:

$$\text{recalc}(\phi) = \lambda \theta . \lambda t . \phi(\text{label}(t), \text{map}(\theta)(\text{children}(t)))$$

For a strict  $\phi$  and any infinite tree  $t$ ,  $(\text{fix}(\text{recalc}(\phi)))(t) = \perp$ .

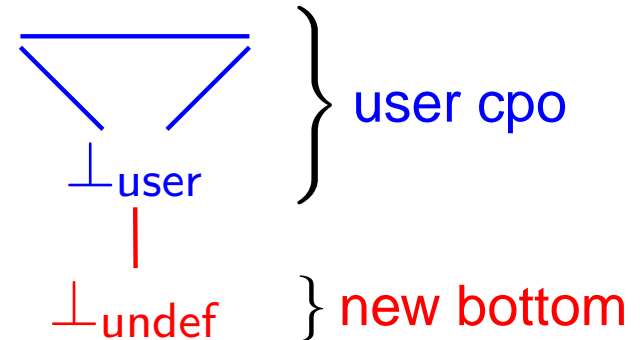
# Cycfold: Goals

Given a strict combining function  $\phi$ , want  $\text{cycfold}(\phi)$  that:

- Coincides with  $\text{fold}(\phi)$  on finite trees;
- Can return a non-trivial result for regular trees;
- Diverges on non-regular trees.

# Cycfold: The Idea

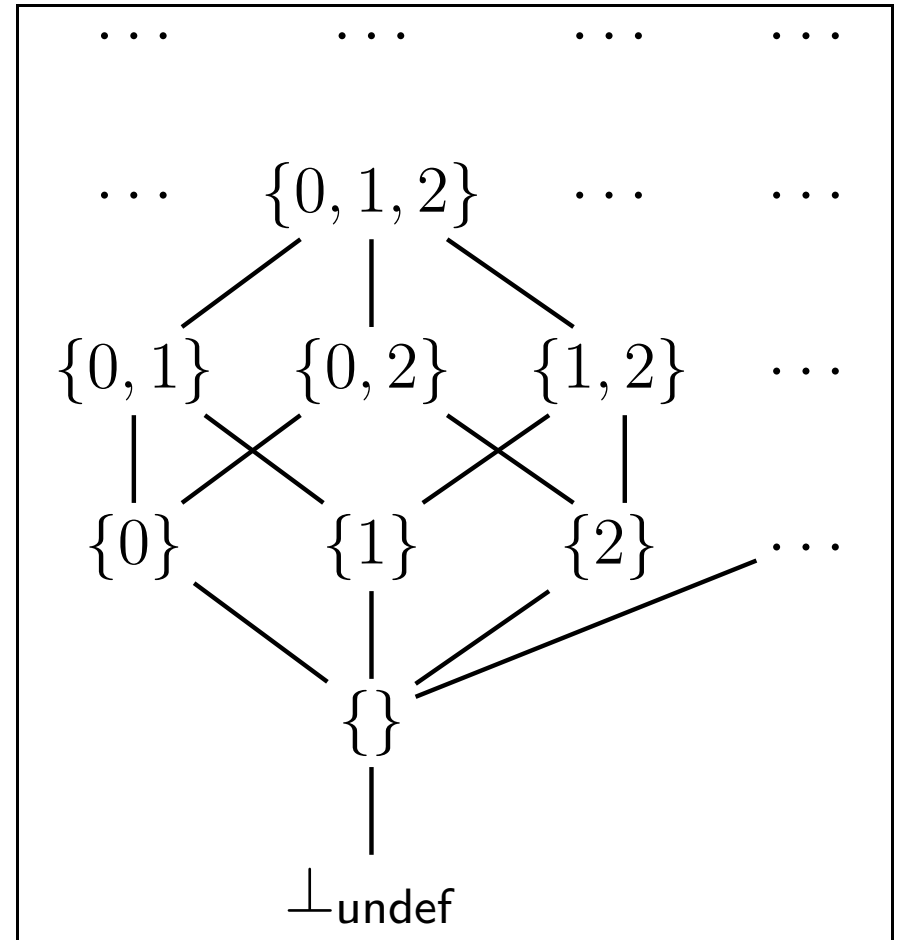
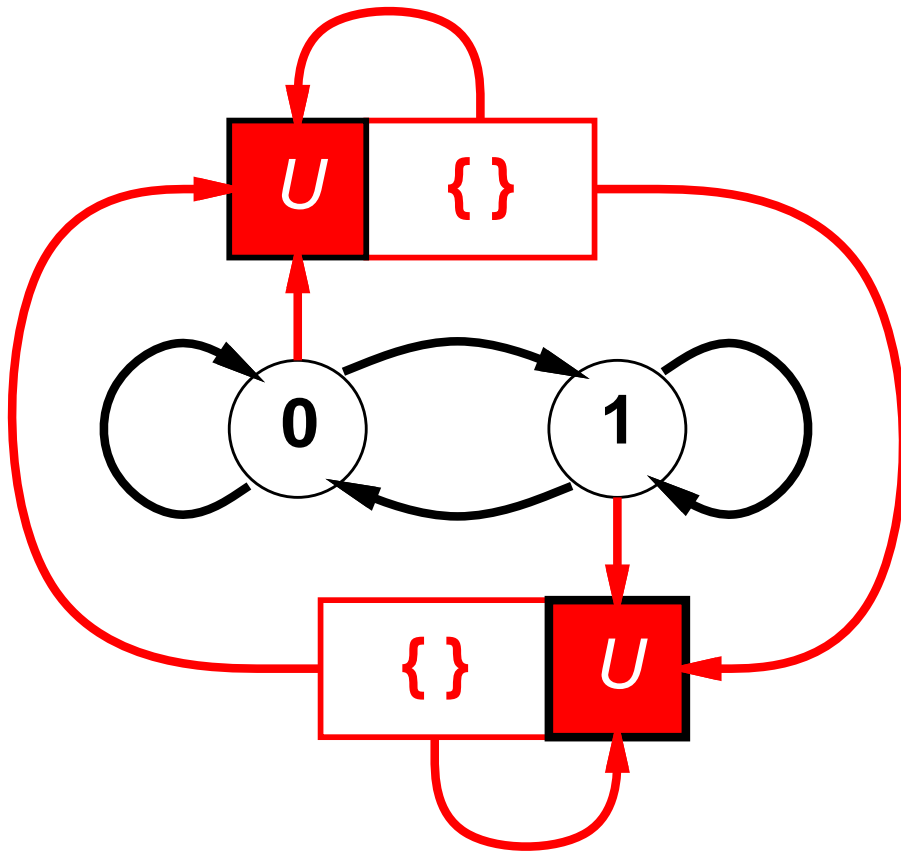
Use a result domain  $\mathcal{C}_{\text{res}}$  that is a *lifted* pointed cpo (i.e., doubly pointed) and require the combining function  $\phi$  to be strict and monotone.



For a given tree  $t$ , calculate  $\text{cycfold}(\phi)(t)$  as follows:

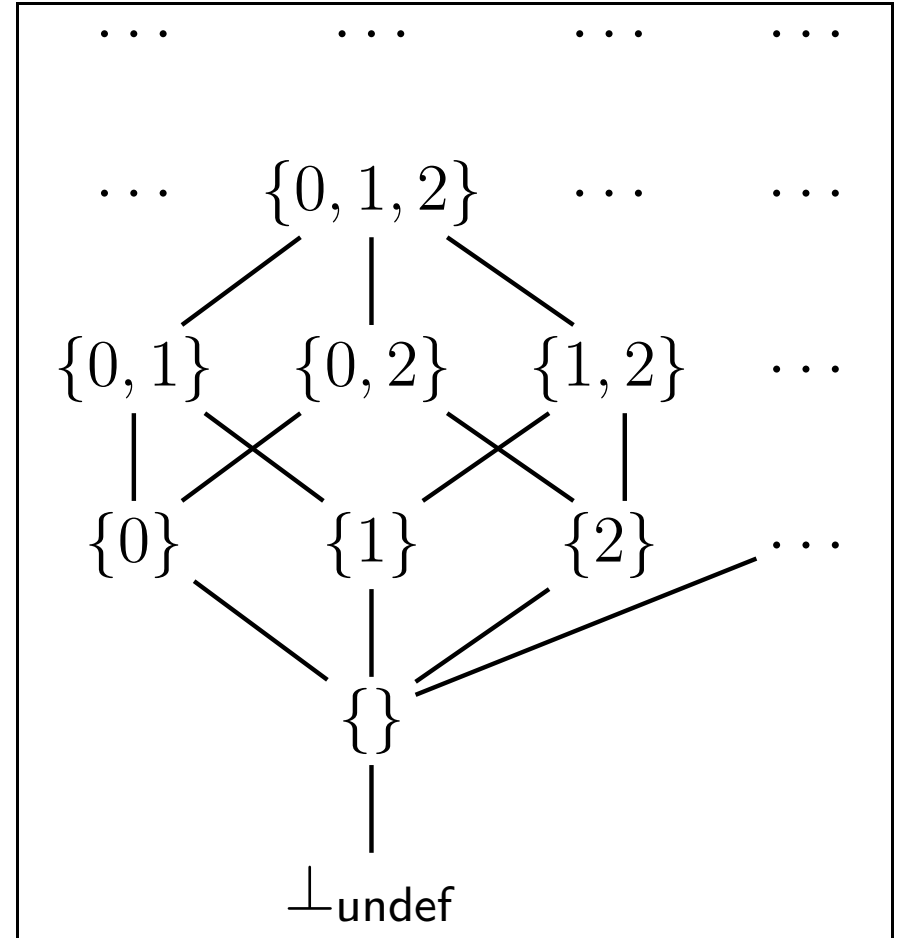
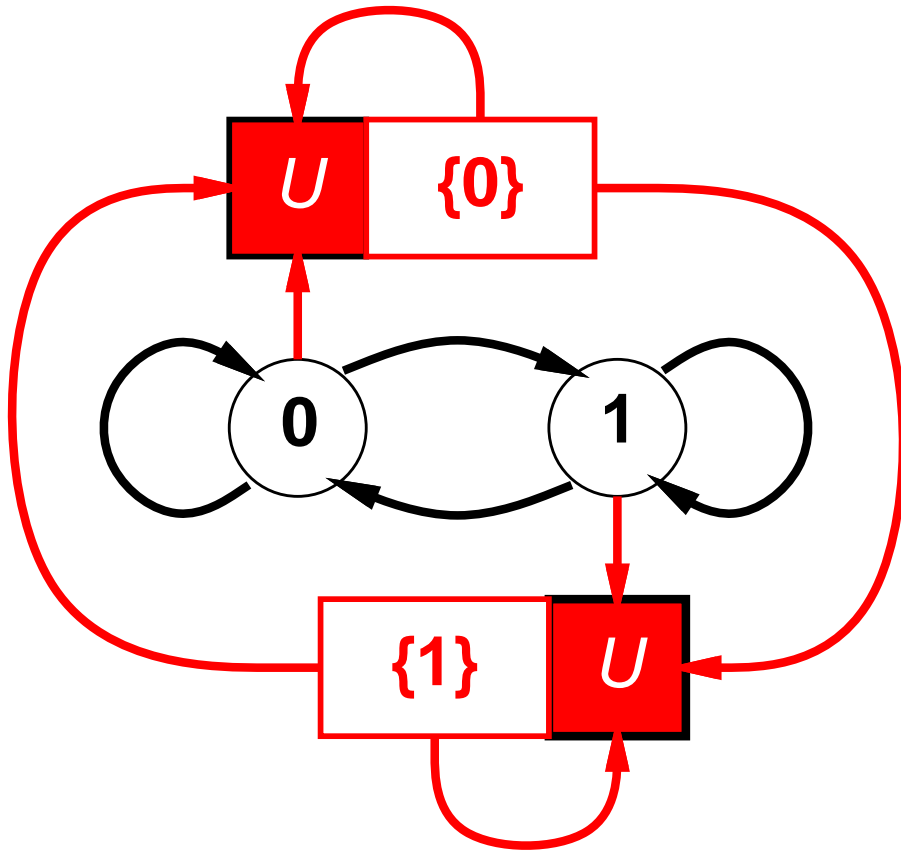
- If  $t$  not regular, return  $\perp_{\text{undef}}$ .
- Otherwise:
  - Let tree valuation  $\theta_0$  map all subtrees of  $t$  to  $\perp_{\text{user}}$ .
  - Iteratively calculate  $\theta_{i+1}$  from  $\theta_i$  using  $\phi$ .
  - If  $\theta_{k+1} = \theta_k$  then return  $\theta_k(t)$  else return  $\perp_{\text{undef}}$ .

# Cycfold Example 1: Node Labels

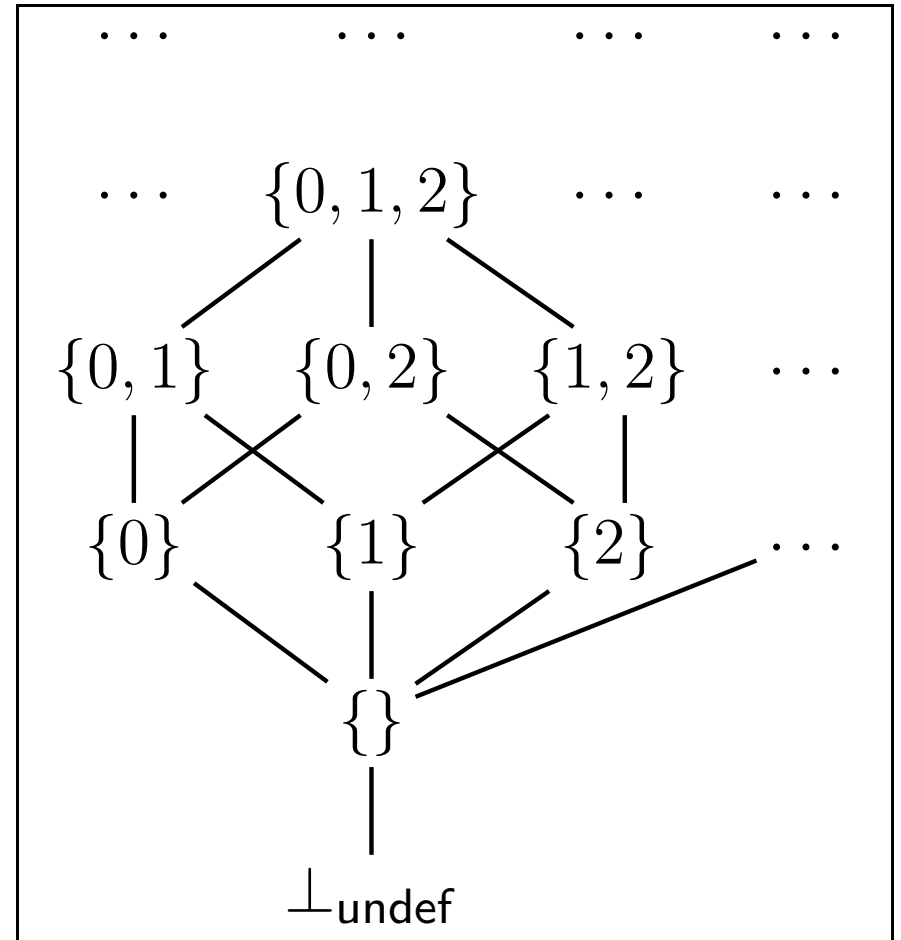
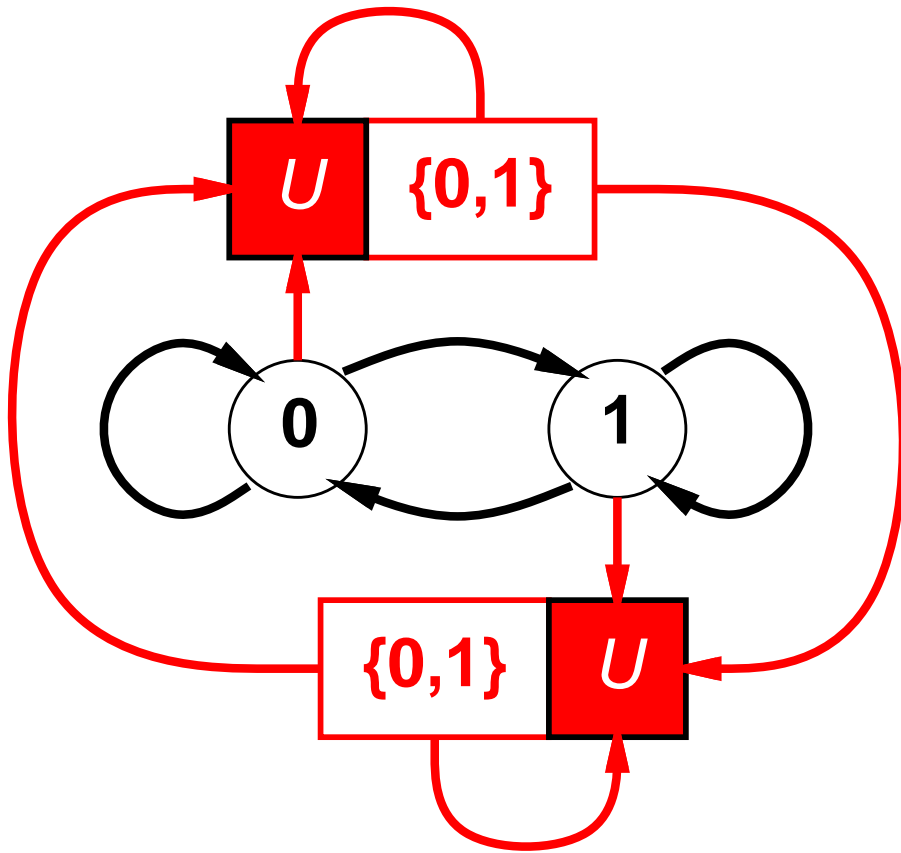




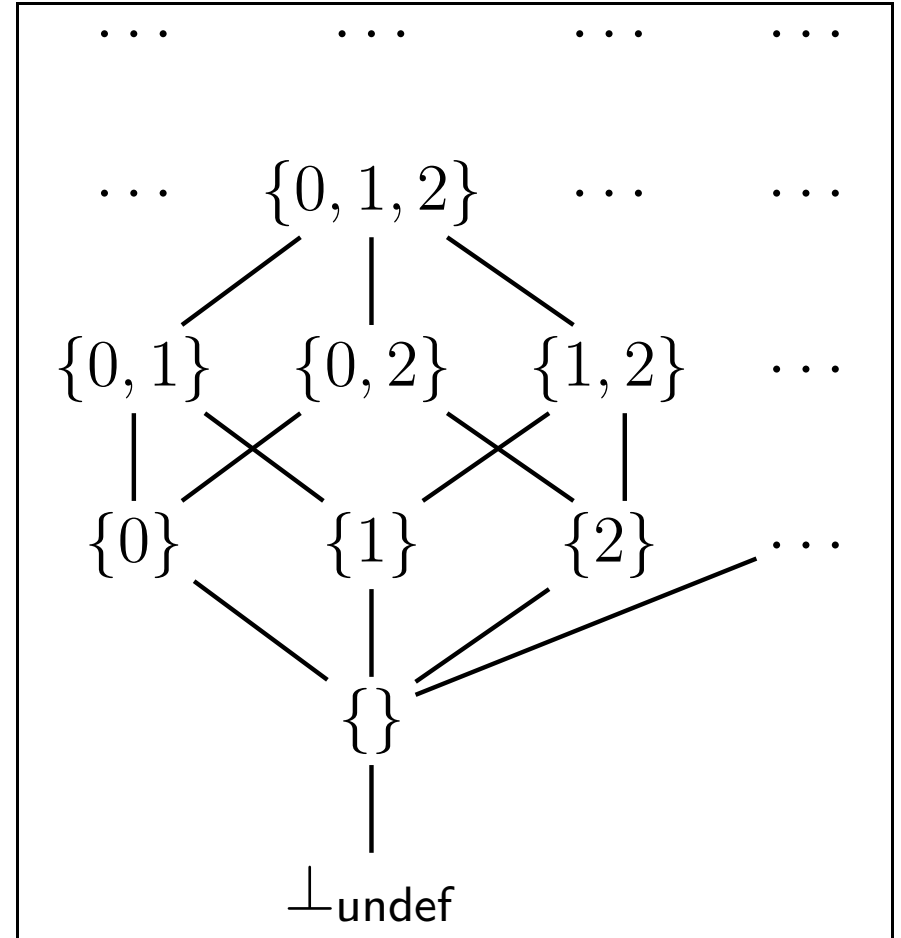
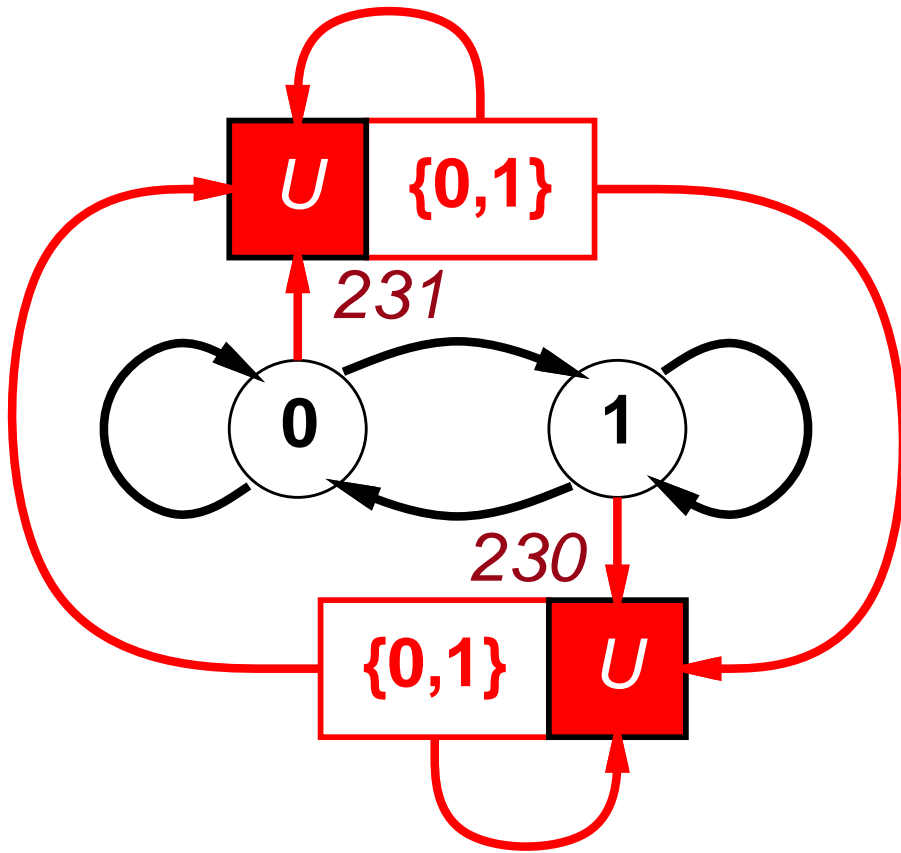
# Cycfold Example 1: Node Labels



# Cycfold Example 1: Node Labels

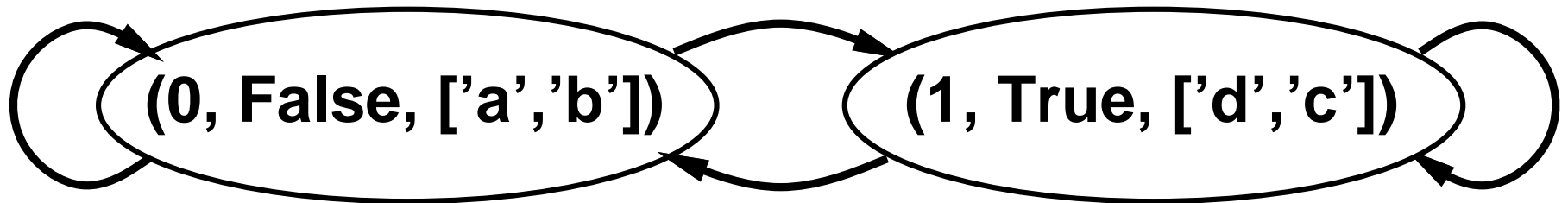
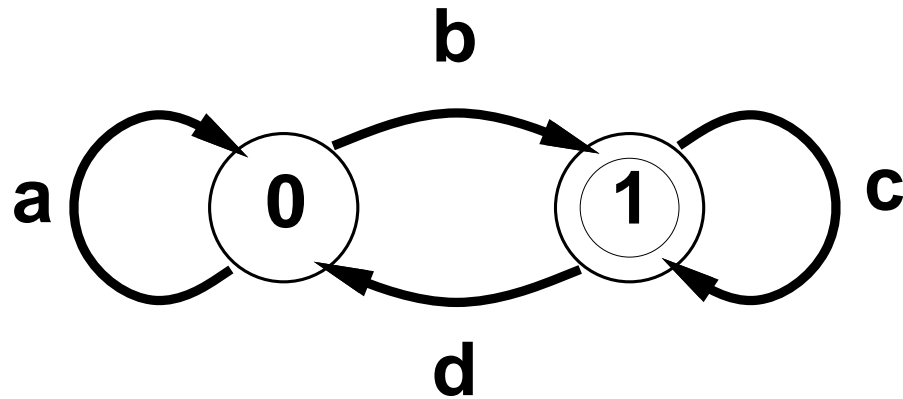


# Cycfold Example 1: Node Labels

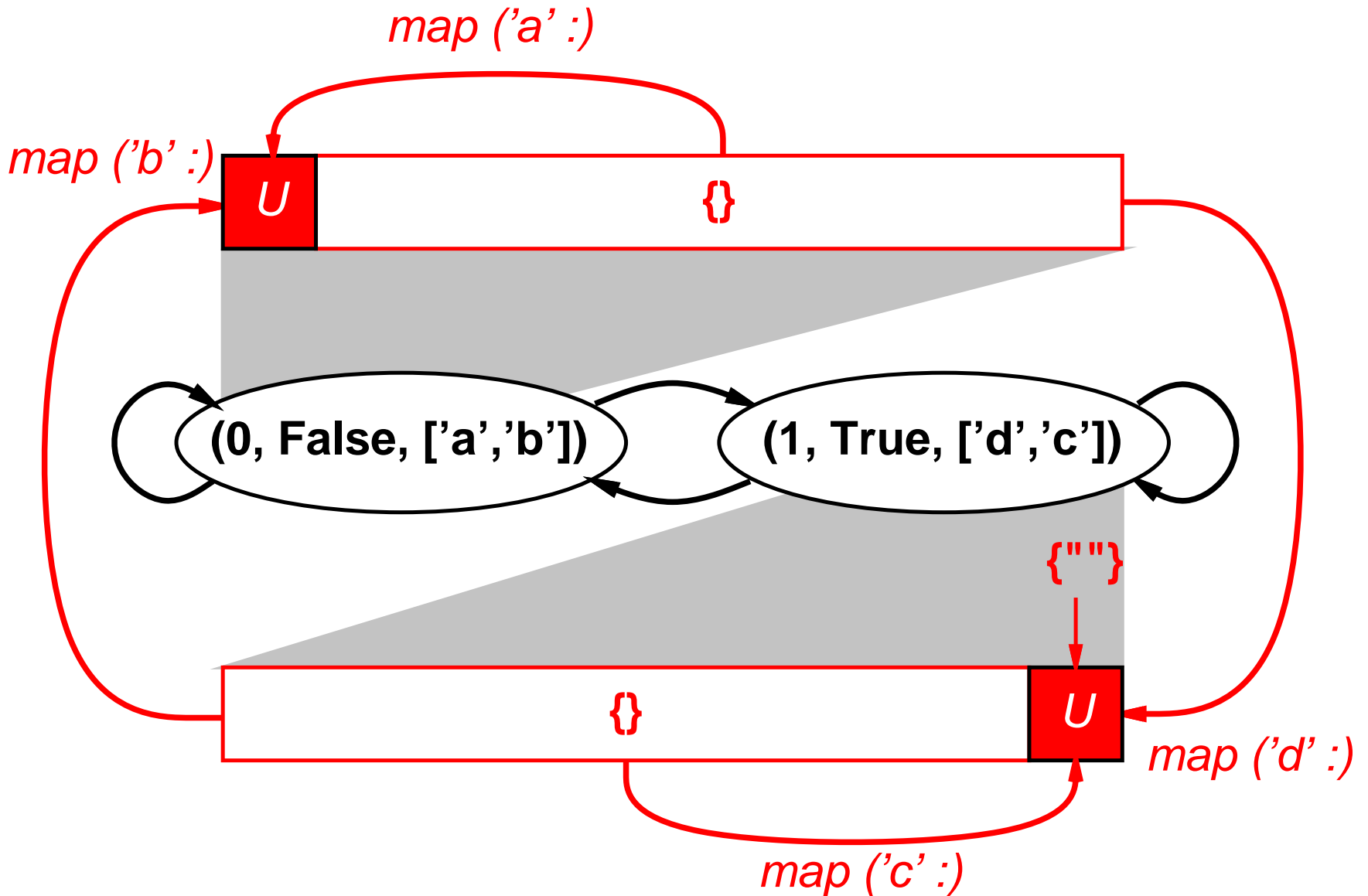


# Cycfold Example 2: DFA Strings

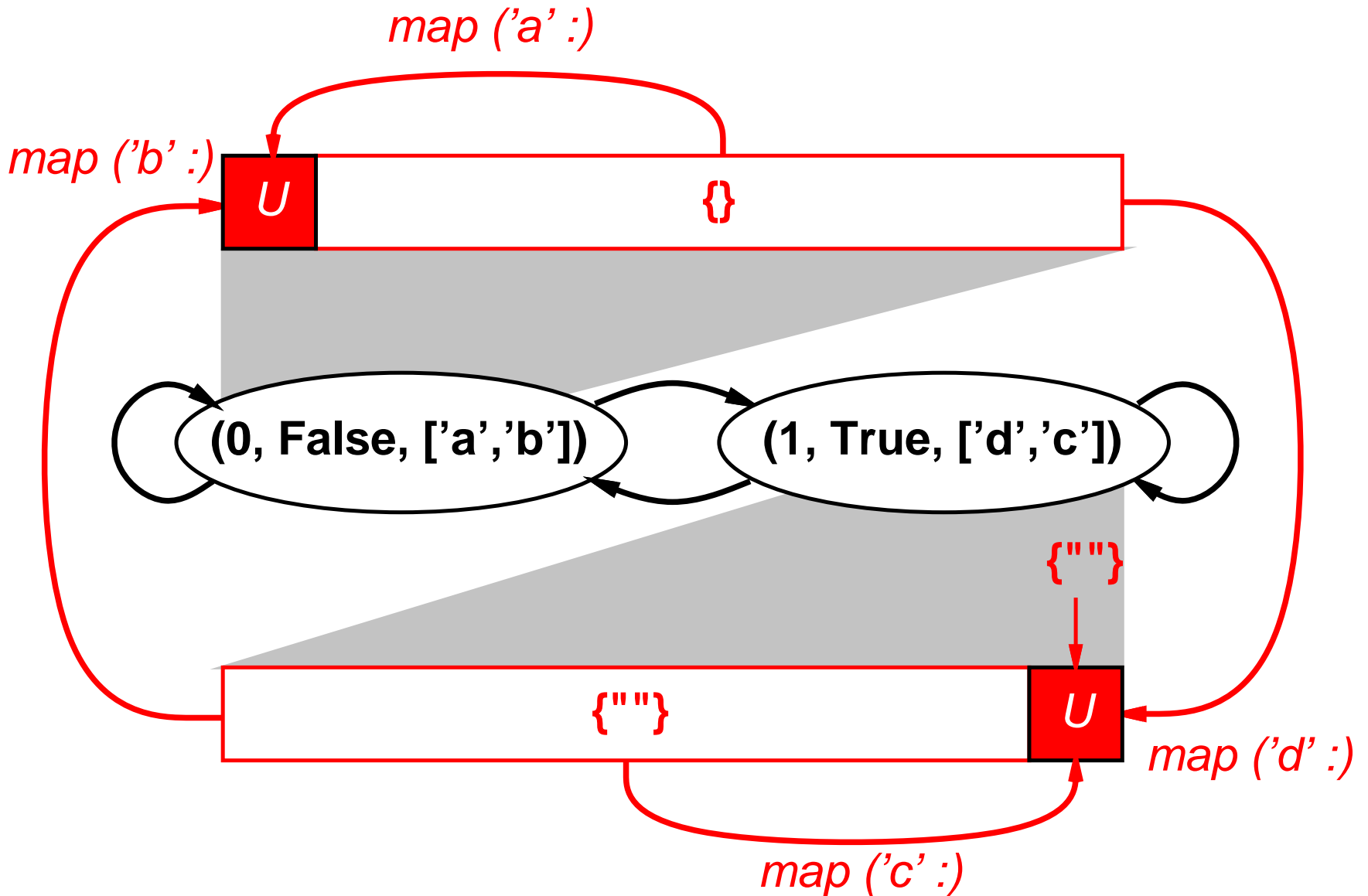
Node labels can encode other aspects of cyclic data.



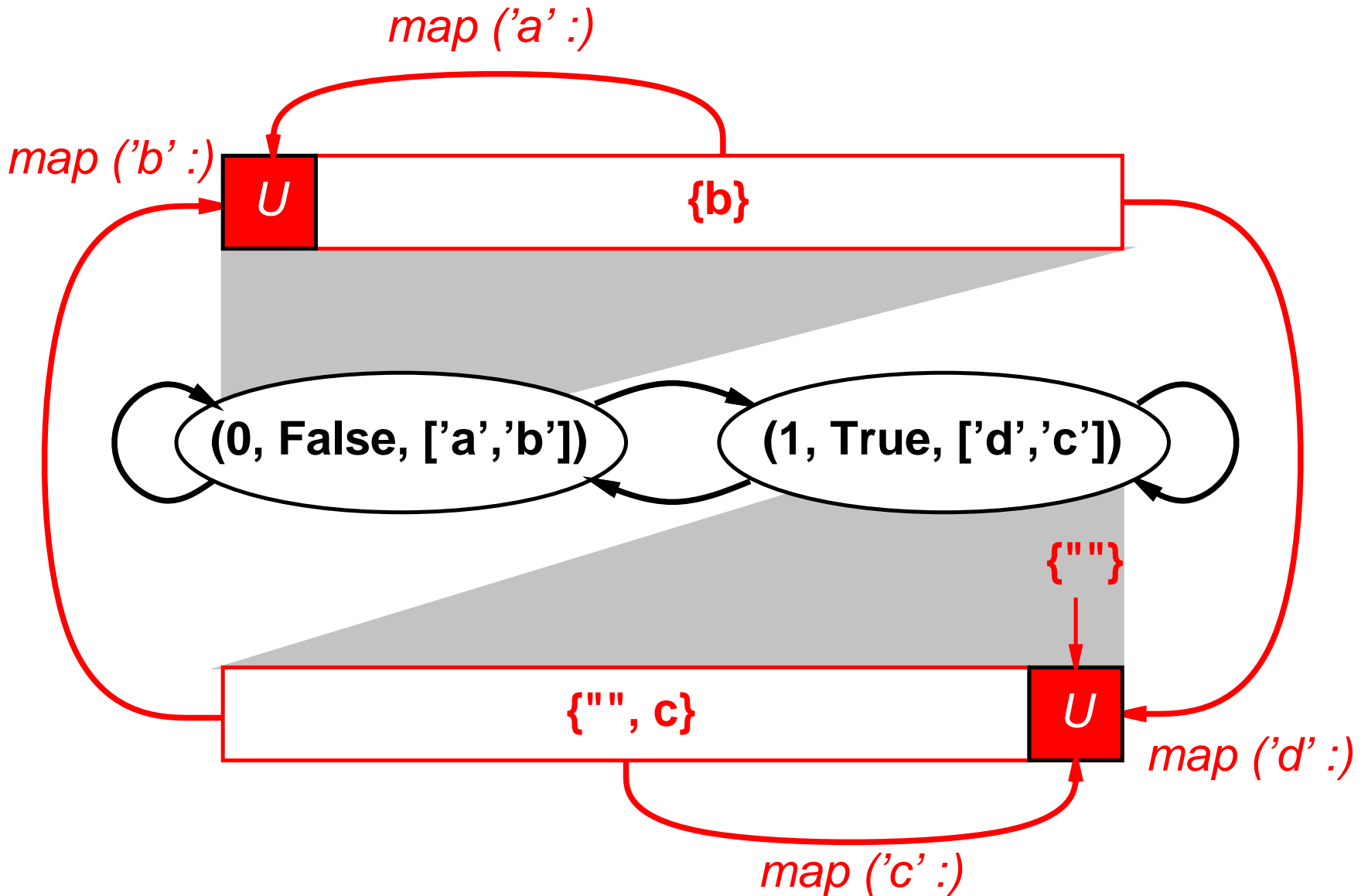
# Cycfold Example 2: DFA Strings



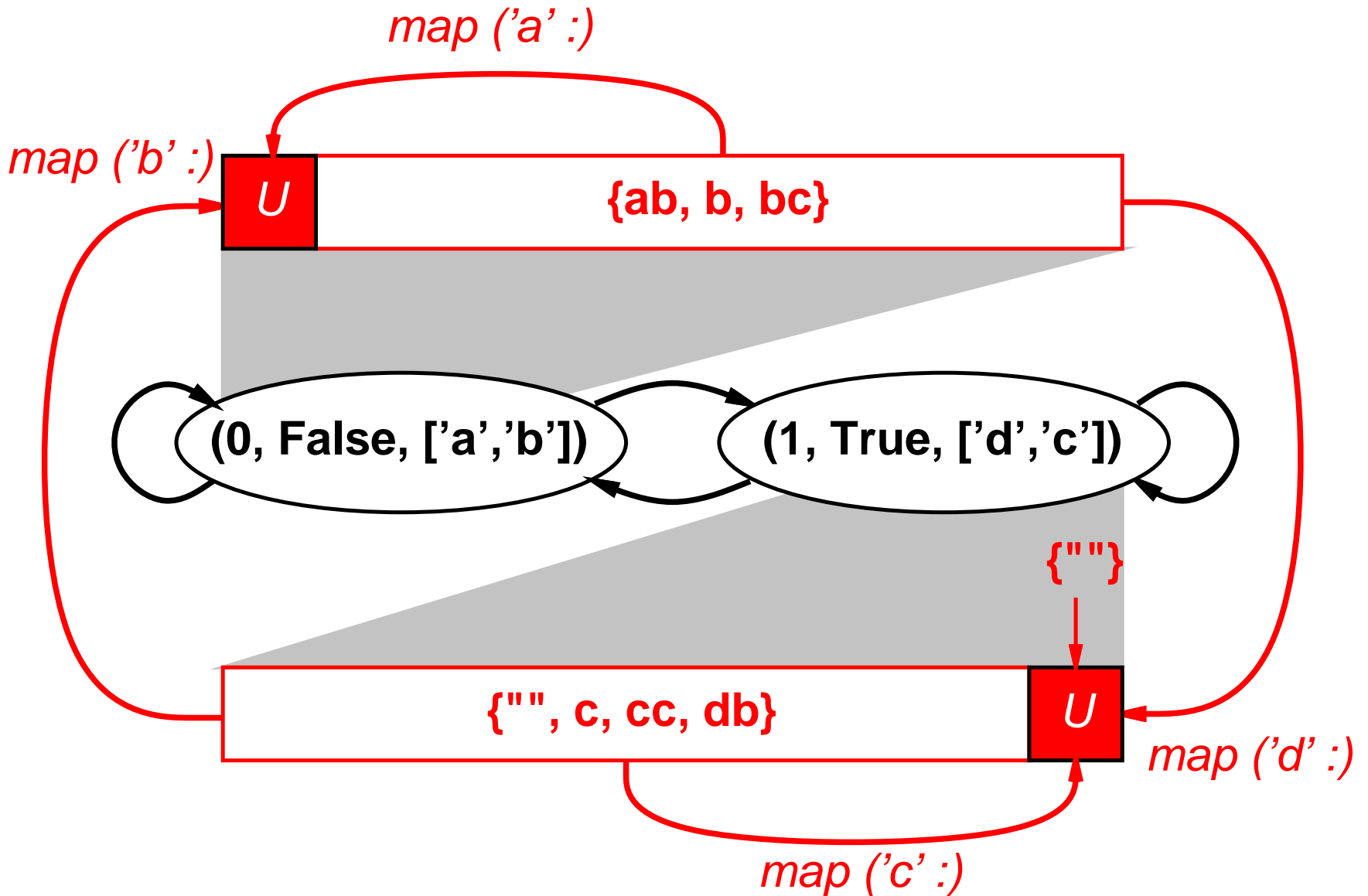
# Cycfold Example 2: DFA Strings



# Cycfold Example 2: DFA Strings

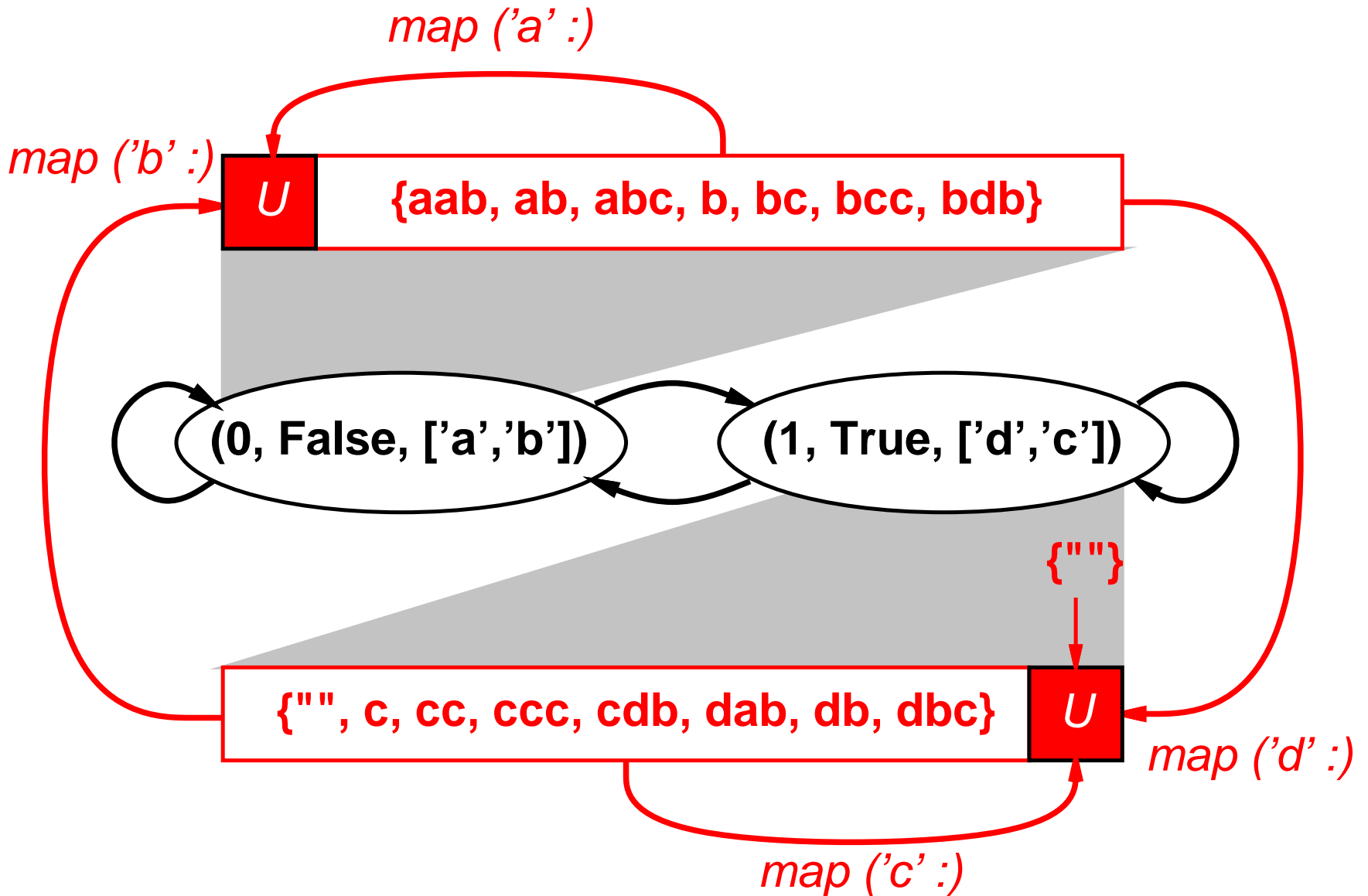


# Cycfold Example 2: DFA Strings





# Cycfold Example 2: DFA Strings



# Cycfold: Related Work

- Iterative fixed points common in compiler data flow.
- Graph folds (Gibbons, unpublished):
  - `ifold = foldtree ∘ untie`, analagous to `fold`.
  - `efold` analagous to `cycfold`.
- Catamorphisms over datatypes with embedded functions (Fegaras & Sheard, POPL'96):
  - Express cycles via embedded functions. E.g.,  

```
val alts = Rec(fn x => Cons(0, (Cons 1 x)))
```
  - Can express catamorphisms over such cycles (e.g., `map`), but these can expose the structure of the representative.

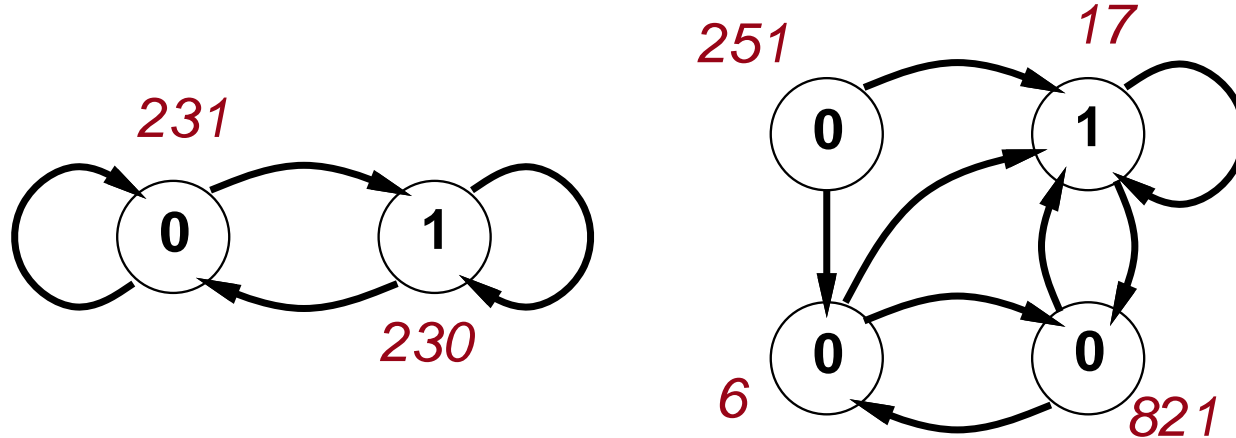
# Road Map

- Viewing cyclic structures as infinite regular trees.
- Adapting the tree-generating `unfold` function to generate cyclic structures for infinite regular trees.
- Adapting the tree-accumulating `fold` function to return non-trivial results for strict combining functions and infinite regular trees.
- **Cycamores: an abstraction for manipulating regular trees that we have implemented in ML and Haskell.**

# Cycamores

Cycamore(L) is the type of potentially cyclic graphs, with a hidden UID for each node, parameterized over label type.

- Examples:



- Key operations: make, view, unfold, fold, cycfold.
- Other operations: cycfix, memofix (see paper).
- Implementations in Standard ML and Haskell.

# Cycamore Signatures 1

Standard ML		Haskell
val make : ('a * 'a Cycamore list) -> 'a Cycamore	<i>label/kids pair</i> <i>result cycamore</i>	make :: (a, [Cycamore a]) -> <b>Cycle s</b> (Cycamore a)
val view : 'a Cycamore -> ('a * 'a Cycamore list)	<i>given cycamore</i> <i>label/kids pair</i>	view :: Cycamore a -> (a, [Cycamore a])
val unfold :  'a MemKey -> ('a -> ('b * 'a list)) -> 'a -> 'b Cycamore	<b><i>order class</i></b> <b><i>memo key fcn.</i></b> <i>generating fcn.</i> <i>seed</i> <i>result cycamore</i>	unfold :: <b>Ord a =&gt;</b> (a -> (b, [a])) -> a -> <b>Cycle s</b> (Cycamore b)

# Cycamore Signatures 2

Standard ML		Haskell
val fold : ('b -> ('a list) -> 'a) -> ('b Cycamore) -> 'a	<i>combining fcn.</i> <i>cycamore</i> <i>result</i>	fold :: (b -> [a] -> a) -> (Cycamore b) a
val cycfold : 'a -> (('a * 'a) -> bool) -> ('b -> ('a list) -> 'a) -> ('b Cycamore) -> 'a	<i>partial order class</i> <i>user bottom</i> <i>geq</i> <i>combining fcn.</i> <i>cycamore</i> <i>result</i>	cycfold :: (POrd a) => a -> (b -> [a] -> a) -> (Cycamore b) -> a

# Future Work

- Theory:
  - Non-strict combining functions with `cycfold`.
  - Can `cycfold` return a `cycamore`?
  - Version of `fold` based on greatest fixed points.
- Practice:
  - Avoiding single-threaded UID generation.
  - Memoization strategies.
  - `cycfold` implementation heuristics.
  - Cyclic hash-consing experimentation.
- Extending ML/Haskell with general cyclic data types.